# UNITED STATES COURT OF FEDERAL CLAIMS

THERESA CEDILLO AND MICHAEL CEDILLO, AS PARENTS AND NATURAL GUARDIANS OF	) ) )		
MICHELLE CEDILLO,	)		
	)		
Petitioners,	)		
	)		
v.	)	Docket No.:	98-916V
	)		
SECRETARY OF HEALTH AND	)		
HUMAN SERVICES,	)		
	)		
Respondent.	)		

REVISED AND CORRECTED COPY

- Pages: 2072 through 2277
- Place: Washington, D.C.
- Date: June 21, 2007

HERITAGE REPORTING CORPORATION Official Reporters 1220 L Street, N.W., Suite 600 Washington, D.C. 20005-4018 (202) 628-4888 hrc@concentric.net IN THE UNITED STATES COURT OF FEDERAL CLAIMS THERESA CEDILLO AND MICHAEL ) CEDILLO, AS PARENTS AND ) NATURAL GUARDIANS OF ) MICHELLE CEDILLO, ) ) Petitioners, ) ) Docket No.: 98-916V v. ) ) SECRETARY OF HEALTH AND ) HUMAN SERVICES, ) ) Respondent. ) Ceremonial Courtroom National Courts Building 717 Madison Place NW Washington, D.C. Thursday, June 21, 2007 The parties met, pursuant to notice of the Court, at 9:02 a.m. BEFORE: HONORABLE GEORGE L. HASTINGS, JR. HONORABLE PATRICIA CAMPBELL-SMITH HONORABLE DENISE VOWELL Special Masters **APPEARANCES:** For the Petitioners: SYLVIA CHIN-CAPLAN, Esquire KEVIN CONWAY, Esquire Conway, Homer & Chin-Caplan, P.C. 16 Shawmut Street Boston, Massachusetts 02116 (617) 695-1990

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1 PROCEEDINGS 2 (9:02 a.m.) SPECIAL MASTER HASTINGS: Good morning to 3 4 all those in the courtroom and at home. We're going 5 to be starting with the testimony of Dr. Hanauer in 6 just a minute. 7 I first want to let you folks know that are listening in about a special procedure tomorrow 8 9 morning. Tomorrow morning we are going to be starting 10 the phone conference call a bit late. We are going to be taking some brief testimony from one witness 11 12 presented by Respondent, Dr. Chadwick, by telephonic 13 conference call from England. 14 That necessitates unfortunately that we are 15 not going to be able to put that particular testimony 16 over the telephonic conference call. That's not because this testimony is going to be secret in any 17 way. It will be done here in the public courtroom. 18 19 It will be transcribed. 20 I'm not sure whether it will also be on the internet audio download, but it's not because it's not 21 22 public. It's for the very simple reason that we have 23 only one telephone line available in this courtroom, 24 and when it's coming with the testimony coming in we 25 will not be able to do the telephonic conference call.

1	We will be starting the telephonic
2	conference call tomorrow morning probably sometime
3	around 9:30. As soon as that one witness is done the
4	second witness for the day will be available through
5	the telephonic conference call. We apologize for
б	that, and you can set your schedule for tomorrow
7	accordingly.
8	With that, Mr. Matanoski, who will be doing
9	the examination of Dr. Hanauer?
10	MR. MATANOSKI: Ms. Ricciardella will be.
11	SPECIAL MASTER HASTINGS: Okay. Ms.
12	Ricciardella?
13	MS. RICCIARDELLA: Thank you.
14	SPECIAL MASTER HASTINGS: Dr. Hanauer, could
15	you raise your right hand, please?
16	Whereupon,
17	STEPHEN B. HANAUER
18	having been duly sworn, was called as a
19	witness and was examined and testified as follows:
20	SPECIAL MASTER HASTINGS: Okay. Ms.
21	Ricciardella, please go ahead.
22	MS. RICCIARDELLA: Thank you.
23	DIRECT EXAMINATION
24	BY MS. RICCIARDELLA:
25	Q Good morning, Doctor. Would you please
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2077A HANAUER - DIRECT 1 identify yourself for the Court? 2 А Stephen B. Hanauer. 3 Q And what is your current academic 4 appointment? I am Professor of Medicine in Clinical 5 Α 6 Pharmacology and Chief of the section of Gastroenterology, Hepatology and Nutrition at the 7 8 University of Chicago. 9 Q And would you briefly describe your educational background for us? 10 11 I went to the University of Michigan Δ 12 undergraduate, to the University of Illinois for 13 medical school. I did my training in internal 14 medicine and fellowship in gastroenterology at the 15 University of Chicago, and I remained at the same 16 institution. 17 Would you please describe your fellowship in 0 18 gastroenterology at the University of Chicago? 19 When I did my fellowship between 1980 and Α 20 1982 it was a two-year fellowship. It's currently a 21 three-year fellowship. 22 This entailed specialty training in 23 digestive diseases, which required rotations through 24 endoscopic procedures, rotations through nutrition service, rotations through liver service, a lot of 25 Heritage Reporting Corporation (202) 628-4888

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1 time rotating through inflammatory bowel disease, 2 which is a major component of our institution's 3 practice, and also I spent several months training in 4 pediatric gastroenterology. And do you hold any board certifications? 5 0 6 Α I'm board certified in internal medicine and 7 in gastroenterology. 8 Doctor, would you briefly highlight some of Q 9 the honors you've received in your career? Well, I've risen through the ranks of 10 А 11 academic medicine at my institution. I am now a 12 tenured professor and actually a chaired Professor of Medicine at our institution. 13 14 Within different societies I've won the 15 awards for clinical research and clinical care from 16 the American Gastroenterologic Association. I was the 17 inaugural chair of the Crohn's & Colitis Foundation's 18 Clinical Alliance, which was a group of institutions 19 collaborating in research related to Crohn's disease 20 and ulcerative colitis. 21 I'm a fellow of the American College of 22 Gastroenterology. I've served on the boards. I'm 23 currently on the board of trustees of the American 24 College of Gastroenterology. I've served on the governing board of the American Gastroenterologic 25 Heritage Reporting Corporation

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1	Association and chaired the sections of Inflammation,
2	Immunology and Inflammatory Bowel Disease of the
3	American Gastroenterological Association for six
4	years, and I chaired the Clinical Practice section in
5	the American Gastroenterologic Association for four
6	years.
7	I've chaired the International Organization
8	for Inflammatory Bowel Disease. I've served on the
9	FDA Advisory Panel for Gastrointestinal Drugs and then
10	chaired that panel as well. Some of the things I've
11	done.
12	Q Do you hold any teaching positions in your
13	specialty?
14	A Yes. Again, I'm Chief and Professor of
15	Medicine at the University of Chicago, so we are
16	constantly teaching trainees in gastroenterology,
17	internal medicine and medical students.
18	Q And what do you teach?
19	A I teach gastroenterology, and my special
20	focus within the field of gastroenterology is
21	inflammatory bowel disease.
22	Q And do you also give lectures to
23	professional groups or organizations concerning
24	inflammatory bowel disease?
25	A Yes. I lecture frequently.
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1 Q How often?

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1	A Probably once a week I'm invited to speak at
2	a university or a GI society. I also have been giving
3	the annual lectures on updates of inflammatory bowel
4	disease to the American College of Gastroenterology at
5	their annual meetings for the past years.
б	Also at the American Gastroenterologic
7	Association meetings, as part of their postgraduate
8	courses I've given lectures on inflammatory bowel
9	disease.
10	Q Now, your CV mentions that you are a member
11	of the Crohn's & Colitis Foundation of America. Is
12	that correct?
13	A Yes. I've held various positions with the
14	Crohn's & Colitis Foundation since about 1983 or 1985.
15	Q Are you currently on the Research
16	Initiatives Committee?
17	A Correct.
18	Q What does that committee do?
19	A The Research Initiatives Committee is
20	looking for novel projects that are not necessarily
21	mainstream, looking for cause or new treatments of
22	ulcerative colitis or Crohn's disease, so trying to
23	stimulate research where there is speculation
24	regarding new hypotheses.
25	Q Has the Research Committee of the Crohn's &
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1	Colitis Foundation of America ever received a research
2	grant request to research the relationship between
3	measles virus and Crohn's disease?
4	A The Research Initiatives Committee has not,
5	and I actually spoke directly with the head of
6	research from the Crohn's & Colitis Foundation to see
7	if historically there had been any grant applications
8	to this organization which spends several million
9	dollars a year in research on Crohn's disease, and
10	they have not received any grant application.
11	Q Had they received any grant applications to
12	research a possible relationship between Crohn's
13	disease and autism?
14	A No.
15	Q Doctor, I'd like to go over your experience
16	as a gastroenterologist.
17	I believe you stated you're currently a full
18	Professor of Medicine in Clinical Pharmacology at the
19	University of Chicago School of Medicine. Is that
20	correct?
21	A That's correct.
22	Q And how long have you been a full professor?
23	A I think about 15 years.
24	Q And along with being a full professor at the
25	University of Chicago School of Medicine, what other
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1	positions have you held throughout your career?
2	A Well, I mentioned several in the awards.
3	Within the institution I've served on numerous
4	institutional committees, and I also am co-director of
5	research in inflammatory bowel disease at our center.
б	As I mentioned, I've held positions with
7	national and international organizations that have
8	been focusing on gastroenterology the American
9	College of Gastroenterology, the American
10	Gastroenterologic Association and also specialty
11	societies within that that are focused on inflammatory
12	bowel disease such as the International Organization
13	for Inflammatory Bowel Disease.
14	Q Do you currently have a clinical practice?
15	A Yes. I'm actually the busiest clinician
16	within my section of gastroenterology. I see more
17	patients than anyone else in my section and probably
18	more than anyone else in the Department of Medicine.
19	Q And as part of your clinical practice do you
20	conduct endoscopies?
21	A Yes, I do.
22	Q Approximately how many times per week?
23	A I perform at least 12 or so colonoscopies a
24	week.
25	Q Have you ever diagnosed a patient with an
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1 inflammatory bowel disease? 2 I frequently diagnose patients with Α 3 inflammatory bowel disease, and I frequently 4 undiagnose patients who are referred with a suspected 5 diagnosis of inflammatory bowel disease who don't have б it. 7 0 How many persons with inflammatory bowel 8 disease are you currently following as patients? 9 Α Well, we have a database at our institution regarding patients with ulcerative colitis and 10 11 Crohn's, and over the past year we've seen 6,000 12 patients. 13 Doctor, you've published over 280 articles 0 14 related to GI issues and specifically inflammatory 15 bowel disease. Is that correct? I don't think it's 280 related to 16 А 17 inflammatory bowel disease, but that's about the sum 18 of my peer reviewed publications. 19 In addition, you've published over 70 book 0 20 chapters. Is that correct? 21 I think so, yes. Α 22 And you currently serve on the editorial 0 23 board of approximately nine GI-related medical 24 journals. Is that correct? 25 А Yes.

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1 And your CV states that you're the editor in Q 2 chief of the Inflammatory Bowel Disease Monitor. Is 3 that correct? 4 А Yes. What is that? 5 Q 6 Α The Inflammatory Bowel Disease Monitor is a 7 newsletter essentially that goes out to physicians in 8 the U.S. and Europe related to recent advances in 9 inflammatory bowel disease, again ulcerative colitis or Crohn's disease. 10 11 And you're the section editor of 0 12 Gastroenterology and Hepatology. Is that correct? 13 For inflammatory bowel disease, yes. Α 14 0 And what does it mean to be a section 15 editor? 16 I solicit and review articles to be Α 17 submitted for that journal related to IBD. 18 And you're also the section editor of the 0 19 Inflammatory Bowel Disease Journal. Is that correct? 20 Α I'm one of the section editors, yes. Are you a reviewer for any journals? 21 Q 22 I'm a reviewer for numerous journals. Α 23 Q Doctor, I believe you briefly touched on it, 24 but you currently are conducting research into inflammatory bowel disease. Is that correct? 25 Heritage Reporting Corporation (202) 628-4888

1 Yes. Through my career I've focused on Α 2 clinical research, which is primarily patient-related 3 research, as to the epidemiology and potential cause and certainly therapies for both ulcerative colitis 4 5 and Crohn's disease. 6 Your CV mentions that you're co-director of Q 7 the Inflammation Bowel Disease Research Center. What 8 is that? 9 Α Within our institution we have a group of individuals, both basic researchers, translational 10 11 researchers who work between basic and clinic 12 research, and clinical researchers looking at 13 potential causes of Crohn's disease and ulcerative 14 colitis from a basic research mechanism, looking at 15 some of the risks involved with the disease. 16 For instance, why is cancer more common in 17 patients with ulcerative colitis or Crohn's disease, 18 and certainly looking at novel therapies for these 19 diseases. 20 0 Have you ever received funding from a pharmaceutical company for your research? 21 22 A lot of our research is funded by Α 23 pharmaceuticals related to drug development and also 24 some aspects of the disease. For instance, support for studies related to 25 Heritage Reporting Corporation (202) 628-4888

2086 HANAUER - DIRECT 1 the quality of life, new diagnostic techniques. Many 2 of these are supported by pharma. 3 0 Doctor, have you ever testified as an expert witness in a legal case before? 4 5 Α Yes. 6 0 Approximately how many times? I've testified probably 50 times in medical 7 Α malpractice cases, a few times in toxic tort cases. 8 9 Q And do you testify for the plaintiff or the defendant? 10 11 А In medical malpractice I testify for both 12 sides. 13 And have you ever consulted for a 0 14 pharmaceutical manufacturer in a legal case? 15 А Yes. I am currently consulting with Roche 16 related to Accutane. 17 Doctor, turning to the facts of this case, 0 18 did you review the medical records pertaining to 19 Michelle Cedillo's GI issues? 20 Α I've reviewed many of the medical records. 21 I don't believe I've reviewed 100 percent, but I 22 certainly reviewed those related to her endoscopic and 23 GI evaluations. 24 And did you review the expert report 0 submitted by Dr. Arthur Krigsman in this case? 25 Heritage Reporting Corporation (202) 628-4888

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1 Yes, ma'am. А 2 And did you review the medical literature Q 3 that was submitted with Dr. Krigsman's report? 4 Yes, and expanded that medical literature А with my own searches on PubMed and Google Scholar 5 6 related to possible associations of measles, measles virus, measles vaccine and specifically related to 7 8 intestinal inflammation, what has been described as 9 autistic enteropathy, autism and any inflammatory 10 diseases, so I expanded the search beyond Dr. 11 Krigsman. 12 Q Did you also review the trial testimony of 13 Dr. Krigsman? 14 А Yes. 15 Q And did you review the copies of the slide 16 presentation that Dr. Krigsman presented during his 17 trial testimony? 18 Α Yes. 19 And did you review the pathology slides from 0 20 Michelle Cedillo's January 2002 upper and lower 21 endoscopy? 22 А Yes, I did. 23 Q And did a pathologist at the University of 24 Chicago also review those biopsy slides? 25 Yes. I reviewed the biopsy slides with Dr. Α Heritage Reporting Corporation (202) 628-4888

2088A HANAUER - DIRECT 1 John Hart from our section of Gastrointestinal 2 Pathology. 3 0 And did you review sections of the capsule wireless imaging, also known as the PillCam, taken of 4 Michelle on June 6, 2006? 5 6 Α Yes. I reviewed both the images presented 7 to the Court, as well as the original disk. 8 0 Doctor, in your opinion is there any 9 evidence in the record which shows that Michelle Cedillo has chronic bowel inflammation? 10 11 А No. 12 0 Before we get to the basis for your opinion, 13 I'd like to talk about inflammatory bowel disease. 14 What is inflammatory bowel disease? 15 Α Inflammatory bowel disease encompasses a 16 spectrum of inflammatory disorders of the digestive 17 tract, and depending on the location within the 18 digestive tract the nature of these diseases are quite 19 different, so anything that produces inflammation of 20 the digestive tract would be an inflammatory bowel 21 disease. 22 The most common are infections such as 23 salmonella or okay or the Norwalk agent that produces 24 viral diarrhea or rotavirus, a virus that affects children. Acute infections are the most 25 Heritage Reporting Corporation (202) 628-4888

1 common types of inflammation.

2	We have inflammation in the intestine that
3	may be related to injury such as radiation. We have
4	types of inflammatory bowel disease that are related
5	to medication, in particular nonsteroidal anti-
6	inflammatory drugs that are aspirin-like agents.
7	But there are two types of chronic
8	inflammatory disease of the intestines that we really
9	describe as chronic and idiopathic, meaning we don't
10	know the cause of these diseases, and those encompass
11	Crohn's disease and ulcerative colitis. Those are the
12	main forms of chronic inflammatory bowel disease.
13	There's another form that's called
14	microscopic or collagenous colitis that's a relatively
15	newly recognized form of pathologic inflammation in
16	the setting of a normal endoscopic examination, and
17	that would be another type of chronic inflammatory
18	disease.
19	Q Now, is inflammatory bowel disease the same
20	thing as irritable bowel syndrome?
21	A Absolutely not. The hallmark of
22	inflammatory bowel disease is inflammation. Irritable
23	bowel syndrome is a group of disorders, a group of
24	symptomatic disorders, that affect the digestive tract
25	that are related to increased motility or pressures
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1 within the digestive tract and also an increased 2 perception of that motility within the digestive 3 tract. 4 And what are the symptoms of irritable bowel 0 5 syndrome? 6 Α Irritable bowel syndrome has symptoms of 7 abdominal pain with diarrhea or constipation, but most 8 often with alternating diarrhea and constipation. 9 0 Doctor, you touched on the different 10 inflammatory bowel diseases again, but what are the 11 various inflammatory bowel diseases? 12 Α Again, we should probably limit the 13 discussion to the chronic inflammatory diseases, which 14 are ulcerative colitis and Crohn's disease. Frankly, 15 having read Dr. Krigsman's testimony, he did a pretty 16 good job of defining. 17 Ulcerative colitis is a diffuse, continuous, 18 superficial inflammation. By superficial we mean it 19 only goes through the inner lining, affects the inner 20 lining of the large intestine or what we call the 21 colon. 22 Ulcerative colitis begins always at the anal 23 verge, at the very bottom of the colon, and can affect 24 a more proximal extent of the colon in individual patients, but once any portion of that colon is 25 Heritage Reporting Corporation (202) 628-4888

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1	affected everything downstream to the bottom is
2	affected in the same manner in a very superficial
3	inflammatory process.
4	The ulcerative colitis, if you look at it
5	through a scope, it looks like someone took sandpaper
б	and rubbed the lining of the colon so it looks
7	granular. It looks exactly like underneath a scab.
8	If you have a scab, underneath it is this
9	granular, oozy tissue. The large intestine doesn't
10	make a scab because it's a mucous membrane. It's
11	always moist, so that granular tissue is what looks
12	like ulcerative colitis. In ulcerative colitis, only
13	the large intestine is affected.
14	In Crohn's disease, the pattern of
15	inflammation is different. In Crohn's disease, rather
16	than a continuous pattern of inflammation Crohn's
17	disease is more focal or patchy inflammation that can
18	affect not only the large intestine, but can affect
19	any portion of the digestive tract from the mouth all
20	the way down to the rear end.
21	The pattern of inflammation, the focal
22	pattern, is also deeper so in Crohn's disease the
23	inflammation goes through all of the layers of the
24	intestinal wall and can actually affect an adjacent
25	organ, which we would call a fistula if the
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inflammation actually burrows through. 1 2 So the symptoms or the findings are going to 3 depend on what the disease is and also how severe it 4 is in any particular portion of the digestive tract. 5 Is there such a subtype or entity called 0 6 indeterminate colitis? Yes. Indeterminate colitis refers to 7 Α patients who have such severe ulceration of their 8 9 large intestine, of their colon, that you can't separate the pattern between ulcerative colitis and 10 11 Crohn's disease. 12 It doesn't refer to any minor condition. I 13 would call a minor condition nonspecific, but 14 indeterminate colitis is really a specific condition 15 where the inflammation and ulceration is so severe 16 that you can't separate between the patterns of 17 ulcerative colitis and Crohn's disease. 18 Doctor, this is probably self-explanatory, 0 19 but it is. What does it is mean? 20 Δ In medicine when we refer to itis it means 21 inflammation. Colitis is inflammation of the colon. 22 OK would be inflammation of the OK. The term 23 enterocolitis, entero refers to the small intestine, 24 colon to the large intestine, so enterocolitis would refer to inflammation in both the small and large 25 Heritage Reporting Corporation

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1 intestines. 2 Again, those are nonspecific terms. They're 3 very general. There are many types of colitis. There are many types of enteritis. There are many types of 4 5 enterocolitis. 6 0 Doctor, is there any evidence that viral infections cause inflammatory bowel disease? 7 8 А No. 9 The last page of your report states that, Q "Viral enterocolitis are self-limited." Would you 10 11 please explain what you mean by that? 12 Α Well, this is pretty common. When one of us 13 has a stomach virus, stomach flu, it lasts 24 to 72 14 hours. That would be typically what's known as a 15 Norwalk agent. That's what causes the diarrhea and 16 vomiting on cruise ships. 17 Rotavirus is the most common cause of 18 diarrhea in children throughout the world, and this is 19 a viral infection that causes kids to have diarrhea. 20 It usually lasts three to seven days and then it's 21 gone. There is no chronic viral inflammatory bowel 22 disease. 23 Q So in your report when you state that, 24 "These do not include chronic symptoms," could you just expound on what you mean by that? 25 Heritage Reporting Corporation

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1	A When the intestine is confronted with a
2	bacteria or a virus it develops acute inflammation to
3	get rid of it. That's the way our body gets rid of
4	pathogens or invading organisms.
5	Once that organism is eradicated, the
б	intestine goes back into its normal physiologic amount
7	of chronic inflammatory cells that line the normal
8	intestine.
9	Q Doctor, are you aware of any evidence of
10	measles virus causing inflammatory bowel disease?
11	A Outside of the Royal Free group, no.
12	Q Doctor, what are the neurological
13	complications of inflammatory bowel disease?
14	A There are no specific neurologic
15	complications of inflammatory bowel disease. In other
16	words, ulcerative colitis or Crohn's disease
17	inflammation do not affect the brain or the nerves.
18	On the other hand, there are secondary
19	consequences, so someone with Crohn's disease, for
20	instance, does not absorb Vitamin B12. If you have a
21	Vitamin B12 deficiency that can cause neurologic
22	conditions, particularly a tingling or numbness in the
23	fingers or toes known as a peripheral neuropathy.
24	In addition, if you do an MRI of individuals
25	with inflammatory bowel disease you find nonspecific
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1 changes in up to 30 percent of patients in the brain 2 that is not associated with any symptoms or any 3 specific patterns of neurologic illness. 4 Doctor, what is gastrointestinal reflux 0 5 disease? 6 Α In contrast to inflammatory disease of the 7 intestines, gastroesophageal reflux is caustic, an 8 acid-related injury to the lower esophagus from acid 9 pushing up into the esophagus, which then erodes the lining of the esophagus and causes ulcerations due to 10 11 that caustic or acid injury. 12 Is it an immunologic injury? Q 13 No. It's a caustic injury due to acid, just Α 14 as if you'd put acid on your hand you would have an 15 ulcer and irritation from that. Is it evidence of inflammation? 16 0 17 No. There's no active inflammation aside Δ 18 from the healing components of the ulcer. The injury 19 in acid reflux is due to acid. 20 0 Doctor, how is inflammatory bowel disease 21 diagnosed? 22 Inflammatory bowel disease is diagnosed by, Α 23 first of all, having a suspicion that an individual's 24 symptoms, which would typically be diarrhea, weight loss, fevers, rectal bleeding or abdominal pain, would 25 Heritage Reporting Corporation (202) 628-4888

1 be due to inflammation. 2 So you're looking for inflammatory symptoms, 3 which again are fever, weight loss, bleeding, diarrhea, diarrhea that has inflammatory cells within 4 it, that would lead one to suspect that there's 5 6 chronic inflammation. 7 Then the diagnosis is made by a combination 8 of endoscopic examinations, looking at the tissue, 9 biopsies from the tissue, or if the tissue can't be reached with an x-ray of an area that may represent 10 11 inflammation based on different forms of x-rays or CT 12 scans. 13 You mentioned endoscopies, the necessity of 0 14 having an upper and lower endoscopy. What is an upper 15 endoscopy? 16 An upper endoscopy is a tube that's passed Α 17 through the mouth, down the esophagus, into the 18 stomach and into the first part of the small 19 intestine. 20 0 And what is a lower endoscopy? A lower endoscopy, typically a colonoscopy, 21 Α is a similar tube that's passed up the other direction 22 23 into the rectum that can examine the entire large 24 intestine and frequently get into the bottom part of the small intestine that's known as the terminal, 25 Heritage Reporting Corporation

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2097A HANAUER - DIRECT 1 meaning the end of the ileum. 2 Doctor, what is meant by the term 0 3 histopathology? 4 Histopathology is a microscopic examination Α 5 of tissue that's obtained either with biopsies or at 6 surgery. 7 0 Is it the same thing as pathology? 8 Essentially, yes, but pathology you could Α 9 see gross pathology with just taking the organ, 10 looking at it. The histopathology refers to a 11 microscopic examination. 12 0 And what is the purpose of sending a tissue 13 biopsy for a histopathologic analysis? 14 А Well, different types of inflammation, 15 different types of inflammatory bowel disease, have 16 different types of microscopic or histologic 17 inflammation, so even though a gross or a visible 18 lesion may have several different differential 19 diagnoses to it the examination under the microscope 20 can clarify and help to classify the exact type of 21 inflammation. 22 Doctor, would you diagnose inflammatory 0 23 bowel disease in a patient if the histopathology 24 showed no inflammation? 25 Not unless there were absolutely А Heritage Reporting Corporation

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1 pathognomonic features in areas where you could not 2 biopsy. 3 0 And what does that mean? In other words, if a patient had absolutely 4 Α typical x-ray appearance of Crohn's disease in an area 5 6 that was not accessible we might make that presumptive 7 diagnosis, but that is extraordinarily rare. 8 Virtually 99 percent of patients with 9 ulcerative colitis or Crohn's disease have lesions 10 that are accessible to endoscopy. 11 Doctor, what if you saw evidence of possible 0 12 inflammation during endoscopy, but the tissue 13 diagnosis at pathology found no inflammation? Would 14 you conclude nonetheless that the patient had IBD? 15 Α Absolutely not. You can make the appearance 16 of the intestine look different according to how 17 traumatic the examination is, so if there's a lot of 18 rubbing of the scope along the lining of the intestine 19 it will look as though you've rubbed the skin hard and 20 it will be red. It may be granular. You may actually 21 wipe off some of the cells. So the examination itself 22 can cause lesions that may or may not look like 23 inflammation. 24 There are other lesions, and we'll get to that in our further discussions, that may look like 25 Heritage Reporting Corporation (202) 628-4888

1 inflammatory lesions, but are not inflammatory and are 2 indeed, for instance, traumatic. 3 0 Doctor, if you saw evidence of possible 4 inflammation during endoscopy but the tissue diagnosis comes back from pathology as negative or unremarkable, 5 6 does that mean that the patient's inflammation falls 7 into the category of indeterminate colitis? 8 Α No. Again, indeterminate colitis applies to 9 such severe ulceration that you can't distinguish it, 10 but you can't have an itis without inflammation so you 11 can't have any kind of colitis unless there is active 12 inflammation. 13 Doctor, what percentage of your patients 0 14 with inflammatory bowel disease have normal 15 pathological findings? 16 Α None, but let me extend that a little bit. 17 Essentially none do, but if you go to an area of the 18 intestinal tract that's not affected by the disease 19 that will appear normal. 20 0 Sure. But areas that appear abnormal, to find no 21 Α 22 pathologic correlation to the endoscopic appearance is 23 not seen. 24 Doctor, I know you have a slide here to 0 describe briefly how the digestive tract functions. 25 Heritage Reporting Corporation (202) 628-4888

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1 That's not the digestive tract. There we go. 2 Would you briefly describe how the digestive 3 tract functions? Yes. This is important because we need to 4 Α 5 understand the difference between symptoms that a 6 patient may have and actual pathology. 7 Just to say it outright, diarrhea may be due 8 to inflammation, but there are many other causes of 9 diarrhea aside from inflammation. Understanding a bit 10 about how this tract works helps us understand I think 11 some of the symptoms and what was going on in this 12 patient. 13 The digestive tract is actually a tube 14 through the body. It's open at the top, and it's open 15 on the bottom. Actually anything that's in that tube 16 is outside of our body, and the function of the 17 digestive tract, besides giving pleasure on both ends, 18 actually has two functions. One is that the digestive 19 tract is actually our immunologic eye to the world. 20 More of our environment is sampled through 21 our intestinal tract than the rest of the body. Most of the foreign material we sample is actually through 22 23 the digestive tract, so there is more lymphoid tissue 24 or immune tissue in the gut than any other portion, so the number one function is the immune function of the 25 Heritage Reporting Corporation

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1 gut. 2 The second, of course, is digestion and 3 absorption of nutrients, and in order to digest 4 nutrients and absorb nutrients the intestinal tract is divided into several functional segments. It's one 5 long tube. The first portion is actually the mouth, 6 and the mouth is important because the saliva 7 8 lubricates food, starts to mix it with digestive 9 enzymes that come from our salivary glands. Then the esophagus is the long tube that 10 11 goes from the mouth to the stomach. The esophagus is 12 mainly a transport tube. Once the food hits the 13 stomach the stomach acts like a holding tank or a 14 reservoir, and the stomach mixes the food with digestive enzymes and acid that break the food down 15 16 from big particles into microscopic particles. 17 As food primarily is a liquid exits out of 18 the stomach into the small intestine, the role of the 19 small intestine, first of all, is to mix that liquid 20 with enzymes from the pancreas and from the 21 gallbladder and liver that further break down the 22 liquid into microscopic particles that are absorbed 23 along the length of 20 feet, the 20 foot length of the 24 small intestine. 25 About one quart a day empties from the small

1	intestine into the large intestine, which is known as
2	the colon. The job of the colon is really waste
3	management. The job of the colon is to take the
4	excess water out of that quart and to package stool
5	for convenient elimination.
6	We like to say the colon is often considered
7	a social organ. You can live without a colon. You
8	may not be happy, but you can live without a colon
9	quite normally.
10	Now, about a quart of undigested food, food
11	that's not digested, and the sloughing off of our
12	normal cells because our digestive tract turns over
13	every week the lining of the digestive tract
14	regenerates every week so that quart enters into
15	the large intestine.
16	The large intestine churns around through
17	its motility and as the liquid is in contact with the
18	lining the liquid is absorbed, and as the material
19	moves down the colon it is more or less packaged.
20	Finally, a packaged bolus or fecal bolus
21	reaches the rectum, the bottom of the large intestine,
22	the bottom of the colon, and what happens is that
23	stretches the rectum. When the rectum is stretched,
24	we feel like we have to have a bowel movement.
25	At the same time, there is an unconscious,
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1	an autonomic, relaxation of the lower sphincter, of
2	the anal sphincter at our butt, and this is what
3	maintains our continence and prevents us from losing
4	control.
5	There are two muscles, an internal

6 sphincter, which is under autonomic or unconscious 7 control, and an external sphincter, which is under 8 conscious control. When a bolus of stool reaches the 9 rectum it stretches. We have the urge to defecate, 10 but we don't defecate until we sit down on the toilet 11 and consciously relax our external sphincter and press 12 down and push that bolus out.

Now, the liquidity or solidness, the two extremes of stool, are going to depend on several factors. One of the factors is how long this material is in contact with the colon. If things are rushing through the large intestine, not much of the fluid is going to be absorbed and it's going to come out as loose stool.

The longer it's in the colon the more water is going to be absorbed and the more and more compact that stool is going to be and the more solid it's going to be.

24 Now, what also can happen is that in
25 individuals who are so constipated that they have a
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1 large bolus of fecal material in the rectum, it is 2 stretching the rectum. That leads to a relaxation of 3 that inner sphincter, and we can't consciously control 4 that forever so what happens is the liquid stool actually goes around that formed stool and can 5 6 actually cause diarrhea in the presence of 7 constipation. 8 That's not an uncommon thing, particularly 9 in children who have chronic constipation or mental disorders who are unable to evacuate for one reason or 10 11 another. 12 The liquidity of the stool, whether or not 13 you have diarrhea or hard stools, is going to depend 14 on the motility of the intestine. It's going to 15 depend on what you eat. If we eat prunes, prunes 16 actually have a laxative effect and actually will 17 cause more frequent bowel movements. If we eat no fiber, on the other hand, or an 18 19 Atkins-like diet where there's no fiber to hold in 20 water we can actually be constipated, so there are 21 many aspects, many things that can affect the motility 22 of the colon, the liquidity of the stool, outside of 23 inflammation. 24 Now, the way inflammation causes diarrhea is that the inflammation can either secrete fluid --25

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1	again think of that oozy scar. It's oozing tissues
2	out. That would be one reason, but also if the
3	inflammation impairs the intestine from absorbing
4	nutrients those nutrients actually go into the large
5	intestine and hold water in and can produce diarrhea.
6	The perfect example is when you take Milk of
7	Magnesia. It's a laxative. The magnesium in that is
8	a particle that holds in water and loosens the stool.
9	That can be seen in different foods as well.
10	So the presence or absence of diarrhea can
11	be due to motility. It can be due to foods. It can
12	be due to other medications. It can be due to
13	inflammation.
14	Q Doctor, if the patient presented to you with
15	GI symptoms of diarrhea, constipation and abdominal
16	pain would you assume that that person had an
17	inflammatory bowel disease?
18	A The only conditions that produce diarrhea
19	alternating with constipation is what's known as
20	irritable bowel syndrome. Inflammatory disease
21	produces a chronic persistent diarrhea with
22	inflammation in the stool.
23	Q Now, are fluctuations in bowel movements
24	necessarily caused by inflammation of the bowel?
25	A Absolutely not. As I just stated,
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1	fluctuations in bowel movement could be due to
2	fluctuations in motility in the intestine.
3	For instance, when we scare an animal they
4	defecate. That's come into our common vernacular.
5	We're known as we get scared blankless. That's
б	common.
7	When performers go on stage or attorneys
8	have to go on trial they frequently get butterflies in
9	their stomach, and they get more frequent bowel
10	movements due to the nervous energy and the connection
11	between the brain and the intestine that can affect
12	the motility of the intestine, so there are many
13	things that can affect it.
14	Q Can diet affect the motility of the
15	intestine?
16	A Absolutely. The more fruits and vegetables
17	that we eat that have more fiber, the more looser the
18	bowel movements are going to be.
19	Again, if we're eating foods that have
20	laxative properties like prunes you're going to have
21	liquid diarrhea. On the other hand, if you're eating
22	foods without fiber you're going to have less frequent
23	bowel movements.
24	Q Can food allergies also cause diarrhea and
25	constipation?
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1	A Absolutely. Both food allergies, which are
2	immunologic reactions to food, and also food
3	intolerances, which are sensitivities.
4	For instance, people who will go out and eat
5	hot, spicy food will often have increased bowel
б	movements because the spices act as stimulators to the
7	nerves of the intestine and can increase the motility
8	of that, but foods that can also have laxative
9	properties can affect the liquidity of the stool as
10	well.
11	Another example are foods like milk and the
12	milk sugar, lactose. Many individuals are unable to
13	digest that sugar and that sugar acts as an osmotic
14	particle, meaning it holds water in and can make the
15	stool more liquid.
16	Q Now, Doctor, your report on page 1 states
17	that worsening diarrhea and constipation are not
18	associated with enterocolitis or inflammatory bowel
19	disease. Could you briefly explain what you mean?
20	A Again, inflammatory bowel disease entails
21	inflammation of the intestine which is chronic, unless
22	it's treated, and patients with inflammatory bowel
23	disease that are progressive have progressive
24	diarrhea. They don't get constipation.
25	The only thing that produces alternating
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2108A HANAUER - DIRECT 1 diarrhea and constipation, as I've said, is irritable 2 bowel syndrome, which is not associated with 3 inflammation. 4 Now, is the symptom of persistent diarrhea 0 sufficient to conclude that that person has 5 6 inflammatory bowel disease? 7 Α Not at all. Thirty percent of patients who 8 have irritable bowel syndrome have a diarrhea 9 predominant form. I'd like to turn specifically to the facts 10 0 11 of this case. Now, Michelle has had five endoscopies. 12 Is that correct? 13 Yes. Α 14 And have you reviewed the medical records 0 15 pertaining to each of those five endoscopies? 16 А Yes. 17 Her first endoscopy was on June 10, 2000, 0 18 and that was an upper endoscopy, correct? 19 Α Yes. 20 0 And before we look at those records, 21 Petitioners' Exhibit 44 at 58 describes her GI 22 symptoms that she was having before the endoscopy. 23 I'll read those aloud. 24 "Her usual pattern is that of two to seven 25 mushy stools each day containing visible mucous of a Heritage Reporting Corporation (202) 628-4888

1 variable size, including smears, although there was a 2 recent three-day period without any bowel movement. 3 No blood in the stool is reported. 4 "The patient additionally has frequent 5 bloating of the upper abdomen associated with 6 excessive flatus and sleeps poorly, often waking up at 7 night and appearing upset. 8 "She has a history of frequent requrgitation 9 associated with the constipation up until one year 10 ago, and although she no longer vomits she gags easily 11 and appears to ruminate associated with coughing and 12 taps at her upper chest." 13 Doctor, is this description sufficient to 14 indicate inflammation of the bowel? 15 Α No. The alternation between loose bowel 16 movements and constipation associated with symptoms of 17 gastroesophageal reflux have no specificity or even 18 insinuation of inflammatory bowel disease. 19 Let me just comment on the mucous. The 20 mucous. Irritable bowel syndrome used to be called 21 mucous colitis, which is an inappropriate term because 22 there is no it is in it, but mucousy stools are 23 primarily associated with irritable bowel syndrome. 24 The intestine is a mucous membrane. The lining cells of the colon produce mucous, which 25 Heritage Reporting Corporation (202) 628-4888

1 actually serves as a kind of lubricant and a 2 protective barrier against that lining. 3 0 Doctor, turning to the postprocedure 4 diagnosis following Michelle's June 10, 2000, endoscopy -- I'm referring to Petitioners' Exhibit 44 5 6 at 65 -- the postprocedure diagnosis is erosive 7 esophagitis. What is that? 8 Erosive esophagitis is related to the Α 9 reflux, the movement up, of acid from the stomach, 10 which is normal in the stomach, into the esophagus. 11 Under normal situations the esophagus is 12 protected against acid by the propulsive motility and 13 the sphincter muscle between the esophagus and the 14 stomach, and if that sphincter muscle is loose or if 15 there's increased abdominal pressure the acid from the 16 stomach can come up into the esophagus in 17 inappropriate amounts and produce injury to the lining 18 of the esophagus. 19 That's known as erosive esophagitis or 20 gastroesophageal reflux with esophagitis. 21 Also known as GERD, the acronym GERD? Q Yes. Gastroesophageal reflux disease. 22 А 23 0 Is that an indication of inflammation? 24 It's an indication of acid injury. Actually Α the inflammation is part of the healing in that 25 Heritage Reporting Corporation

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1 situation, but it's not an inflammatory injury. It's 2 a caustic acid injury. 3 0 And the other postprocedure diagnosis is gastritis. What is gastritis? 4 Well, again using our terminology, gastritis 5 Α 6 is inflammation of the lining of the stomach. 7 There are many different types of gastritis. 8 You can have gastritis, as is alluded here, related to 9 a bacterial infection called helicobacter that can also be associated with gastric and duodenal ulcers, 10 11 but there are many things that can cause gastritis. Again, different foods. Allergic reactions 12 13 can cause gastritis. Certainly many different 14 medications, including nonsteroidal anti-inflammatory 15 drugs can do this. Other bacteria and viruses can 16 cause gastritis. 17 I will also mention that there is a specific 18 form of gastritis that's called a multifocal gastritis 19 that has been associated with Crohn's disease 20 identified by pediatricians, but the histologic 21 examination in this patient did not show that 22 particular pattern. 23 0 What is the role of acid damage to the 24 lining of the stomach in causing gastritis? Well, acid can produce inflammation in the 25 Α Heritage Reporting Corporation (202) 628-4888

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1	stomach under several situations. One is if there's
2	too much acid produced it can cause ulcers, but
3	usually if there's some other component in the stomach
4	to cause the injury for instance, if there's a
5	helicobacter infection of the lining in the intestine
6	it can make it more susceptible to acid damage.
7	Again, most frequently in our society it's
8	aspirin-related medicines that actually erode or
9	prevent the lining of the intestine from healing
10	similar to what Dr. Krigsman said in his deposition.
11	It can produce gastritis and also ulcers.
12	Q Now, the record does not contain a report on
13	pathology following this June 10, 2000, upper
14	endoscopy. However, we do have evidence in the record
15	as to what the pathological diagnosis was. I'm
16	referring to Petitioners' Exhibit 44 at 31.
17	It states that following biopsy the
18	histologic evidence was gastroesophageal reflux
19	disease or GERD, correct?
20	A Correct.
21	Q And in addition to focal gastric
22	enteroinflammation was prominent eosinophils. What
23	are eosinophils?
24	A Eosinophils are one of the types of white
25	blood cells that are most commonly associated with
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1 allergic reactions.

2 You'll see eosinophils in patients who have 3 allergic asthma or allergic sinus or nose problems, 4 sinusitis or rhinitis, and in patients who have allergic reactions, and they may be very subtle or 5 6 mild, to foods or to medicines can have increased 7 amounts of eosinophils in the lining of their 8 digestive tract, anywhere actually from the esophagus 9 down into the colon. Are they indicative of inflammatory bowel 10 0 11 disease? 12 Α No, they're not specific in any way for 13 inflammatory bowel disease. They're more indicative 14 of an allergic type reaction or exposure, for 15 instance, to parasites, but we don't have any evidence 16 of a parasitic infection in Michelle. 17 Now, Michelle was put on Prilosec following 0 18 her June 2000 endoscopy. What is Prilosec? 19 Prilosec is a medication that stops the Α 20 stomach from producing acid or greatly reduces acid 21 production from the stomach, and without the acid 22 there's no longer injury to the esophagus and under 23 usual situations the esophageal ulcers then heal. 24 And that's what happened in this case? She 0 had a follow-up endoscopy on December 11, 2000, and 25

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1 the postprocedure diagnosis, which is found at 2 Petitioners' Exhibit 44 at 42, states: "Resolved erosive esophagitis." Does that mean that her GERD 3 4 had resolved? The gross lesions, the visible lesions --5 Α 6 when I say gross I mean visible, although they might 7 be gross as well -- are gone. 8 Q And the pathology report following the 9 December 11, 2000, endoscopy, which is found at Petitioners' Exhibit 44 at 43 through 44 -- we'll blow 10 11 that up for you, Doctor. How do you interpret that 12 pathology report? 13 Just as the... the there's been some Α 14 confusion I think in testimony previously at least 15 with Dr. Krigsman between the term indeterminate and 16 the term nonspecific. 17 Nonspecific means that there are many 18 different explanations for the findings, so 19 nonspecific gastritis means that, as I said, it could 20 be due to acid injury. It could be due to infection. 21 It could be due to trauma. It could be due to other 22 medications. It could be due to, as I said, other 23 infections. 24 So would this pathology report, Doctor, 0 indicate at all to you any inflammatory bowel process 25 Heritage Reporting Corporation

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1 at work? No, and specifically this is not a 2 А 3 multifocal gastropathy or inflammation of the stomach 4 that's been associated in children with Crohn's 5 disease. 6 0 Now, she had her next endoscopy, an upper 7 and lower endoscopy, so the first time she had a 8 colonoscopy was January 31, 2002. 9 The postprocedure diagnosis is found at Petitioners' Exhibit 44 at 13 through 14. We'll look 10 11 at page 14. We'll blow that up. The postprocedure 12 diagnosis was, "Lymphonodular hyperplasia of the 13 colon." What is that? 14 А I started by describing the digestive tract 15 as an immune organ, and the way that the immune tissue 16 is organized throughout the digestive tract is 17 actually in two different ways. 18 There is an underlying continuous layer of 19 chronic inflammatory cells along the lining of the 20 intestine, as we'll see in a few minutes, but also the 21 intestinal tract, in order to process foreign 22 material, is also organized into lymphoid aggregates 23 or little, small, microscopic lymph nodes essentially 24 that line the entire digestive tract. 25 If those appear enlarged we call that Heritage Reporting Corporation (202) 628-4888

1 hyperplasia, so lymphonodular hyperplasia would be an 2 apparent enlargement of the lymphoid tissue in 3 whatever organ you're describing. 4 Is it a normal finding in children? 0 5 Α Yes, it certainly can be a normal finding in 6 children and even increased in children with 7 constipation. 8 Is it evidence of chronic inflammation? Q 9 Α Absolutely not. This is normal lymphoid 10 tissue. It's just larger. 11 Can lymphonodular hyperplasia be associated 0 12 with constipation? 13 Yes, it can be associated with constipation. Α 14 It's thought that because of prolonged contact with 15 stool in patients who are constipated, and the 16 majority of stool is actually bacteria, that may lead 17 to a more increased need to process more bacteria, but 18 it's not pathologic. It's not disease. It's normal 19 tissue. 20 0 Now, Doctor, the results of that January 21 2002 endoscopy also stated that, "The terminal ileal 22 mucosa appeared normal without signs of inflammation 23 and only mild nodularity." Is this a significant 24 finding? It's a normal finding. 25 Α

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HANAUER - DIRECT 1 And, Doctor, the pathology report following Q 2 the January 2002 upper endoscopy is found at 3 Petitioners' Exhibit 44 at 17. We'll pull that up on 4 the screen. 5 I note they use the word unremarkable. What б does an unremarkable finding on pathology mean? 7 Α Normal. No inflammation? 8 Q 9 А Correct. Inflammation would be remarkable. Did you review the slides of tissue taken 10 0 11 from this January 31, 2002, endoscopy? 12 Α I reviewed the slides from the small 13 intestine and large intestine, yes. 14 0 And what did you find? 15 Α That these were normal tissue. 16 Okay. And I believe you alluded earlier 0 17 that a pathologist at the University of Chicago also 18 reviewed those slides? Yes. I reviewed it with our head of GI 19 Α 20 Pathology, Dr. John Hart, so we looked at the tissue 21 together. I did not prejudice him as to what the 22 reasons for looking at the tissue was. I said what do 23 you think of this tissue. 24 0 And what did he find? He felt that it was absolutely normal, as 25 Α Heritage Reporting Corporation (202) 628-4888

1 have all the other pathologists who have reviewed it. 2 0 Doctor, I know you have a couple slides you 3 want to show as to what a normal tissue looks like. 4 We'll put those up on the screen. What are we looking at in Slide 2? 5 6 Α Okay. We are looking at a biopsy of the 7 lining of the colon. The colon has those crypts, 8 which look like the test tubes that are going down. 9 Those crypts are actually the absorptive component of the colon, and at the top layer you can 10 11 see that these crypts are comprised of a single layer 12 of cells, and then underneath that single layer of 13 cells are inflammatory cells, but these are not 14 inflammation. 15 These are chronic inflammatory cells that 16 are constantly sampling the environment. They're 17 sitting there. They're not activated. They're not 18 acute inflammation as we'll see in other examples. 19 You can actually tell what part of the world 20 an individual is from by the amount of these chronic 21 inflammatory cells between these glands. If you're 22 from a third world country where there's a lot of 23 dysentery and bacterial infection we'll see more of 24 those cells. If you're in a very clean environment, a first world country, there will actually be less. 25

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1 This is probably a biopsy with a normal 2 amount of these cells that are inflammatory cells, but 3 this is not inflammation. This is normal cells. 4 The next slide --5 Q Slide 3. What are we looking at? 6 Α Okay. The next slide is an example of how 7 the immune tissue of the intestine is organized into 8 these aggregates. 9 So in the previous slide you saw all these 10 test tubes that were aligned together, but 11 intermittently along the intestine are these small 12 aggregates of lymphoid tissue, which would be called 13 lymphoid aggregates, lymphoid nodules. If there's a 14 big aggregate in the small intestine it's called a 15 Peyer's patch. 16 Now, the lining cells of this are somewhat 17 different. Instead of having those same absorptive 18 cells these cells actually have what's called an M 19 cell, which is a very thinned out cell overlying these 20 lymphoid cells, the lymphocytes, which is able to 21 sample then the environment and tell the lymphocytes 22 whether this is a harmful feature or if it's something 23 that's absolutely normal. 24 And so that area on top of this aggregate is actually very thin, and if that thin cell is eroded we 25 Heritage Reporting Corporation (202) 628-4888

1	would call that an aphthous ulcer, which is an erosion
2	that overlies a lymphoid aggregate anywhere through
3	our digestive tract from our mouth again all the way
4	down to the small intestine, so the simplest form of
5	an aphthous ulcer is the cold sore that we know about
б	that can affect most of us on our lips or gums would
7	be an example of a small ulceration over a lymphoid
8	aggregate.
9	These are again organized in different parts
10	of the intestine, most prevalent at the junction
11	between the large and small intestine, in order to
12	sample the intestinal environment.
13	Q The next slide, Doctor, is a photograph of
14	the tissue slide of Michelle Cedillo graciously
15	provided to us by Dr. Michael Gershon. What are we
16	looking at?
17	A These are cells actually. This is a biopsy
18	of the small intestine. We see the same lining cells.
19	Now, what's happened here is the colon you guys
20	look at me for a second. Thank you.
21	The colon, the crypts, the absorptive cells,
22	are layered down as you saw in the first microscopic
23	slide. In the small intestine, which needs to absorb
24	nutrients, they're out. They reach into the lining,
25	and those are called villi.

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1 What you're seeing here is a biopsy that's 2 cut off. It biopsied those villi, so you cut off the 3 tips of these circumcised villi, but we can see enough into these that you have normal appearing lining cells 4 -- there's no disruption, there's no ulceration; you 5 6 wouldn't see those cells there -- and a normal amount of lymphocytes or chronic inflammatory cells 7 8 underneath it. 9 What you do not see are any acute inflammatory cells. You do not see pus cells or what 10 11 are known as granulocytes, neutrophils or 12 polymorphonuclear leukocytes. They're all the same 13 type of cell that mean acute inflammation. 14 This is the normal amount of chronic 15 inflammatory cells in the small intestine and no 16 evidence of ulceration or aphthous ulceration or 17 underlying ulceration. 18 I believe the next slide is a photograph of 0 Michelle's tissue slide from her colon. What are we 19 20 looking at here? I'm referring to Slide 5. 21 Again, these are two slightly different Α views. Now, remember, as I just showed you, the colon 22 23 has like test tubes so on the right side they've cut 24 across the test tubes like this and so you're seeing the test tubes head on. 25

1	These are normal appearing glands. They are
2	not disrupted in any way, and there is a normal amount
3	of chronic lymphocytes between these cells. They are
4	well organized.
5	In patients who have ulcerative colitis or
б	Crohn's disease these crypts are disorganized.
7	They're irregular in shape, and that's a hallmark of
8	chronic inflammation is what's known as chronic
9	architectural damage. These crypts are perfectly
10	aligned.
11	On the other slide on the left it's cut a
12	little bit more at an angle so you're seeing a
13	different view of these slides, but again there is no
14	disruption of the lining, the epithelial lining.
15	There's no ulceration. There's no increase in amount
16	of chronic inflammatory cells, and there are no acute
17	inflammatory cells.
18	Specifically in inflammatory disease, bowel
19	disease, you would be looking for acute inflammatory
20	cells invading and disrupting those crypts, and that
21	would be known as cryptitis, but we don't see any of
22	this. These are normal.
23	Q Thank you.
24	A It's what we would see in anybody.
25	SPECIAL MASTER HASTINGS: Before you go on,
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2123A HANAUER - DIRECT 1 can you spell a couple terms for us? The crypt? How 2 do you spell that? 3 THE WITNESS: C-R-Y-P-T. SPECIAL MASTER HASTINGS: All right. And 4 5 villi? 6 THE WITNESS: Villi. Villi are the projections of the small intestinal lining into the 7 8 intestine. 9 SPECIAL MASTER HASTINGS: You told us what they are. How do you spell that word? 10 11 THE WITNESS: V-I-L-L. 12 SPECIAL MASTER HASTINGS: Okay. Go ahead. 13 THE WITNESS: Or if you're talking about 14 them in the aggregate you might talk about villis 15 changes. 16 MS. RICCIARDELLA: Thank you. 17 BY MS. RICCIARDELLA: 18 Doctor, if this had been your patient and Q 19 you received the same postprocedure report and the 20 pathology report, would you conclude that the patient 21 had an inflammatory bowel disease? 22 Α Let me just again say that these are just 23 representative biopsies. We've looked at multiple 24 biopsies, and she had multiple biopsies of the 25 intestine. This is just one high power view of a Heritage Reporting Corporation (202) 628-4888

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1 single specimen. 2 If you looked at this in aggregate there 3 would be many different microscopic views. In none of 4 them was there any evidence of active inflammation. 5 Q Okay. 6 Α And, no, I would not have diagnosed this 7 patient with any form of inflammatory bowel disease. 8 These biopsies of the small intestine and of the colon 9 are normal. Even if the patient's clinical presentation 10 0 11 was having watery, acidic, mucous-like stools every 12 day? 13 Again, watery, mucous, acidic have nothing Α 14 to do with inflammation, and certainly the biopsies 15 bear out that there was no active inflammation. 16 0 Okay. Thank you. Michelle had her fourth 17 endoscopy, an upper and lower endoscopy, on 18 September 25, 2003, the one performed by Dr. Krigsman. 19 His findings, his postprocedure report, is 20 found at Petitioners' Exhibit 28 at 454 through 456. 21 We'll look specifically at page 455. He says that the 22 upper endoscopy findings he found esophageal streaking 23 nodularity. What is that? 24 I'm not certain what he means, but some Α streaking or bumpiness would certainly be consistent 25 Heritage Reporting Corporation (202) 628-4888

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1 with someone who's had esophagitis that's been treated 2 and it doesn't heal perfectly normally. It looks a 3 little bit abnormal. There's no specificity to that description. 4 It doesn't fit any pattern of anything. 5 6 0 Is it evidence of inflammation? 7 Α Absolutely not in and of itself without 8 biopsy evidence of inflammation. 9 Q He also found on upper endoscopy two 10 distinct enteral inflammatory mucosal swellings. What 11 does that mean? 12 А Honestly I don't know. Those are not common 13 terminologies used. It's a description of what he saw 14 in the lining, but it has no pathologic correlation to 15 anything that I know of. 16 0 Now, his findings following the colonoscopy 17 are also found on page 455 of Petitioners' Exhibit 28, 18 and he found again the lymphonodular hyperplasia. 19 That's what we were just discussing, correct? 20 Α Yes. 21 And he says he also found following this 0 colonoscopy multiple sigmoidal aphthous ulceration. 22 23 You touched a little bit on what aphthous ulcerations 24 are, but could you describe and explain what exactly those are? 25

1	A Yes. Aphthous ulcers in the intestine are
2	usually pinpoint, barely visible erosions over a
3	lymphoid aggregate that can be due to trauma,
4	medications, the bowel preparation itself, infection
5	or part of the normal intestinal lining.
б	Again, an aphthous ulcer is no different
7	than a canker sore that occurs in the mouth. Those
8	are called aphthous ulcers as well, and they can come
9	and go in healthy individuals or they can be present
10	in patients who have these hyperplastic or grossly
11	enlarged lymphoid aggregates, but in and of themselves
12	they have no specificity whatsoever.
13	Dr. Krigsman in his testimony describes
14	aphthous ulcers in the setting of Crohn's disease, and
15	certainly aphthous ulcers can be the first sign of
16	Crohn's disease, but by no means are they specific for
17	Crohn's disease.
18	Again, we all have aphthous ulcers in our
19	mouths coming and going, and this does not mean we
20	have Crohn's disease.
21	Q Are they specific that there's an
22	inflammatory bowel process at work?
23	A Absolutely not. They can be due to the
24	preparation that you give to cleanse the bowel. They
25	can be due to minor injury.
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1	Some of us get these sores in our mouths
2	from brushing our teeth or from toothpaste. Just
3	minor traumatic injuries can induce this both in the
4	mouth and also in the intestine.
5	Q And can it be considered a normal finding?
6	A It certainly can be found in individuals
7	with no disease whatsoever. We don't know the history
8	of them.
9	Most of these come and go and in children
10	can be present at different times associated with
11	these enlarged lymph nodes in the small intestine,
12	depending on whether there's traumatic injury.
13	Again, if there's constipated stool rubbing
14	against a lymphoid aggregate you're going to get an
15	aphthous ulcer there.
16	Q Can you conclude that there's inflammation
17	just by looking and seeing an aphthous ulcer, or would
18	you like a biopsy and a histopathologic confirmation?
19	A Well, an aphthous ulcer, as we said, doesn't
20	mean inflammation in and of itself. It can be a
21	traumatic injury, just like acid reflux can be due to
22	caustic injury.
23	So without other tissue diagnosis of
24	inflammation either adjacent to that ulcer or some
25	other tissue, it doesn't have any specific meaning
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1 whatsoever. 2 0 Now, your report states that aphthous ulcers 3 can be due to bowel preparation for colonoscopy. I 4 believe that's what you just testified about, correct? 5 Α Yes. 6 0 And your report also states that aphthous ulcers can be related to the use of anti-inflammatory 7 8 medication. What do you mean by that? 9 Α Well, just as Dr. Krigsman mentioned that aspirin-related medicines that we've called 10 11 nonsteroidal anti-inflammatory drugs, which includes 12 aspirin, Advil, ibuprofen, Motrin, Aleve, Vioxx, 13 Celebrex, these medications prevent the lining of the 14 intestine from coming together and regenerating and so 15 it's frequent in patients who are taking those 16 medications to have either these microscopic 17 ulcerations or what we call mucosal breaks in the 18 lining of the intestine; not only in the stomach, but 19 also in the small intestine and in the colon. 20 0 Was Michelle taking nonsteroidal anti-21 inflammatory drugs? 22 Her medical records say she was taking Advil А 23 frequently and often on a continuous basis, so yes. 24 That's ibuprofen, and that's certainly been associated with these same findings. 25

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2128A

2129

1	Q Doctor, the pathology report following this
2	September 2003 endoscopy is found at Petitioners.
3	Exhibit 28 at 407 through 408, which we'll put up on
4	the screen. We're looking at page 407.
5	Do you see anything in this pathology
6	report? Are there any significant pathological
7	findings found in this report?
8	A No, and I should mention particularly that
9	these were interpreted by Dr. Noam Harpaz at Mt. Sinai
10	Hospital in New York, who is one of the world's
11	authorities on inflammatory bowel disease and probably
12	trained Dr. Krigsman in pathology when he was at Mt.
13	Sinai for his fellowship, but these were interpreted
14	as essentially normal.
15	Q The report carries on through page 408,
16	which we'll pull up. Do you see anything in the
17	report on page 408 of any significance?
18	A No. They were all within normal limits,
19	meaning there was no active inflammation.
20	I have not seen any biopsy of her small
21	intestine or her colon either in the reports or the
22	biopsies that I reviewed from 2002 that had any
23	evidence of microscopic inflammation.
24	Q Doctor, if this had been your patient would
25	you say that she had colitis?
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1 There's no itis. Α 2 0 Would you say that she had some form of 3 indeterminate colitis? There is no it is or enteritis. 4 Α What if in addition the patient may also 5 0 6 have had the comorbid condition of arthritis? Would that have made a difference? 7 There's still no itis here, but patients 8 Α 9 with arthritis can develop some lymphoid hyperplasia 10 and aphthous ulcers in their intestines. 11 Whether it's related to the arthritis or 12 that they frequently take these anti-inflammatory 13 medicines for the arthritis is yet to be really 14 elucidated. 15 0 What if in addition the patient may have had 16 uveitis? 17 Uveitis is again often associated with Δ 18 different forms of arthritis. Again, patients are 19 often taking medication for that so you may or may not 20 see them, but there's no specific intestinal 21 correlation to uveitis to my knowledge. 22 What if in addition to the arthritis and 0 23 uveitis the patient also had elevated C-reactive 24 protein? Would that have made a difference? Well, Michelle actually had evidence of an 25 Α Heritage Reporting Corporation (202) 628-4888

2131A

1 inflammatory arthritis. We've seen the joints and the 2 evidence of her eye inflammation. 3 Those in and of themselves would raise the C-reactive protein in the sedimentation rate. You 4 5 don't need to invoke anything gastrointestinal. 6 Q What if a patient also had an elevated platelet count? 7 8 А Again, elevated platelet counts are 9 associated with inflammation anywhere. She had active 10 inflammation in her joints and in her eye. 11 0 What if the patient had an anti-OmpC 12 finding? 13 OmpC is a serologic finding that's been Α 14 associated with disease of the small intestine. It's 15 been tested in patients with Crohn's disease of the 16 small intestine and has been found to be elevated in 17 about 60 percent of patients who have Crohn's disease 18 of the small intestine. It's also been found to be elevated in 19 20 patients who have other diseases of the small 21 intestine, and the problem is it's never been tested 22 in a population of patients with arthritis or patients 23 who are taking anti-inflammatory drugs that make the 24 intestine leaky to what OmpC is. It's a protein from a bacteria. 25

### HANAUER - DIRECT

1	So we don't know what OmpC would look like
2	in patients with arthritis or those taking a
3	nonsteroidal drug. It is not what we call a
4	pathognomonic, meaning a virtual feature, of Crohn's
5	disease. It's an association that may or may not be
6	present in Crohn's disease.
7	Although the lab reports say 95 percent
8	sensitivity or, that's compared to or specificity,
9	that's compared to the normal population. It's not
10	compared to patients with arthritis or those taking
11	anti-inflammatory medicines. We don't know what this
12	looks like in that group of patients.
13	Q Would you prescribe an anti-inflammatory
14	medication regardless anyway?
15	A There are several different types of anti-
16	inflammatory medicines, and that's an excellent
17	question.
18	The ones that we are talking about that
19	produce injury to the lining of the intestine anywhere
20	are called the nonsteroidal anti-inflammatory
21	medicines. Those are the aspirin-like medicines that
22	we've been discussing that I already mentioned
23	Advil, ibuprofen, Aleve, Vioxx, Celebrex.
24	The other types are called steroids. That
25	would be cortisone or its derivative such as
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# HANAUER - DIRECT

1	Prednisone. Now, those actually treat the active
2	inflammation present anywhere in the body and do not
3	cause these lesions in the small intestine or colon or
4	stomach.
5	So when I say anti-inflammatories causing
б	injury, I will be more specific and call them
7	nonsteroidal anti-inflammatory drugs or as we call
8	them NSAIDs, nonsteroidal anti-inflammatory drugs.
9	Q If a patient with this presentation were
10	receiving and taking anti-inflammatory medication and
11	that person's abdominal pain improved and the GI
12	symptoms improved, would you conclude that the patient
13	must have had inflammatory bowel disease?
14	A Absolutely not. These are nonspecific. One
15	of the interesting things is that patients who develop
16	perforating ulcers from taking aspirin or aspirin-like
17	medicine often have no pain. The ulcer is present,
18	but the effects are to block the pain reception from
19	that.
20	Q Doctor, the last endoscopy Michelle has had
21	took place on June 8, 2006. She had another upper and
22	lower endoscopy.
23	The postprocedure report of the upper
24	endoscopy is found at Petitioners' Exhibit 59 at 20.
25	We'll blow that up. It says, "Normal examination."
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### HANAUER - DIRECT

1 What does that mean? 2 А Normal examination. 3 Q Okay. And the postprocedure report of the colonoscopy is found at Petitioners' Exhibit 49 at 23. 4 I'll bring that up on the screen. 5 6 А And I should mention one other thing. It 7 also said, "Rule out gastritis." The reason it says 8 rule out is that gastritis it is a pathologic 9 diagnosis. It's not an endoscopic diagnosis. 10 You can have the appearance of gastritis 11 with or without actual inflammation, so if you 12 traumatize the stomach rubbing it it will look like 13 it's gastritis, but there won't be any significant 14 pathology. 15 0 Now, the postprocedure report following the 16 colonoscopy on June 8, 2006, says, "One aphthous ulcer 17 seen in the transverse colon." Again, to you what is 18 this indicative of? 19 It's completely nonspecific and may be a Α 20 normal finding. A single aphthous ulcer means 21 nothing. 22 And looking at the sigmoid colon it says, 0 23 "Absent ulcer." Is that a typo for aphthous ulcer? 24 Α I don't know. Have you ever heard of an absent ulcer? 25 0 Heritage Reporting Corporation (202) 628-4888

1	A I think that she had many absent ulcers.
2	I'm not certain how many aphthous ulcers she had, but
3	they are described.
4	Q Now, the pathology report following this
5	June 8, 2006, endoscopy is found at Petitioners'
6	Exhibit 49 at 82 through 83. We'll pull that up.
7	What do you conclude from this pathology
8	report? It says, "No pathologic diagnosis."
9	A All of the biopsies of the small intestine
10	and of the let me see if this one actually has the
11	small bowel. Can we move down on that one?
12	Q It might continue onto page 83.
13	A But certainly the colonic biopsies were all
14	normal, and I don't see a biopsy of the small
15	intestine in this.
16	Q Okay. Now, in addition to the upper and
17	lower endoscopy, Michelle also had a wireless capsule
18	imaging taken of her, what's known as a PillCam.
19	Now, we don't have the report of those
20	findings in the record. However, Dr. Krigsman in his
21	written report and in his oral testimony here last
22	week said he saw multiple aphthous lesions and
23	erosions in Michelle's small bowel, and he presented
24	photographs, selected photographs of the aphthous
25	lesions that he said he found.

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2136A

1 Do you agree, Doctor, that this is evidence 2 of chronic inflammation? 3 А Not necessarily at all. First of all, 15 percent of normal individuals will have aphthous 4 lesions in their small intestine. 5 6 Patients taking nonsteroidal anti-7 inflammatory drugs have a high likelihood of having 8 these aphthous lesions and mucosal breaks or erosions 9 throughout their small intestine and often in their colon as well. 10 11 Let's take a look at one of the slides that 0 12 Dr. Krigsman presented to the Court last week. I'm 13 referring to page 18 of Dr. Krigsman's slides. 14 I realize the resolution on this image is 15 not as clear as the slide from the direct presentation 16 he presented, but from Slide 18 he said this was 17 evidence of ulcerations. What are we looking at? 18 What you're looking at is, first of all, Α 19 you're looking at a lot of bubbles, but this slightly 20 pink here, the more salmon colored tissue, are 21 probably what we would call mucosal breaks. They're 22 very shallow erosions. 23 Let me just say the difference between an 24 ulcer and an erosion is depth, so something that is very, very shallow is just like an erosion, like you 25 Heritage Reporting Corporation (202) 628-4888

1 rub off the surface layer. If it's deeper and has 2 visible depth we call that an ulcer. These are little 3 erosions that are seen. 4 Also keep in mind that this pill camera is a little capsule sized pill that is right up against the 5 6 lining, so we are talking about something that is 7 millimeters away, as Dr. Krigsman reported, so these 8 are minute, pin-size head breaks in the lining of the 9 small intestine. Okay. And again, page 19 of his slide 10 0 11 presentation? 12 Α Again, on the right you see mainly bubbles 13 with those little areas of eroded tissue. 14 You can't see that these are aphthous 15 ulcers, but you can see that the color is a little bit 16 different showing that there's been some break in the 17 epithelial barrier. This is most likely due to the 18 nonsteroidal drugs that she was taking. 19 Now, you have a slide, Doctor, do you not, 0 20 of what a colitis lesion looks like? 21 What Crohn's disease looks like. Α Excuse me. Crohn's disease. 22 0 23 Α Yes. 24 Yes. 0 So as Dr. Krigsman said, these aphthous 25 А Heritage Reporting Corporation (202) 628-4888

### HANAUER - DIRECT

1 ulcers may be the first presenting lesions of Crohn's 2 disease, but they don't stay that way. They enlarge. 3 They become-4 Now, this is an endoscopic view. We're not right up against it. We're looking down the tube here 5 6 so we're several centimeters away. 7 And these lesions are 10, 50 times the size 8 of what we saw in the capsule study. We're now 9 actually looking further away, and you can see these deep punched out ulcers, irregularly shaped ulcers and 10 11 also in contrast to what Dr. Krigsman said you can see 12 these areas of redness in between those ulcers. 13 Now, that's Crohn's disease in that area. 14 That biopsy will show active inflammation, but you 15 don't see an aphthous ulcer there. Aphthae --16 aphthous ulcers -- can evolve into these punched out 17 ulcers in Crohn's disease, but you don't need an 18 aphthous ulcer to have evidence of Crohn's disease. 19 This is an example of Crohn's disease in the 20 large intestine and colon. This is very different 21 from the tiny pinpoint aphthae that someone is talking 22 about. 23 SPECIAL MASTER HASTINGS: To be clear, 24 Doctor, this --25 THE WITNESS: This is not Michelle. Heritage Reporting Corporation (202) 628-4888

2138B

HANAUER - DIRECT

1

SPECIAL MASTER HASTINGS: This is not

2139

1 Michelle. This is some other person. 2 THE WITNESS: This is what Crohn's disease 3 looks like. 4 SPECIAL MASTER VOWELL: Dr. Hanauer, it would be very helpful to me if you could use a pointer 5 6 and show us on that picture what it is that you just 7 described. 8 THE WITNESS: How do I do that? 9 SPECIAL MASTER VOWELL: Someone should have a laser pointer in this courtroom. 10 11 THE WITNESS: Okay. Can I stand up? No, I 12 can't. 13 SPECIAL MASTER HASTINGS: Right behind you. 14 THE WITNESS: Sorry. You actually do not 15 see normal tissue here. This red tissue is inflamed, 16 but not yet ulcerated. 17 These white patches are excavations. These 18 are ulcers of Crohn's disease that we call either 19 punched out or linear, but between them you don't see 20 any aphthous ulcers like you saw in Dr. Krigsman's. 21 You may or may not have these aphthae. 22 I wouldn't be surprised if it started as an 23 aphthous ulcer, but this is an ulcer of Crohn's 24 disease. The aphthous ulcers are not specific for anything. 25

2140 HANAUER - DIRECT 1 I think we have just a couple others to show 2 you. 3 BY MS. RICCIARDELLA: That for the record was page 8 of Dr. 4 0 Hanauer's slide presentation. And page 9? What are 5 6 we looking at on page 9? 7 А Okay. This is again the colon. This part 8 of the colon up here is actually pretty normal. It's 9 pink. It's not red, but it's right adjacent to a 10 shallow linear ulcer and then a very deep what we 11 would call a bear claw ulcer. 12 One of the features, ulcerative colitis 13 looks like you rubbed the colon with sandpaper. 14 Crohn's it looks like you take a rake and pick at it 15 or a deep ulceration, so this is a colonic ulcer. 16 Adjacent to it are areas of heaped up 17 tissue. That's swelling around it, around that 18 ulceration. This is quite visible. You don't need to 19 be up against it to see this. This is seen from 20 several inches away. 21 Then I think the next one is an example of 22 an ulceration in the small intestine. Again, you're 23 probably now several inches away. You can see the 24 deep, punched out ulcer here, another linear 25 ulceration.

HANAUER - DIRECT

1 This would be pretty mild Crohn's disease, 2 frankly, of the small intestine, yet you can still see 3 these visible punched out or linear ulcers. Doctor, in your review of Michelle's records 4 0 has there been any biopsy diagnosis of Crohn's 5 б disease? 7 А No, there's not been any biopsy diagnosis of 8 either enteritis or colitis of any kind. 9 0 Among your patients with Crohn's disease, 10 Doctor, how many have normal findings on pathology? 11 If you biopsy within the area of the А 12 disease, the answer would be none. 13 Doctor, assume it's true that as of June 0 14 2006 Michelle does indeed have Crohn's disease. Does 15 that mean that she has had a chronic inflammatory 16 process at work in her bowel all these years? 17 No, by no means. In fact, if she indeed had Α 18 these aphthous ulcers years ago one would have 19 anticipated that they would have extended into some 20 other visible or microscopic feature of Crohn's 21 disease over the years that she's been scoped and/or 22 treated. 23 0 Dr. Krigsman, when he was here last week, 24 showed the Court a photo of a diarrhea-filled diaper that he said is typical of the stool that Michelle 25 Heritage Reporting Corporation

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2141

HANAUER - DIRECT

1 produces. Did you look at that photo? 2 А Yes. 3 0 And is that the type of diarrhea indicative 4 of an inflammatory bowel? 5 А It's not indicative of anything. It's a 6 loose poop. 7 0 What else could be causing that type of 8 diarrhea? 9 Α Anything that could cause diarrhea from a 10 bowel preparation to overflow incontinence in someone 11 who is constipated. 12 In inflammatory bowel disease the stool has 13 evidence of inflammation, which are white blood cells 14 or blood, and to my knowledge she's never had any 15 evidence of inflammatory cells in her stool or blood in her stool. 16 17 If she had blood in her stool would that be 0 18 evidence of inflammation? 19 Not necessarily. It just means that Α 20 something -- that a blood vessel is leaking, and that 21 could be due to trauma. It could be due to 22 hemorrhoids. It could be due to fissures. 23 Certainly constipated kids and adults when 24 they pass a bulky stool that stretches and causes a crack in the anal canal can have blood from that, 25 Heritage Reporting Corporation

HANAUER - DIRECT

1 which would be a fissure and hemorrhoids. 2 Bleeding per se does not mean inflammation. 3 Pus cells in the stool -- not mucous, but pus cells --4 are sign of inflammation. Doctor, does the GI community accept as 5 0 6 reliable the diagnosis of autistic enterocolitis? 7 А To my knowledge, it's not in any of the 8 gastrointestinal textbooks. It's certainly not in our 9 descriptions of inflammatory bowel disease in any of the text related to inflammatory bowel disease that 10 11 I'm aware of. 12 0 Doctor, in your review of the medical 13 records and in your review of Dr. Krigsman's 14 testimony, Michelle certainly has significant GI 15 symptoms, does she not? 16 А Absolutely. 17 And are they deserving of careful care and 0 18 treatment? 19 Α Absolutely. MS. RICCIARDELLA: Thank you. I have no 20 21 further questions. 22 SPECIAL MASTER HASTINGS: All right. Why 23 don't we take our morning break? It's about 10:35. 24 We'll come back at 10:50. 25 (Whereupon, a short recess was taken.) Heritage Reporting Corporation (202) 628-4888

2143

2144A HANAUER - CROSS 1 1:51:29 SPECIAL MASTER HASTINGS: All right. We're back from morning break, and we're now going to have 2 3 cross-examination of Dr. Hanauer. Ms. Chin-Caplan, please go ahead. 4 5 MS. CHIN-CAPLAN: Thank you, Special Master. 6 CROSS-EXAMINATION 7 BY MS. CHIN-CAPLAN: 8 Dr. Hanauer, I just want to be absolutely Q 9 certain about what you're saying. Are you saying that Michelle Cedillo has no GI disease? 10 11 А No. 12 0 Then what are you saying? Everything is 13 normal according to you. 14 А Her biopsies of her intestine are normal. 15 I'm saying that there is no evidence of inflammatory bowel disease. 16 17 Inflammatory bowel disease, but you 0 18 acknowledge that she has bowel symptoms? 19 А I certainly acknowledge that she has bowel 20 symptoms. 21 Doctor, if we go back to Michelle's history 0 22 initially, you're aware that she developed diarrhea 23 approximately 14 days after an MMR immunization? 24 Α I'm aware of those reports. And you're aware that the diarrhea persisted 25 0 Heritage Reporting Corporation (202) 628-4888

2145 HANAUER - CROSS 1 for about perhaps 30 weeks or so? 2 I'm not certain of the exact length of that. Α 3 Q But it did not resolve immediately. Is that 4 true? I haven't seen the specific records of that 5 А 6 interval, but I would not contest that. 7 Q Okay. 8 But I've not seen the records, and I can't А 9 agree with it. 10 0 Okay. Doctor, are you aware that one of the 11 adverse effects after a measles vaccine can be 12 diarrhea? 13 I'm aware that that has been reported after Α 14 measles vaccination. Whether causation or some other 15 cause of diarrhea, to my understanding, has not been 16 established. 17 Okay. But you acknowledge that it has been Q 18 reported? 19 I certainly acknowledge that it has been Α 20 reported consistent with what happens in the general 21 population. 22 Okay. So in your opinion, the diarrhea that 0 23 Michelle had approximately two weeks after her 24 immunization, would that be related to her 25 immunization?

2146 HANAUER - CROSS I do not know. 1 Α 2 0 Okay. You have no opinion? 3 Α I think there are many reasons she may have had diarrhea two weeks after her immunization that 4 have nothing to do with the immunization. 5 6 0 And what would they be? She could have had a food intolerance. 7 Α She 8 could have had another infection. It could have been 9 her first symptoms of irritability. There are many explanations. As we said, 10 11 diarrhea is not a specific symptom for anything. 12 0 Is there any documentation in the record at 13 that particular time after the immunization of any 14 food intolerances? 15 Α Not to my knowledge. 16 You mentioned another infection. Are you 0 17 referring to a GI infection? 18 Any kinds of infections in children can Α 19 cause diarrhea. Many kids who have ear infections can 20 get diarrhea associated with that. 21 Or, the antibiotic that she was administered for the infection, presumed infection, that she had 22 23 after the vaccination. She did get an antibiotic. 24 That could have caused diarrhea. Usually with infections and antibiotics once 25 0 Heritage Reporting Corporation

2147

1 the infection resolves and the antibiotic ends the 2 diarrhea goes away, doesn't it? 3 Α That aspect of the diarrhea goes away, but 4 many people, in particular those who have had irritable bowels, have persisting symptoms after some 5 6 inciting stimulus. 7 0 Persisting symptoms for how long? 8 Α They can be for years or even longer, but 9 her diarrhea did not persist. She then developed constipation. 10 11 So it's your opinion that after a dose of 0 12 antibiotics you can have weeks of diarrhea? 13 Α Certainly. 14 0 And you consider that normal? 15 Α No, I wouldn't consider that normal. I 16 would say it's often related to the antibiotics. 17 We know there are many people who get 18 antibiotics get diarrhea from it. They may develop 19 changes in their bacterial flora and have continued 20 diarrhea for a period of time. 21 And when you indicate they have a change in 0 22 their flora, it's related to the antibiotic 23 administration, isn't it? 24 Α Yes. And once that ends, the flora returns back 25 0 Heritage Reporting Corporation (202) 628-4888

1 to its normality, doesn't it, as a rule? 2 Usually it does, but often there are other А 3 strains, such as clostridium difficile, that may 4 produce, that may overgrow and cause persisting 5 symptoms. б 0 Doctor, isn't the clostridium difficile 7 related to the use of antibiotics? 8 It may or may not be. Usually it is related А 9 to antibiotics, but it can be associated with just exposure to C. difficile. It could be related to 10 11 other underlying illnesses, and it can be related to 12 other therapies, other medications. 13 Any indication in the medical record that 0 14 she had C. difficile? 15 А I don't have any evidence that she was 16 tested in those weeks. Is there any evidence in the medical records 17 0 18 that she had C. difficile at that point in time? 19 To my knowledge, nobody looked for it. Α 20 0 Doctor, you're not a pediatric 21 gastroenterologist, correct? 22 А Yes. 23 SPECIAL MASTER HASTINGS: Before we go on, 24 Ms. Chin-Caplan, what was the term you were asking him 25 about. C?

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2148A

2149 HANAUER - CROSS 1 MS. CHIN-CAPLAN: Difficile, 2 D-I-F-F-I-C-I-L-E. It's a bacteria. 3 SPECIAL MASTER HASTINGS: All right. And 4 it's capital C? 5 MS. CHIN-CAPLAN: Capital C period for 6 clostridium difficile. 7 SPECIAL MASTER HASTINGS: All right. Go 8 ahead. 9 MS. CHIN-CAPLAN: Thank you. BY MS. CHIN-CAPLAN: 10 11 Doctor, would it be fair to state that 0 12 children are not little adults? 13 In certain aspects, children are not little Α 14 adults. In many aspects they are. 15 0 With respect to the GI tract, are children's GI tracts the GI tract of little adults? 16 17 Α In 99 percent of the aspects, and of course 18 it depends on what age you're talking about. Neonates 19 have slightly different digestive -- the lining of the 20 intestine is more absorptive in neonates, but the 21 closer the kids get to adulthood the more mature the 22 digestive tract is. Within several years, the 23 digestive tract is essentially the same. 24 Just like kids can have enlarged lymph nodes 25 from a variety of things, lymphoid hyperplasia is seen Heritage Reporting Corporation

2150A

## HANAUER - CROSS

1	much more commonly in kids than it is in adults.
2	Q So with respect to a five-year-old child,
3	would her GI tract be comparable to that of an adult?
4	A In almost all aspects aside from the
5	increased presence of this lymphoid hyperplasia that
6	is common in children. Otherwise the digestive tract
7	would look both endoscopically and microscopically the
8	same as an adult.
9	Q So if they're essentially the same at five
10	years old as that of an adult, why do we have the
11	field of pediatric gastroenterology?
12	A Some of the disease that affect children are
13	different from those that affect adults, and there are
14	some developmental abnormalities in kids that are not
15	seen in adults of the digestive tract but for, and in
16	children who do have chronic intestinal disease some
17	of the complications related to growth are different
18	than adults.
19	So pediatric gastroenterologists primarily will
20	focus the difference between a pediatric and an adult
21	gastroenterologist in number one, the set of diseases
22	in young kids may be somewhat different, but also the
23	focus on growth and nutrition is very important for
24	pediatrics and less focused of adult
25	gastroenterologists.

1 So there are differences? Q 2 А In what? 3 0 There are differences in the treatment of 4 children as opposed to those in adults with GI 5 problems? б А The medical therapies for the diseases are the same, although you need in children to focus on 7 8 nutrition to allow growth. 9 0 Well, didn't you also indicate that there are certain disorders that are prevalent in the 10 11 pediatric population that are not seen in the adult 12 population? 13 Α Congenital disorders, yes. 14 0 So no others? 15 Α That's the main issue. The main issues I 16 think as I told you are developmental or congenital 17 disorders in kids and the complications of the 18 diseases related to growth and nutrition. 19 Now, Doctor, let's get back to Michelle's 0 20 history. We know that she had an MMR immunization and 21 approximately two weeks later she developed diarrhea which persisted for number of weeks. You would agree 22 23 with that? 24 Α Yes. And then it developed into constipation for 25 0 Heritage Reporting Corporation (202) 628-4888

2152A HANAUER - CROSS 1 a period of time. Is that true? 2 А Yes. 3 0 And then it reverted back to diarrhea. Is 4 that true? I think it alternated between diarrhea and 5 Α б constipation. 7 0 Okay. And by the time she was five years 8 old she was worked up for her diarrhea, correct? 9 Α Yes, and also was having constipation at that time as well. 10 11 So she was having GI symptoms? 0 12 Α No question. 13 GI symptoms apparently were unrelated to 0 14 anything such as foods, correct? 15 А I did not say that. 16 Okay. Do you recall whether any of her 0 17 physicians looked for the common causes of GI 18 problems? 19 Α They looked for common causes, yes. 20 0 And did they find any? 21 There were questions of whether she had food Α sensitivities or not, she was put on- she changed her 22 23 diet from cow's milk off and on, so there were foods 24 that she was sensitive to, yes. 25 Even when the foods were changed and 0 Heritage Reporting Corporation

2153A HANAUER - CROSS 1 everything did her symptoms abate? 2 А Her symptoms continued to alternate between 3 diarrhea and constipation. One may or may not have 4 been predominant for any period of time. 5 But she continued to have GI symptoms? 0 б А No question that this patient had GI 7 symptoms. 8 And it was perfectly normal then for a 0 9 pediatric gastroenterologist to take her in for a 10 diagnostic work up, correct? 11 А To work up which aspect? 12 0 Her GI symptoms. 13 Α It would be normal to work up those 14 symptoms. 15 Q And at the first upper endoscopy an ulcer was noted, correct? 16 17 Α An esophageal ulcer was noted. 18 0 Right. And they ordered treatment for that, 19 correct? 20 Α Yes. 21 And after the treatment they did another Q 22 upper GI. Is that true? 23 Α Yes. 24 That essentially showed a healed ulcer? Q 25 А Yes. Heritage Reporting Corporation (202) 628-4888

2154A

	HANAUER – CROSS
1	Q Did her symptoms go away?
2	A Her reflux symptoms improved.
3	Q Did her other GI symptoms go away?
4	A No. There would be no reason why treating
5	an esophageal ulcer would impact on other symptoms,
6	although please note that when some of her medicines
7	for the ulcer were increased, the Prilosec, that she
8	got more diarrhea, which is a known consequence of
9	that class of medicines.
10	Q So you think that the diarrhea might have
11	been related to drugs at that point? Is that it?
12	A No, I don't think that it was solely related
13	to drugs. I think there are many things as I
14	described that can cause diarrhea, and they don't need
15	to be in isolation, they can be in composite, and in
16	children like this they can vary according to changes
17	in the diet and changes in medication.
18	Q Okay. So they had already made an attempt
19	to change her diet earlier and the symptoms persisted.
20	They found an abnormality on upper endoscopy, they
21	treated it and the symptoms still persisted, correct?
22	A You're lumping everything together. Her
23	reflux symptoms improved for that. She had varying
24	lower abdominal symptoms through her course.
25	Q Okay. So her lower abdominal symptoms
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2155 HANAUER - CROSS 1 persisted, correct? 2 А In varying forms. 3 Q Yes. And, Doctor, you reviewed the medical 4 records. When those lower abdominal symptoms persisted it led to a weight loss of approximately 25 5 б pounds, didn't it? 7 А I don't know that the symptoms led to a 8 weight loss. I will not contest that she lost 25 9 pounds, but this young lady has obviously complex issues related not only to her digestive tract but to 10 11 other organs and her growth and behavior. 12 0 Well, Doctor, how does one lose 25 pounds? 13 Α Most often by not eating. 14 0 Would the persistence of diarrhea also lead 15 to the loss of weight? 16 Α In this young lady absolutely not. 17 0 It didn't? 18 Α No. 19 Well, Doctor, you know that she was 0 20 hospitalized in 2003, correct? 21 Α Yes. 22 And do you recall what the reason for that 0 23 2003 hospitalization was? 24 Α Yes. What was it? 25 0 Heritage Reporting Corporation

2156A HANAUER - CROSS 1 I don't remember the exact terms, but weight Α 2 loss and continuing to have symptoms, different 3 digestive symptoms, at that time. 4 And wasn't one of the causes also 0 dehydration? 5 6 Α Yes. 7 0 So how does one get dehydrated, Doctor? 8 Α Well, you're trying to imply that the 9 diarrhea causes weight loss, and I do not accept that in this individual. The weight loss in this 10 11 individual was from reducing her dietary intake, which 12 is common in this group of patients. 13 But would you accept that the dehydration 0 14 was related to the diarrhea? 15 А No. It was related to not drinking enough 16 to compensate for her bowel activity, whatever it was, 17 at the different times. 18 So the diarrhea had absolutely nothing to do 0 19 with this hospitalization? 20 Α No. 21 So are you aware that during this 0 22 hospitalization because Michelle was unable to eat 23 that she eventually had a feeding tube put in? 24 When you say unable to eat I don't know- I Α would interpret that somewhat differently. 25 She was Heritage Reporting Corporation (202) 628-4888

2156B

HANAUER - CROSS

1 not eating enough.

2157A HANAUER - CROSS 1 Whether she was able to eat or refusing to eat is a 2 different issue, and I can't account for that. I 3 don't know. 4 But a feeding tube was put in, wasn't it? 0 5 Α Yes. 6 0 Because she was malnourished, correct? 7 А Yes. 8 Q Doctor, they started her very slowly 9 initially, didn't they? 10 А Yes. 11 And they had to gradually increase the rate 0 12 of her feeding tube? 13 Which is what's routinely done. Α 14 Yes. That's because the GI tract becomes 0 15 somewhat intolerant to food when it hasn't had any for a while. Isn't that true? 16 17 No, that's not th А 18 If you give a full amount of feeding right e case. 19 away you're going to overcome the normal ability of the intestine to absorb, so our intestines, like most 20 21 of our organs, are able to adapt, and the way to do 22 that is to start slowly and to advance gradually. 23 0 So it doesn't cause diarrhea? 24 So it doesn't worsen the diarrhea. Α 25 Certainly.

2158A

HANAUER - CROSS

1 0 That she already had? 2 Α She had other symptoms as well including 3 constipation at that time. 4 So, Doctor, let's bring us forward now. 0 We've had an MMR immunization, we've had diarrhea two 5 6 weeks afterwards, and that diarrhea persisted for a 7 while. You would agree with that. We have an upper 8 GI which shows an ulcer and which subsequently healed, 9 but the diarrhea persists. 10 Then we have a hospitalization for a 25 11 pound weight loss for malnutrition and dehydration, 12 and you're not attributing that hospitalization to the 13 diarrhea at all? Dr. when you received these medical-14 А No. Not in isolation. Put it that way. 15 Well, let's put it all together then. 0 16 She wasn't eating enough. А 17 She wasn't eating enough, but the diarrhea 0 18 had nothing to do with this? 19 The diarrhea in small ways increased her Α 20 fluid losses, but the majority of time she's been able 21 to compensate for that by increasing her intake either be it feeding tubes or orally. 22 23 0 So now, Doctor, earlier, she had been able 24 to eat orally. Now, she was not able to eat orally, 25 correct?

2158B

HANAUER - CROSS

1 A No. She was not eating.

2159A HANAUER - CROSS 1 She was not eating. And she required a tube 0 2 feeding to sustain her caloric status, correct? 3 Α Yes. 4 So she was eating earlier in her life, and 0 then at five years old, or eight years old when she 5 б was hospitalized she was no longer eating and required 7 tube feedings, correct? 8 А Yes. 9 0 Would you consider that a deterioration in her GI status? 10 11 Α No. You wouldn't. Now, you've reviewed the 12 0 medical records, correct? 13 14 Α Yes. 15 0 And are you aware that there was a positive 16 measles gut biopsy obtained at approximately age 17 three? 18 Α I'm aware of that in the records. 19 Okay. And do you assign any significance to 0 20 the positive gut biopsy in her GI symptoms? 21 No. Α 22 They're just isolated? 0 No. 23 Α No. I don't know the validity of those 24 findings. 25 Well, assume it's valid. 0 Heritage Reporting Corporation (202) 628-4888

1	A Okay.
2	Q If it's valid would you attribute her GI
3	symptoms to the positive gut biopsy?
4	A No.
5	MR. MATANOSKI: Just a minute. For
6	clarification, Your Honor, there was a misstatement of
7	fact in terms of the record, and if you're going to
8	pose a hypothetical that's based on this record even
9	if we're supposed to assume a fact I think it ought to
10	be a fact that's reflected in this record. The fact
11	that was misstated for the hypothetical goes back to a
12	couple of questions previously when Ms. Chin-Caplan
13	said are you aware of a positive measles virus biopsy
14	at age three.
15	As I recall, the biopsy was taken much later
16	in Michelle Cedillo's life, and in fact would be after
17	these hospitalizations that she's talking about right
18	now.
19	MS. CHIN-CAPLAN: I stand corrected, Special
20	Master. It was in 2002.
21	SPECIAL MASTER HASTINGS: All right. Go
22	ahead.
23	BY MS. CHIN-CAPLAN:
24	Q Now, Doctor, we know that there's a positive
25	measles gut biopsy in 2002, correct?
	Heritage Reporting Corporation

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2160

HANAUER - CROSS 1 Α No. 2 You don't know? Q I know that on the records that a lab 3 Α 4 reported it as positive, but as I said I do not know 5 the validity of that lab or report. 6 0 Okay. And, Doctor, the hospitalization for 7 malnutrition, and weight loss and where the feeding 8 tube was inserted was in 2003? 9 А Yes, I believe so. Okay. So, Doctor, knowing that this biopsy 10 0 11 had taken place in 2002 and had yielded positive 12 measles virus RNA in the gut would you sitting there 13 associate her gut symptoms to the measles virus that 14 was recovered in her gut tissue? 15 А Absolutely not. 16 Doctor, would you associate any symptoms 0 17 with the positive gut biopsy? 18 I'm not aware of any symptoms associated Α 19 with an intestinal biopsy for measles. 20 0 Doctor, it's a virus, right? 21 It's a virus. Α 22 And do viruses cause GI symptoms? 0 23 Α Some viruses can cause acute GI symptoms. 24 I'm not aware of any virus that causes chronic symptoms. By the way, the biopsy that you're talking 25 Heritage Reporting Corporation (202) 628-4888

2161A

2162A HANAUER - CROSS 1 about did not demonstrate full viruses. It showed if 2 it was valid it showed RNA from viruses, which does 3 not mean that these are replicating active viruses. 4 And you were not here for the testimony of 0 5 Dr. Kennedy, were you? б А No, I was not, and I've not read that 7 testimony. 8 Q Okay. Now, Doctor, you write. You're an 9 author of papers, correct? 10 А Yes. 11 0 Okay. Did you write an article entitled 12 Inflammatory Bowel Disease: Epidemiology, 13 Pathogenesis and Therapeutic Opportunities? 14 А Yes. 15 0 That was published in Inflammatory Bowel Disease in 2006? 16 17 Α Yes. 18 MS. CHIN-CAPLAN: Okay. And, Doctor, we're 19 going to try and show you this. I'm sorry. It's on 20 page 9. 21 SPECIAL MASTER HASTINGS: Are you about to 22 show something? 23 MS. CHIN-CAPLAN: Yes. 24 SPECIAL MASTER HASTINGS: What is it? Is it 25 something that's in the record? Heritage Reporting Corporation (202) 628-4888

1 MS. CHIN-CAPLAN: No, it's not, Special 2 Master. 3 SPECIAL MASTER HASTINGS: All right. Do you 4 have any copies of it? It's a medical journal 5 article? 6 MS. CHIN-CAPLAN: Yes. It's an abstract, 7 Special Master. We don't have copies, we're just 8 going to show it on the screen. 9 SPECIAL MASTER HASTINGS: All right. While we're waiting for you let me take care of another 10 11 housekeeping item. Dr. Hanauer talked about a series 12 of slides that were numbered, and we've now been given 13 paper copies of those slides. Let's mark that set of 14 slides as Respondent's Trial Exhibit No. 14. I'm 15 sorry, 15. Let's mark it as Respondent's Trial Exhibit No. 15. 16 17 Go ahead then, Ms. Chin-Caplan. 18 BY MS. CHIN-CAPLAN: 19 Okay. So, Doctor, this is an abstract from 0 20 Inflammatory Bowel Disease. Is this your article? 21 Yes. Α 22 And it talks about ulcerative, colitis and 0 23 Crohn's Disease, correct? 24 Α Yes. And you talk about who it occurs in, 25 0 Heritage Reporting Corporation (202) 628-4888

2163A

2164 HANAUER - CROSS 1 correct? 2 А Okay. 3 Q Okay. You indicate that environmental 4 factors can play a role, correct? 5 Α Yes. 6 0 Okay. You say that there's clearly an 7 established genetic link between certain NOD2 variants 8 and Crohn's Disease. Is that it? 9 А Yes. Regardless of the underlying genetic 10 0 11 predisposition a growing body of data implicates a 12 dysfunctional mucosal immune response to commensal 13 bacteria in the pathogenesis of IBD, especially 14 Crohn's Disease. Possible triggers include a chronic 15 inflammatory response precipitated by infection with a 16 particular pathogen or virus or a defective mucosal 17 barrier. Have I read that correctly? 18 Α Yes. 19 So viruses can initiate an inflammatory 0 20 process you say? 21 We know that's stated that viruses can cause Δ 22 an inflammatory process in the intestine. 23 0 And can it lead to the development of a 24 chronic inflammatory bowel process? 25 А We don't know that yet. Heritage Reporting Corporation

1 Okay. Well, isn't that what your article 0 2 said? 3 Α No. Let me read it again. It says possible 4 0 5 triggers include a chronic inflammatory response 6 precipitated by infection with a particular pathogen or virus or a defective mucosal barrier. 7 8 Yes. We are continuing to look for the А 9 cause of Crohn's Disease and ulcerative colitis, and 10 we are focusing on microorganisms such as viruses, 11 bacteria, and thus far we have not identified any that 12 have been associated with the development of Crohn's 13 Disease. 14 0 Doesn't this article indicate however that a 15 chronic inflammatory response can be triggered by an infection or a virus? 16 17 That is the hypothesis that we are currently Α 18 working on. 19 So you acknowledge that there's some 0 20 evidence to support this? 21 To support what? Α 22 The fact that a chronic inflammatory 0 23 response can be triggered by an infection or a virus? 24 Α We know some situations where that is the case, but we do not know of any virus or bacteria that 25 Heritage Reporting Corporation

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2165

2166A HANAUER - CROSS 1 leads to a chronic inflammatory response in patients 2 with Crohn's Disease or ulcerative colitis. 3 0 Now, Doctor, would you agree that a person 4 has symptoms for a very long time before Crohn's Disease or ulcerative colitis is diagnosed? 5 6 Α They may or may not. 7 0 Right. Doctor, would you agree as you 8 indicated that Crohn's Disease can start with the 9 beginning of aphthous ulcers? 10 Α Can start, yes. 11 Yes. And that's what Dr. Krigsman said 0 12 during the case, correct? 13 Dr. Krigsman described the aphthous ulcer as Α 14 the initial lesion of Crohn's Disease. 15 Q Right. And do you disagree with that? I think that it can be one of the initial 16 Α 17 lesions of Crohn's Disease that evolves into the 18 ulcers that I showed on my slides. They don't stay 19 constant as aphthous ulcers that come and go through 20 the digestive tract. 21 Correct. So they would progress, yes? Q 22 А Yes. 23 0 Into the classic presentation that you would 24 see of crypts, correct? I don't know what you mean. 25 Α Heritage Reporting Corporation (202) 628-4888

2167

1	Q Well, what are the classic pathological
2	findings that you see in Crohn's Disease?
3	A Focal acute inflammation with or without
4	granulomas.
5	Q So you don't see projecting villi and crypts
6	at all?
7	A Projecting villi is normal, crypts are
8	normal.
9	Q Okay. And, Doctor, would you agree that
10	sometimes it's just hard to be able to tell where one
11	process begins and another one ends?
12	A I don't know what you're talking about.
13	Q Well, would the inflammatory bowel disease
14	be on a spectrum?
15	A That doesn't imply beginning and ending to
16	me. I don't know what you're asking.
17	Q Okay. Can you have very mild symptoms of
18	inflammatory bowel disease with mild findings and at
19	the other end you would have Crohn's Disease and
20	ulcerative colitis?
21	A That's not what I was speaking to.
22	Q Well, I'm asking your opinion.
23	A You can have mild Crohn's Disease or mild
24	ulcerative colitis. Symptoms of irritable bowel do
25	not progress to Crohn's Disease.
	Heritage Reporting Corporation

HANAUER - CROSS 1 Q So you're saying that the more generalized 2 type of colitis that occur can never progress to 3 Crohn's Disease? 4 I have no idea what you're talking about in Α 5 more generalized colitis. That has no meaning to me. 6 Okay. So, Doctor, did you author an article 0 7 on Update on Etiology, Pathogenesis and Diagnosis of 8 Ulcerative Colitis? 9 А Yes. 10 0 And it was published in The National 11 Clinical Practical Gastroenterology and Hepatology? 12 Is that the journal? 13 Yes. Α 14 0 And that was published in 2004? 15 Α Yes. 16 And, Doctor, in the next to the last 0 17 sentence did you say in particular it's difficult to 18 discriminate ulcerative colitis from other forms of 19 colitis including Crohn's Disease, and there seems to 20 be a growing overlap of pathophysiologic processes 21 between ulcerative colitis and postinfectious 22 irritable bowel syndrome? Did you write that? 23 Α Yes. 24 Patients who remain indeterminate between 0 25 ulcerative colitis and Crohn's Disease also continue Heritage Reporting Corporation (202) 628-4888

2168A

2169 HANAUER - CROSS 1 to be a diagnostic challenge. Is that true? 2 А Definitely. 3 Q Okay. Was it true when you wrote it? 4 Α Yes. 5 Q And is it true today? 6 А Yes. 7 So, Doctor, continuing back with Michelle's 0 8 history here --9 SPECIAL MASTER HASTINGS: Before we go on you've now cited two abstracts of Dr. Hanauer. Can 10 11 you file those, one as I think we're up to 12 Petitioners' Exhibit 10. 13 MR. SHOEMAKER: Your Honor, if we could file 14 all of this thing as one exhibit? There are 10 pages. 15 SPECIAL MASTER HASTINGS: Well, we need to 16 make a reference to them. Just say Petitioners' Trial 17 Exhibit. Use the word trial since you already have 18 other exhibits. 19 MR. SHOEMAKER: The first thing referred to 20 is page 9 of that exhibit. 21 SPECIAL MASTER HASTINGS: All right. Listen for a second, would you? I have a list here of nine 22 23 items that we've already referred to throughout the 24 trial as Petitioners' Exhibits 1 through 9. Trial exhibits. Petitioners' Trial Exhibits 1 through 9. 25 Heritage Reporting Corporation (202) 628-4888

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HANAUER - CROSS
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2170

1 If you want to file all of those on one CD, fine, but 2 label it CD of Petitioners' Trial Exhibits 1 through 3 whatever number we get to. 4 All I'm saying is we're going to add these two abstracts as Petitioners' Trial Exhibit 10 and 5 Petitioners' Trial Exhibit 11. We've already referred 6 to these. That will make it easier for us to get back 7 8 to them if we need them. 9 Go ahead, Ms. Chin-Caplan. MS. CHIN-CAPLAN: Thank you, Special Master. 10 11 BY MS. CHIN-CAPLAN: 12 So, Doctor, we're up to 2003 with Michelle's 0 13 history now. You know that shortly after this 14 hospitalization she went to see Dr. Krigsman? 15 Α Yes. 16 And you know that Dr. Krigsman did an upper 0 17 and lower endoscopy, correct? 18 Α Yes. 19 Do you recall what his findings were? 0 20 А I believe we've already looked at those, but 21 yes. 22 Do you recall that his colonoscopy revealed Q 23 an aphthous ulcer in the sigmoid colon? 24 Α Yes. And this is the first documentation of an 25 0 Heritage Reporting Corporation (202) 628-4888

2171A

1	aphthous ulcer in Michelle. Is that true?
2	A The previous colonoscopy had shown some
3	lymphoid hyperplasia in the same area, but I believe
4	this is the first description of an aphthous ulcer.
5	Q Okay. Doctor, while she was there an OmpC
6	was also drawn. Is that true?
7	A Yes.
8	Q What is an OmpC?
9	A OmpC stands for the outer membrane pore,
10	that's the Omp. It is a bacterial protein that is
11	found in normal bacteria that live in the intestine,
12	and in patients with Crohn's Disease and other small
13	intestinal diseases there has been an increased amount
14	of that found in the serum compared to normal
15	individuals, healthy individuals.
16	Q So it's a blood test that could potentially
17	indicate the presence of Crohn's Disease?
18	A It is a blood test that may or may not
19	represent an increased leakiness of the small
20	intestine.
21	Q Okay. And was Michelle's OmpC positive?
22	A Yes.
23	Q Okay. So we're now somewhere into early-
24	late 2003, correct?
25	A Yes.
	Harritago Departing Comparation

2172

1 At this point Michelle was continuing to 0 2 have diarrhea, she had a colonoscopy that revealed the 3 presence of an aphthous ulcer, a positive OmpC and she 4 had a feeding tube because she was unable to maintain her calories for nutrition. Is that true? 5 6 Α Yes. Doctor, in your mind does the constellation 7 0 8 of those signs and symptoms, would they constitute 9 inflammatory bowel disease at all? Absolutely not. She had no evidence of 10 Α 11 inflammation on biopsies of her small or large 12 intestines. 13 So you're basing your opinion solely on the 0 14 presence of tissue of pathology? 15 Α No. You're basing your question solely on 16 an aphthous ulcer and a serologic test that is not 17 pathognomonic. 18 Plus the diarrhea, correct? I said that. 0 19 Α The diarrhea was not an inflammatory 20 diarrhea. 21 And that's your opinion? 0 22 There's no evidence that there were fecal Α 23 leukocytes, blood or malabsorption. 24 0 Okay. So, Doctor, let's continue on. So now Michelle is presently being fed by tube, and she's 25 Heritage Reporting Corporation (202) 628-4888

1	now developing other symptoms. She's developing	eye
2	problems as well as arthritis. In your field are	ž
3	there extraintestinal manifestations of inflammat	ory
4	bowel disease?	
5	A Definitely there are.	
6	Q Would those be arthritis and eye condit	ions?
7	A Those are several of the possible	
8	associations.	
9	Q Okay. Let's continue on. She has anot	her
10	endoscopy done by Dr. Ursea at Phoenix Children's	, and
11	do you know what the result of that endoscopy is?	)
12	A Which one are we talking about now?	
13	Q The last one.	
14	A The 2006?	
15	Q Yes.	
16	A Yes.	
17	Q It's Petitioners' Exhibit 49, page 23.	
18	A Is that the 2006?	
19	Q That's the 2006.	
20	A Thank you.	
21	Q So, Doctor, do you know the result of t	his
22	endoscopy?	
23	A Yes.	
24	Q What was it?	
25	A The small intestine and the colon were	
	Heritage Reporting Corporation (202) 628-4888	

2173

2174A HANAUER - CROSS 1 normal. 2 0 Was there an aphthous ulcer seen in the 3 transverse colon? 4 А Yes. 5 0 You've indicated that when you see those 6 things it could be related to insertion of the tube. 7 It's like a canker sore you said, right? 8 А Can be like a canker sore, can be trauma. 9 They come and go. They're really of no significance in and of themselves. 10 11 Okay. So she had a canker sore in 2003 0 12 earlier, she's got a canker sore now in 2006 and she 13 had a capsule endoscopy, a PillCam, done, didn't she? 14 Α Yes. 15 You recall from reading Dr. Krigsman's 0 16 testimony that he saw multiple aphthous ulcers? 17 Α Yes. 18 Would you consider that to be a normal 0 19 finding? 20 Α No. Actually it is normal in- Excuse me. 21 Let me retract that. Fifteen percent of normal 22 individuals have aphthous ulcers or mucosal break 23 similar to what we're seeing on capsule endoscopy. My 24 belief is that hers were related to the Advil that she had been taking, which is a common association. 25

2175

1 0 Okay. So it's not related to her bowel prep 2 this time? 3 Α I think that she may have been on Advil at other times as well. I never attributed it to the 4 bowel prep, I'm saying that it can be related to bowel 5 6 prep. I don't know why she had an aphthous ulcer, but I do know that an aphthous ulcer in the absence of any 7 8 microscopic evidence of inflammation means nothing. 9 0 Okay. So as you indicated on page 2 of your 10 opinion, the next to the last paragraph, you're 11 talking about IBD and you say that aphthous ulcers may 12 be typical of Crohn's Disease, IBD, but are in no 13 means specific. They can be seen in normal 14 individuals after exposure to bowel preparations for 15 colonoscopy or related to the use of anti-inflammatory 16 medications. Is that what you said? 17 А Yes. 18 Doctor, we know that Michelle did not 0 Okay. 19 receive any bowel prep in her 2006 colonoscopy, don't 20 we? 21 I don't remember. I was unable to find how Δ she was prepared or not. 22 23 0 Well, if you look at page 23 of Petitioners' 24 Exhibit 49 about a third of the way down the column it says colon prep, doesn't it? 25

2176A HANAUER - CROSS 1 I don't have that. Α 2 Let me show you. Doctor, to be perfectly 0 3 clear, again, this is page 23 of Petitioners' Exhibit 4 49. At the top it says Phoenix Children's Hospital, 5 flexible sigmoidoscopy report. Is ther a line that б says colon prep? 7 Α Yes. 8 0 And does it say used none for colon prep? 9 Α Yes. Is that an indication that Michelle Cedillo 10 0 11 did not receive any colon prep? 12 Α Probably not, but according to their 13 records. 14 0 So is it fair to state that the record 15 indicates that she received no colon prep? 16 Α Yes. 17 So the aphthous ulcer in this instance can't 0 18 be related to the colon prep, right? 19 It may or may not be with others, but it Α 20 doesn't appear -- if she had no preparation it's 21 unlikely that the single aphthous ulcer that was seen 22 was due to a bowel prep if none were given. 23 0 Thank you, Doctor. So now, Doctor, we're 24 here at 2006. Michelle has had diarrhea alternating with constipation returning to diarrhea since she was 25 Heritage Reporting Corporation (202) 628-4888

2177A HANAUER - CROSS 1 about a year and a half old. She's had multiple 2 endoscopies, an upper GI which revealed the gastric 3 ulcer and she's had a lower GI. 4 I don't believe it showed a gastric ulcer. Α 5 0 An esophageal ulcer, wasn't it? 6 Α Yes. 7 Yes. So an esophageal ulcer at the junction 0 8 of the esophagus and the stomach, wasn't it? 9 Α This is where ulcers related to gastric reflux occur. 10 11 Okay. So she has a GE junction ulcer. 0 She 12 has a lower GI colonoscopy done, an aphthous ulcer is 13 seen there, she's got a positive OmpC, she has another 14 colonoscopy done which reveals an aphthous ulcer in a 15 different part of the colon, she has a PillCam done 16 that shows multiple aphthous ulcers in the small 17 bowel, and your opinion is that she has no 18 inflammatory bowel disease? 19 А She does not have inflammatory bowel 20 disease. 21 Okay. Doctor, you know that she's currently Q 22 under treatment at UCLA? 23 Α Yes. 24 And do you know Dr. Ziring? Q 25 А Yes. Heritage Reporting Corporation

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HANAUER - CROSS
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2178

1 And are you aware that Dr. Ziring has 0 2 ordered Humira for the treatment of Michelle's bowel 3 disease? 4 Just from the testimony that I've read. I Α have not reviewed any of those records. 5 б 0 Okay. Would you have any reason to doubt 7 that Humira has been ordered? 8 I do not doubt that Humira has been ordered. Α 9 0 And Humira is a brand new treatment for inflammatory bowel disease. Is that true? 10 11 Humira is an old treatment for arthritis. Α 12 0 But a new one for inflammatory bowel 13 disease, yes? 14 Α It's recently been approved for the 15 treatment of Crohn's Disease. 16 Okay. Thank you. Now, Doctor, you had 0 17 indicated earlier that you've testified approximately 18 50 times. Is that it? 19 Α Yes. 20 0 You've done it in medical malpractice cases 21 and toxic tort cases. Is that what you said? 22 А Yes. 23 0 Out of that 50 times were they all medical 24 malpractice cases? The vast majority were. 25 Α Heritage Reporting Corporation

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2179A HANAUER - CROSS 1 How many times did you testify for 0 2 plaintiffs? 3 Α Probably about- uh- when you say-I need 4 clarification, please. When you say testify do you 5 mean in Court or deposition? My 50 was inclusive of 6 both. 7 0 In Court. 8 Α In Court I've only testified under 10 times. 9 Q For plaintiffs? Total. 10 А 11 Oh. So out of that 10 times how many times 0 12 did you testify for plaintiffs? 13 Α A few. Just a couple. 14 0 One to two? 15 Α Yes. 16 Okay. Now, you also testified that you Q 17 worked as an expert in toxic tort cases? 18 Α Yes. 19 And can you just tell us what your work 0 20 involved in the toxic tort cases? 21 It had to do with one of the chemical А 22 companies in California clearing up their land and 23 individuals in the area who developed inflammatory 24 bowel disease that they associated with the 25 environment.

2179в

HANAUER - CROSS

1 Q Did you testify for plaintiffs there?

2180A HANAUER - CROSS 1 Α No. 2 You testified for the chemical companies? 0 3 Α Yes. 4 And how many times? 0 5 Α One. б 0 Was it one environmental toxic tort stet 7 case? 8 Α Yes. 9 0 Okay. Doctor, you lecture, correct? 10 Α Yes. 11 Are you aware that on the web when one types 0 12 in your name you come up with site that's on Medscape 13 that says evidence and experience the art of managing 14 inflammatory bowel disease? 15 А I have not. 16 Let me show you this. It's up there on the 0 It's copyrighted by the University of Chicago 17 screen. 18 Pritzker School of Medicine. Is that where you 19 practice? 20 Α Yes. 21 Did you have input into this? Q 22 In the segment that I participated in. А 23 Q So you knew that this was on the site? 24 I'm not aware of all the Google references Α 25 for me.

2180B

HANAUER - CROSS

1 Q Okay. Doctor, if you go to the very top at

1	the very top it says that these educational activities
2	certified by accredited providers were not prepared by
3	Medscape editors but are made available to our site as
4	a service to our audience. Authors are routinely
5	instructed by the provider to disclose significant
б	financial relationships and mention of investigational
7	drugs and unimproved indications. Is that true? I've
8	read that correctly?
9	A Unapproved.
10	Q Unapproved. Yes. I read that correctly,
11	right?
12	A Yes.
13	Q And, Doctor, you're on this site, correct?
14	A Yes.
15	Q What is your disclosure at this site?
16	A That disclosure was that I am a consultant
17	and lecturer for Centocor.
18	Q And what is Centocor?
19	A Centocor is a pharmaceutical company that
20	makes a drug called Infliximab or Remicade.
21	Q Remicade. That's the drug that's used for
22	inflammatory bowel disease, isn't it?
23	A Yes.
24	Q You're a consultant to them?
25	A Yes.
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2182A

1 And you lecture on their behalf? Q 2 I've been paid to give continuing medical Α 3 education lectures through them, yes. 4 Okay. So you're one of the experts that 0 they've tapped to lecture on the efficaciousness of 5 6 Remicade to other GI physicians. Is that it? 7 А That's one of the aspects that I lecture on. 8 I also talk about the risks. 9 I'm glad you do, Doctor. Now, Doctor, 0 10 you've appeared at the American College of 11 Gastroenterology's Seventieth Annual Scientific 12 Meeting. Is that true? 13 I presume. I presume you're going to show А 14 me that I did. 15 MS. CHIN-CAPLAN: Yes, I am. 16 SPECIAL MASTER HASTINGS: Well, before we go 17 on then, the excerpt from that web page that you just 18 showed, why don't you make that Petitioners' Trial 19 Exhibit 12. Now you're showing something further? 20 MS. CHIN-CAPLAN: I am, Special Master. 21 SPECIAL MASTER HASTINGS: Or is this the 22 same? 23 BY MS. CHIN-CAPLAN: 24 On the next page, Doctor, are you listed Q 25 there? Heritage Reporting Corporation

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2183A HANAUER - CROSS 1 Α Yes. 2 SPECIAL MASTER HASTINGS: So this is just the next page of the document you just showed a minute 3 4 ago? 5 MS. CHIN-CAPLAN: Yes. 6 SPECIAL MASTER HASTINGS: Okay. All right. 7 BY MS. CHIN-CAPLAN: 8 Q Doctor, on your disclosure this time it says 9 that you've received grants for clinical research from Abbott Labs, correct? 10 11 Α Yes. 12 0 Asahi, USB Pharma or Celltech, Centocor, 13 Elan, Genentech, Otsuka, Protein Design Labs, 14 Prometheus, Targacept, Therakos. You've also served 15 as a consultant to Abbott Labs, Amgen, Asahi, USB 16 Pharma or Celltech, Centocor, Elan, Genentech, 17 GlaxoSmithKline, Novarts, Otsuka, Protein Design Labs, 18 Targacept, Teva and Therakos, and that you've served 19 on the speakers bureaus of USB Pharma, which is 20 Celltech, and Centocor. Have I read that correctly? 21 Α Yes. 22 Doctor, these are all pharmaceutical 0 23 companies? 24 Α Yes. When you say you received grants for 25 0 Heritage Reporting Corporation (202) 628-4888

2184A

#### HANAUER - CROSS

1 clinical research what did you receive from Abbott 2 Labs? 3 Α I don't receive anything. These are grants to the institution. We do clinical trials with these 4 5 drugs to help them lead to FDA approval in the right 6 patient population, so because of my experience over 7 the years I'm one of the primary investigators for 8 most of the new drugs that are being developed for 9 ulcerative colitis or Crohn's Disease. 10 I consult with the pharmaceutical industry 11 as to how to design, and perform and evaluate these 12 trials to help them get FDA approval. That's been 13 successful thus far with Remicade for Centocor, Humira 14 for Abbott and the others are in process. 15 Q So the grant is provided to your hospital or the medical school. Is that it? 16 17 They're provided to the medical school to Α 18 pay our support staff to do the clinical trials on 19 these patients or with these patients. 20 0 Are you the principal investigator? In most of those, not all of those. 21 Α 22 SPECIAL MASTER HASTINGS: Are you done going 23 over that --24 MS. CHIN-CAPLAN: I am, Special Master. SPECIAL MASTER HASTINGS: Let's put that 25 Heritage Reporting Corporation (202) 628-4888

1 last one back on. I'm a little confused. Prior to 2 that you had showed something from the Medscape 3 website? MS. CHIN-CAPLAN: Yes, Special Master. It's 4 5 identified where it's posted. 6 SPECIAL MASTER HASTINGS: All right. We 7 were going to mark that as Petitioners' Trial Exhibit 8 No. 12. That's taken from the Medscape website. Is 9 that correct? 10 MS. CHIN-CAPLAN: That's correct, Special 11 Master. 12 SPECIAL MASTER HASTINGS: And then the last 13 thing that you just showed and went over that noted 14 the list of drugs, is that from a separate --15 MS. CHIN-CAPLAN: It looks like it's from 16 Medscape as well, Special Master, and it's a summary. 17 SPECIAL MASTER HASTINGS: All right. But 18 it's a separate place on the Medscape website? 19 MS. CHIN-CAPLAN: Yes. 20 SPECIAL MASTER HASTINGS: Okay. Mark that 21 then as Petitioners' Trial Exhibit 13. We have 12 and 22 13. 23 MR. SHOEMAKER: Your Honor, if I may, 24 Exhibits 10, 11, 12 and 13, we can file it as the same document with different page numbers if you'd like and 25 Heritage Reporting Corporation (202) 628-4888

2185

1 refer to the page numbers or we can do it this way. 2 SPECIAL MASTER HASTINGS: Well, we've 3 already discussed them as 10, 11, 12 and 13, and 4 they're from different places. Indulge me on that 5 one, Mr. Shoemaker. б MR. SHOEMAKER: Yes, sir. 7 SPECIAL MASTER HASTINGS: On that last one, 8 Ms. Chin-Caplan, you have at least one paper copy of 9 it? MS. CHIN-CAPLAN: Yes, Special Master. 10 11 SPECIAL MASTER HASTINGS: You can make a 12 copy, but before you have lunch give a paper copy of 13 that last one to the reporter so that list of drugs --14 otherwise we'll never get that. 15 MS. CHIN-CAPLAN: Okay. 16 SPECIAL MASTER HASTINGS: All right. So go 17 ahead. 18 BY MS. CHIN-CAPLAN: 19 Doctor, what date was the Evidence and 0 20 Experience: The Art of Managing Inflammatory Bowel 21 Disease posted? 22 I don't know when it was posted. А 23 0 Do you know the date that this occurred? 24 I don't recall the exact date. А Okay. Would the copyright date help at all? 25 0 Heritage Reporting Corporation (202) 628-4888

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2187 HANAUER - CROSS 1 The copyright says 2002, but I don't Α 2 remember the specific date of this presentation or 3 document. Okay. Doctor, when we go to what has been 4 0 labeled as Petitioners' Exhibit 14 --5 6 MS. CHIN-CAPLAN: Special Master, is that 7 what --8 SPECIAL MASTER HASTINGS: The last one with 9 the list of drugs? 10 MS. CHIN-CAPLAN: Yes. 11 SPECIAL MASTER HASTINGS: Was 13. 12 MS. CHIN-CAPLAN: Thirteen. Okay. 13 BY MS. CHIN-CAPLAN: 14 Doctor, when we go to this document what is 0 15 the date on this document? 16 Α I can't read it. 17 Above the author does it say copyrighted? 0 18 I'm sorry. I'm unable. Now it says 2005. Α 19 So, Doctor, in the period of three years you 0 20 went from consulting to one drug manufacturer to all 21 those that are listed on this page. Is that true? 22 No, that is not true. The conflicts of Α 23 interest or the potential conflicts of interest relate 24 to the topic of the discussion, okay? So in the first example the topic may have specifically been related 25 Heritage Reporting Corporation

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2188A HANAUER - CROSS 1 to Infliximab, one compound. In a subsequent I'm 2 talking about the entire spectrum of therapeutic 3 options. I'm going to list every potential conflict. 4 So it really depends upon the topic. If I'm talking about constipation, for instance, I don't have 5 6 any conflicts because I don't work with any 7 pharmaceuticals related to that issue, as an example. 8 The conflicts of interest pertain to the medical 9 education at hand and are not ubiquitous. 10 0 So you have no standard practice on 11 conflicts of interest? 12 А I do have a standard practice that applies 13 to the content. 14 0 So depending on the content will depend on 15 which drug company you indicate you disclose as 16 potential conflicts of interest? 17 Α Yes. 18 MS. CHIN-CAPLAN: Okay. If I could just 19 have a moment, Special Master? 20 SPECIAL MASTER HASTINGS: Sure. 21 BY MS. CHIN-CAPLAN: 22 So, Doctor, do you know whether that 0 23 practice of disclosing just the particular medication 24 that you would be lecturing on is standard? A I think its uh-not- I don't think that there 25 Heritage Reporting Corporation (202) 628-4888

2188B

HANAUER - CROSS

1 is a single

2189 HANAUER - CROSS 1 standard except that it pertains to the content of the 2 educational material. 3 0 Okay. So if you look at this page, which is 4 page 2 of Petitioners' Exhibit 12, Dr. Sandborn --5 SPECIAL MASTER HASTINGS: Or is it 13? Is 6 it 12 or 13? 7 MS. CHIN-CAPLAN: It's 12. 8 SPECIAL MASTER HASTINGS: Twelve. I'm 9 sorry. Go ahead. MS. CHIN-CAPLAN: Dr. Sandborn disclosed 10 11 every single company he consulted to, didn't he? 12 THE WITNESS: I don't know that this is 13 inclusive. 14 BY MS. CHIN-CAPLAN: 15 Okay. But it appears that he has disclosed 0 16 many companies. Is that true? 17 It appears that he has disclosed many А 18 companies. 19 MS. CHIN-CAPLAN: Okay. Thank you, Doctor. 20 SPECIAL MASTER HASTINGS: Nothing further 21 for this witness? I'm sorry. 22 MS. CHIN-CAPLAN: No, Special Master, not 23 from Petitioners. 24 SPECIAL MASTER HASTINGS: Okay. Any questions for this witness? Go ahead. 25 Heritage Reporting Corporation (202) 628-4888

2190A

### HANAUER - CROSS

1	SPECIAL MASTER VOWELL: Dr. Hanauer, just so
2	I understand your testimony, and this particularly
3	pertains to some of the testimony on cross-
4	examination, if you have 1,000 people with irritable
5	bowel syndrome and another 1,000 without is there any
6	difference in those two groups in terms of who may
7	ultimately develop irritable bowel disease?
8	THE WITNESS: You mean inflammatory?
9	SPECIAL MASTER VOWELL: Inflammatory bowel
10	disease. I'm sorry. Inflammatory bowel disease.
11	Thank you.
12	THE WITNESS: There is no predisposition of
13	patients with irritable bowel syndrome to develop
14	inflammatory bowel disease. It's unrelated, so it
15	would be the same as the general population.
16	Similarly or the converse is also the case. If up to
17	30 percent of our population have symptoms at one time
18	or another of irritable bowel it's going to be the
19	same.
20	Patients with inflammatory disease can have
21	irritable bowel symptoms as well. Irritable bowel
22	does not lead to inflammatory bowel disease.
23	SPECIAL MASTER VOWELL: And is your
24	testimony that Michelle has irritable bowel syndrome
25	not an inflammatory bowel disease?
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2191A

# HANAUER - CROSS

1	THE WITNESS: My testimony is that there is
2	no pathologic evidence that this patient has
3	inflammatory bowel disease. Her symptoms are
4	consistent with irritable bowel syndrome, and there is
5	no specific symptom, there is no finding in her
б	examinations that are pathognomonic or even pathologic
7	confirmation. The young girl has had multiple
8	biopsies on multiple occasions of purportedly abnormal
9	bowel that was normal.
10	SPECIAL MASTER VOWELL: For you to say
11	someone has inflammatory bowel disease you have to
12	find inflammation?
13	THE WITNESS: You can't say inflammatory
14	without inflammation. That would be inflammatory.
15	SPECIAL MASTER VOWELL: That would be a
16	pathological- histopathological findings of
17	inflammation?
18	THE WITNESS: Yes. The scopes are not
19	accurate at- the scopes identifying minor lesions are
20	not accurate at predicting the pathologic lesions,
21	they are often over interpreted.
22	SPECIAL MASTER VOWELL: Thank you.
23	SPECIAL MASTER CAMPBELL-SMITH: I did have
24	one question, Special Master.
25	SPECIAL MASTER HASTINGS: Please go ahead.
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2191В

HANAUER - CROSS

1 SPECIAL MASTER CAMPBELL-SMITH: You made

2 several references, Dr. Hanauer, to inflammatory

1 that there can be evidence in stool or diarrhea of 2 inflammation because clearly you're an expert here. 3 You mentioned blood with one of them. When you said 4 this you apparently looked at the diaper from 5 Michelle. And, are you indicating, I just want to be 6 clear I understand. 7 Are you indicating that there can be some visual indicators? Obviously, there will be other 8 9 tests that you would run, but visual indicators from 10 examining stool or diarrhea in particular that an 11 expert could determine absent blood that this was the 12 result of something inflammatory or not? 13 THE WITNESS: Absent blood the only way you 14 could tell what's causing the diarrhea if it's from 15 inflammation would be simply looking at a drop under 16 the microscope for pus cells, and there were not any 17 documented at any point in her course. 18 SPECIAL MASTER CAMPBELL-SMITH: I thought it 19 needed to be at the microscopic level, but I wanted to 20 be clear when you said there could be stool that had 21 evidence of inflammation. 22 THE WITNESS: This is a very easy thing. 23 You just take a drop of the stool, you look under a 24 microscope and you look for white blood cells. It's not a difficult test. It's something that anyone at 25

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2192A

2192B

HANAUER - CROSS

1 the bedside could do, and all of the labs do it when

2193A

1 they are looking for parasites. The labs look for a 2 term called fecal, meaning in the stool, leukocytes, 3 white blood cells. She never had any fecal leukocytes described 4 5 in the stool samples. б SPECIAL MASTER CAMPBELL-SMITH: Thank you. 7 SPECIAL MASTER HASTINGS: And, Doctor, if I 8 understand you correctly having looked at the records 9 they did look for that on a number of occasions? THE WITNESS: They did ova and parasite 10 11 examinations, and under normal- in most laboratories 12 if there are fecal leukocytes because they need to be 13 separated from parasites under the microscope they 14 would be reported. 15 SPECIAL MASTER HASTINGS: All right. Now, 16 Mr. Shoemaker, can you help me? Can you put back on 17 the screen what we marked as Petitioners' Trial 18 Exhibit 11, the second Hanauer abstract? This was the 19 one with the mention of irritable bowel disease. I'm 20 sorry, irritable bowel syndrome. 21 MR. SHOEMAKER: Page 8. 22 SPECIAL MASTER HASTINGS: Right. Okay. 23 You've got it on the screen here. Thank you. I 24 wanted you to clarify your answer to Ms. Chin-Caplan's question about that. In the abstract here you stated 25 Heritage Reporting Corporation (202) 628-4888

2193B

HANAUER - CROSS

1 that there seems to be a growing overlap of

2194A

## HANAUER - CROSS

1	pathophysiologic processes between ulcerative colitis
2	and postinfectious irritable bowel syndrome.
3	THE WITNESS: I'd be happy to clarify that.
4	SPECIAL MASTER HASTINGS: Could you, please?
5	THE WITNESS: Absolutely. There is a small
6	subgroup of patients who develop diarrhea predominant
7	irritable bowel syndrome after an episode of something
8	like a traveler's diarrhea, and some of those patients
9	have evidence of increased bacteria in their small
10	intestine and very mild inflammatory changes. That
11	group of patients responds to an antibiotic. It's a
12	very small group.
13	That does not apply to this patient who
14	presented initially with diarrhea, then constipation
15	and had this mix back and forth, and, and, and, and,
16	and had no inflammation on biopsies. So this doesn't
17	apply to a syndrome where there is extra inflammation.
18	SPECIAL MASTER HASTINGS: All right. Now,
19	let me also ask you are there places, you stated
20	earlier I think that you reviewed the records of
21	Michelle Cedillo with respect to her GI symptoms. Are
22	there places in those medical records where her
23	treating physicians mentioned Crohn's Disease, a
24	diagnosis of Crohn's Disease?
25	THE WITNESS: Only Dr. Krigsman. Until Dr.
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	HANAUER - CRUSS
1	Krigsman.
2	SPECIAL MASTER HASTINGS: Okay.
3	THE WITNESS: Now after that it's very
4	interesting because sometimes these things, these
5	diagnoses get carried over. So if you actually read
6	some of the subsequent rheumatologists' reports it's
7	in their report that she has Crohn's Disease. Well,
8	that's based on Dr. Krigsman, it's not based on his
9	independent review of the biopsy material, which was
10	all normal. So you know some of these insinuations
11	get carried over without any level of review.
12	SPECIAL MASTER HASTINGS: Well, I guess
13	you're already answering the question that I was going
14	to ask you. I just ask that he be given a copy of
15	Exhibit 28, page 590 to 592. So if counsel have a
16	copy of that in front of them?
17	THE WITNESS: Yes.
18	SPECIAL MASTER HASTINGS: I'm going to get
19	my electronic copy in front of me. If you'll bear
20	with me a minute? Doctor, as I read that, there's a
21	three-page report there at 590 to 592 from Dr., if you
22	look at the third page, S-Z-E-R is the last name?
23	THE WITNESS: Yes.
24	SPECIAL MASTER HASTINGS: And I couldn't
25	tell what the specialty of that doctor was from that
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1	document. But if you can, let me know. Okay. It is
2	in pediatric rheumatology. That's what I was hoping
3	it was. All right. Bear with me just a minute.
4	I'm going to have you look at the top of
5	page 590. This electronic version of very large
6	records like this is a little difficult to work with,
7	but I'm getting myself to the top of page 90 in just a
8	minute. You'll see in the second or third line at the
9	top of page 590, there's a mention of Crohn's Disease.
10	THE WITNESS: I think there's an erroneous
11	statement that she has biopsy-proven Crohn's Disease.
12	SPECIAL MASTER HASTINGS: That's what I
13	wanted to ask you about.
14	THE WITNESS: Again, this is how diagnoses
15	get handed down without any re-check or evident review
16	of primary data. But that's an obviously wrong
17	statement, because the Court has not seen any evidence
18	of biopsy-proven inflammation of the small or large
19	intestine.
20	SPECIAL MASTER HASTINGS: So in your
21	knowledge of the medical records, your study, you
22	don't see anything to support that statement that
23	there's biopsy-proven Crohn's Disease?
24	THE WITNESS: I haven't seen, nor had
25	Plaintiff's counsel provided, any biopsies that showed
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2197A HANAUER - FURTHER CROSS 1 a diagnosis of Crohn's Disease. 2 SPECIAL MASTER HASTINGS: All right. That's 3 all I had then. Is there any redirect for this 4 witness then? MS. RICCIARDELLA: No, Your Honor; but I'd 5 б really just like to clarify the record. Mr. Case 7 pointed out that I misspoke when I was talking about 8 June 8, 2006, upper and lower endoscopy. I referred 9 to it as Petitioner's Exhibit 59. It's 49. 10 SPECIAL MASTER HASTINGS: All right. Thank 11 you. All right. Anything further for this witness? 12 MS. CHIN-CAPLAN: I have just one other 13 item. 14 SPECIAL MASTER HASTINGS: Go ahead. 15 FURTHER CROSS-EXAMINATION 16 BY MS. CHIN-CAPLAN: 17 Doctor, I'd like to show you, it looks like 0 18 the prescription from Dr. Ziring. Is that true? 19 Α Yes. 20 0 Could you just read what's on the 21 prescription into the record, please? 22 Adalumimab 40 milligram syringe, one starter Α 23 pack (Crohn's Disease), four syringes, sub-que on week 24 zero; two syringes, sub-que on week two; then Adalumimab, 40 milligram, sub-que on week four and 25 Heritage Reporting Corporation (202) 628-4888

2198 HANAUER - REDIRECT/RECROSS every two weeks; Valium, two milligrams, two tabs, PO 1 2 one prior to Humira injection. 3 Q Who is that signed by? 4 А Dr. Ziring. And where is Dr. Ziring located? 5 0 6 А The Mattel Children's Hospital at ULCA. 7 MS. CHIN-CAPLAN: Thank you. I have no 8 further questions. 9 SPECIAL MASTER HASTINGS: Is that from the medical records? 10 11 MS. CHIN-CAPLAN: But this is a 12 prescription, Special Master, that Mrs. Cedillo has. 13 SPECIAL MASTER HASTINGS: All right. 14 MS. CHIN-CAPLAN: It's not listed as an 15 exhibit. Should we make it an exhibit? 16 SPECIAL MASTER HASTINGS: Why don't you? 17 MS. CHIN-CAPLAN: And this one is --18 SPECIAL MASTER HASTINGS: That would be 19 number 14, Petitioner's Trial Exhibit 14. 20 MS. CHIN-CAPLAN: Thank you, Special Master. 21 MS. RICCIARDELLA: May I proceed? 22 SPECIAL MASTER HASTINGS: Yes, go ahead. 23 REDIRECT EXAMINATION 24 BY MS. RICCIARDELLA: Dr. Hanauer, seeing that prescription that 25 0 Heritage Reporting Corporation (202) 628-4888

1	was just read to you today, does that cause you to
2	change your opinion in any way as to this child?
3	A No, the primary reason that this child was
4	getting the Remicade and then the Adalumimab or Humira
5	was for her inflammatory arthritis, which is an
б	approved indication of the drug.
7	MS. CHIN-CAPLAN: I have one last question,
8	Special Master.
9	SPECIAL MASTER HASTINGS: Go ahead.
10	RECROSS-EXAMINATION
11	BY MS. CHIN-CAPLAN:
12	Q Dr. Ziring, is he a rheumatologist?
13	A No.
14	Q Is he a pediatric gastroenterologist?
15	A Yes.
16	Q Are you saying that a pediatric
17	gastroenterologist would order medication for
18	rheumatoid arthritis?
19	A Absolutely.
20	Q Oh, he would?
21	A Yes.
22	Q And do you routinely order medications
23	outside your specialty area?
24	A It didn't say it was outside his specialty
25	in patients who have been continually followed for
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2199

1 arthritis, and these medicines were started by a 2 rheumatologist, and continued by the treating 3 physician. So if Dr. Ziring is treating her for the 4 inflammatory arthritis, there's no reason he couldn't 5 continue the Humira. б 0 Doctor, are you saying that Dr. Ziring, at 7 UCLA, a pediatric gastroenterologist, is ordering 8 Humira to treat Michelle Cedillo's rheumatological 9 condition? I do not know. You might ask Dr. Ziring. 10 А 11 MS. CHIN-CAPLAN: Thank you, Doctor. 12 SPECIAL MASTER HASTINGS: All right. If there's nothing further for this witness, why don't we 13 14 take our lunch break at this time, and we'll come back 15 in the afternoon with Dr. McCusker, all right? We'll start back at 1:00. 16 17 (Witness excused.) 18 (Whereupon, at 12:05 p.m., the hearing in 19 the above-entitled matter recessed, to reconvene this 20 same day, June 21, 2007, at 1:00 p.m.) 21 11 22 11 23 11 24 11 11 25

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2200

2201 1 AFTERNOON SESSION 2 (1:05 p.m.) 3 SPECIAL MASTER HASTINGS: All right. We are 4 ready to start the afternoon activities here. We have Dr. McCusker at the witness table, and Ms. Babcock 5 6 will question for the Respondent. Dr. McCusker, could 7 you first raise your right hand for me? 8 Whereupon, 9 CHRISTINE MCCUSKER 10 having been duly sworn, was called as a witness and was examined and testified as follows: 11 12 SPECIAL MASTER HASTINGS: Okay. Please go 13 ahead, Ms. Babcock. 14 MS. BABCOCK: I'll start by saying that we do have a short Power Point. I'm not sure what trial 15 16 exhibit we're up to. 17 SPECIAL MASTER HASTINGS: Do we have copies? 18 MS. BABCOCK: They're being handed out as we 19 speak. 20 SPECIAL MASTER HASTINGS: Okay. Great. I think this will be Respondent's Exhibit 16, according 21 22 to my count. 23 MS. BABCOCK: Okay. Trial Exhibit 16. 24 11 25 11

2202 McCUSKER - DIRECT 1 DIRECT EXAMINATION 2 BY MS. BABCOCK: 3 Q Good afternoon. Good afternoon. 4 Α 5 0 Could you please state your name for the 6 record? Christine McCusker. 7 А And what is your profession? 8 0 9 А I'm a pediatric immunologist. Could you briefly describe your collegiate 10 0 11 and medical education? 12 Α I have a BSC in microbiology and immunology from the University of Toronto. I have a Master's 13 14 Degree in molecular virology from McMaster University. I have three years of a PhD thesis degree in 15 16 immunogenetics, also from McMaster. 17 I have my MD degree from McMaster 18 University. I then went on to do a residency in 19 pediatrics, a clinical fellowship in allergy and 20 clinical immunology, and then two years of a post-21 doctoral research fellowship in immunology at the 22 Meakins-Christie Laboratories, McGill University. 23 0 And are you board certified? 24 I am board certified in pediatrics in the Α 25 American Board of Pediatrics. I have a Royal College Heritage Reporting Corporation (202) 628-4888

McCUSKER - DIRECT

1	certification, the Royal College of Physicians and
2	Surgeons of Canada certification in pediatrics and
3	allergy and immunology as well as the CollŠge des
4	M,decins du Quebec certification for pediatrics and
5	allergy and immunology. So those would be the
б	Canadian equivalent of the American boards.
7	Q Are you an examiner for any licensing
8	boards?
9	A I'm an examiner for the Royal College of
10	Physicians and Surgeons of Canada for the qualifying
11	exams for allergy and clinical immunology.
12	Q Do you hold teaching positions at McGill?
13	A Yes, I'm an Assistant Professor at McGill
14	University, and I have teaching responsibilities that
15	extend from teaching basic undergraduate immunology
16	courses, teaching medical student immunology courses,
17	as well as teaching both post-graduate grad student
18	courses and resident courses in immunology.
19	Q Do you hold laboratory positions, as well?
20	A Yes, I have both a clinical laboratory
21	responsibility and a research laboratory
22	responsibility. I am a principal investigator of a
23	research laboratory at the Meakins-Christie
24	Laboratories of McGill University, where my research
25	interests are in understanding the developmental
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2203

### McCUSKER - DIRECT

2204

1	immune system from infancy through to essentially
2	adolescence; and trying to understand how the immune
3	system sets itself up and is regulated throughout that
4	period of time, particularly early in life.
5	In my clinical laboratory responsibilities,
6	I'm the Clinical Director of the Immunology Laboratory
7	at the Montreal Children's Hospital, where I'm
8	responsible for the organization, running, quality
9	assurance of clinical immunological testing; as well
10	as with two of my colleagues with the signing and
11	interpretation of lab results, which will then be sent
12	out to the ordering physicians.
13	Q Is that immunology lab also a National
14	Reference Center?
15	A Yes, our laboratory runs tests that are
15	A Yes, our laboratory runs tests that are
15 16	A Yes, our laboratory runs tests that are specific for the diagnosis of primary
15 16 17	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have
15 16 17 18	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological
15 16 17 18 19	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological testing for the diagnosis of specific humoral
15 16 17 18 19 20	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological testing for the diagnosis of specific humoral immunodeficiencies.
15 16 17 18 19 20 21	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological testing for the diagnosis of specific humoral immunodeficiencies. As well, we are the Reference Center for
15 16 17 18 19 20 21 22	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological testing for the diagnosis of specific humoral immunodeficiencies. As well, we are the Reference Center for several different providences in Canada, including
15 16 17 18 19 20 21 22 23	A Yes, our laboratory runs tests that are specific for the diagnosis of primary immunodeficiency, and in that capacity, we have developed and have accredited certain immunological testing for the diagnosis of specific humoral immunodeficiencies. As well, we are the Reference Center for several different providences in Canada, including Quebec, Nova Scotia, and the other maritime provinces.

2205A McCUSKER - DIRECT 1 I'm officially 50 percent research and 50 Α 2 percent clinical. 3 Q And about how much of the latter is clinical lab? 4 Approximately of that 50 percent, if you 5 Α б called that 100 percent, it would about 30 percent of my clinical time is spent in running and managing the 7 8 clinical lab. 9 About how many patients do you see in a Q 10 month? 11 Α Somewhere on the order of 200, on an average 12 month. 13 Are the majority of these adults or 0 14 children? 15 Α They are almost exclusively children. I 16 rarely see adults. 17 Do you see children in a general pediatric Q 18 capacity, as well? 19 Yes, my clinical time in actual seeing of Α 20 patients is divided into clinical week, where we see 21 patients who are being evaluated for primary 22 immunodeficiency; a clinic where we see patients who 23 are being evaluated for allergies and allergic 24 problems; and then I do what's called the walk-in clinic or a drop-in clinic for minor pediatric 25 Heritage Reporting Corporation (202) 628-4888

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1 emergencies once a week. 2 Then I do two to three emergency room shifts 3 a month, where I am often in charge of the emergency 4 room at the Montreal Children's Hospital. In that 5 capacity, I can see anything from very minor problems 6 to acute resuscitations in patients who require significant medical attention. 7 8 0 Have you published in the field of pediatric 9 immunology? Yes, I have. 10 А 11 About how many times have you testified in a 0 12 legal proceeding? 13 Α This will be my third time. 14 Now in the course of your current practice, 0 15 as you just described, do you see children who have 16 recently received an MMR vaccine? 17 Α Yes. 18 0 Is fever a common occurrence after MMR? 19 I wouldn't say that fever is a common Α 20 occurrence. Fever does occur. 21 Even a high fever? Q More rarely, but it certainly can occur. 22 Α 23 Q And does fever typically have long-term 24 clinical ramifications? 25 In the context of MMR, not in my experience. Α Heritage Reporting Corporation (202) 628-4888

1	Q Now moving back to pediatric immunology, I
2	wanted to start by taking a historical look at what
3	was theorized about autism and immunity. When you
4	discussed Dr. Gupta's evaluation in your report, you
5	mentioned there was a time when autism was thought to
б	be related to immune dysfunction. What was the
7	genesis of this theory?
8	A That theory was initially put forth by an
9	investigator by the name of Stubb, who started looking
10	at immune responses in children with autism. He
11	initially started with a case report and then a case
12	series, to determine whether or not some of the
13	effects that you were seeing in autism were related to
14	the immune system.
15	Since that time, there have been several
16	studies that have tried to evaluate immunity in
17	autism, and up until the present time, the studies
18	have been somewhat inconsistent in their findings.
19	Q Let me be clear, the study was published
20	in
21	A The first publication was in 1976.
22	Q In the 1970s would you say that that
23	theory or that hypothesis is generally accepted today?
24	A No.
25	Q Now getting back to Dr. Gupta's report, I
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2208A

1 want to go through it in some detail. It's obviously 2 been a topic of conversation. Generally, when making 3 conclusions about immune function, is a single evaluation sufficient? 4 As a general rule, no -- the immune system 5 Α 6 is not a static organ. It doesn't stay the same 7 throughout your entire life. It changes as you age. 8 It changes as you develop. It changes with the 9 environment that you're exposed to in any given time and on any given day. 10 11 So as a general rule, in our practice, when 12 we're evaluating patients for primary 13 immunodeficiency, we see several patients a week who 14 come to us for that problem. We will start with an 15 initial screen of the immune system, and any 16 abnormalities that are detected are always followed 17 with a repeated test to see if it's consistent over 18 time. 19 Now can you talk in general about how these 0 20 evaluations are done? 21 Yes, sure; when we're asked to evaluate a Δ child's immune system, basically, we have to use the 22 23 tools that we have at hand. It would be nice to be 24 able to be thorough and complete, but we are not able in the clinical laboratory, to fully evaluate a 25

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McCUSKER - DIRECT

1 child's immune system as you would in an ideal world. 2 What we have at our disposal is the 3 capability to examine essentially the adaptive immune 4 response. We have some specialized testing for 5 children who appear to have problems with the innate 6 immune response. But for the most part, our focus is 7 on the adaptive immune response. 8 So essentially, what we're looking at is the 9 T cells and the B cells; and we want to know, those P 10 cells, are they present? Do they look normal as one 11 would expect, and do they function normally? 12 So in order to do that, we have to look two 13 ways. One, we look at their numbers, and we do that 14 by a method known as flow cytometry, where we take the 15 lymphocytes of a patient and we run them through the 16 flow cytometer, and we look to see how many 17 lymphocytes they have, what is the distribution of the 18 lymphocyte, the T cells, the B cells, the different T 19 cell subsets. We can do B cell subsets now, as well 20 as the NK cells. 21 Then we move on to look at the functioning of the immune system. So the functioning, we have two 22 23 options for that. To look at the TH1 arm or the cell 24 mediated arm of the immune system, primarily what we can do is, we can look at whether or not the cells can 25

1	activate in the presence of a stimulus that's
2	appropriate. So we take the cells, we put them in a
3	petri disk, and we try to stimulate them, and then we
4	look to see if they stimulate.
5	In order to look at the other side, at the
6	other arm, the humoral arm, we look to see if the T
7	cells and the B cells were able to communicate.
8	Because if they were able to communicate, then the B
9	cells were able to be told to produce an antibody. So
10	if you have antibodies to stimuli that you know the
11	child has had, then you know that that arm of the
12	immune system is intact. So we can do that as our
13	initial screen.
14	Then if we find an abnormality, we will
15	generally begin to do finer and finer testing, all the
16	way to genetic testing, to determine where the problem
17	is.
18	Q Now when evaluating a child's immune system,
19	is it important to use age specific values?
20	A Absolutely; there is absolutely no question.
21	Q Is the immune system of a child the same as
22	an immune system of an adult?
23	A No, it is not.
24	Q Slide 2 here also is from Dr. Ward's report
25	yesterday.

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1	A Basically, what you can see in this slide is
2	that the numbers of immune cells and these are just
3	absolute values changed significantly over time.
4	Unless you are going to specifically examine the
5	patient at the age appropriate time, you really don't
6	have any idea of what is normal and abnormal.
7	Q Now in her expert report and testimony last
8	week, Dr. Byers stated that it is standard practice to
9	use adult values to assess a child's immune system.
10	Do you agree?
11	A I do not.
12	Q And to be clear, do you evaluate immune
13	results like these on a regular basis?
14	A Yes.
15	Q When you test in your own lab, do you use
16	age adjustment measurements?
17	A Yes, we do.
18	Q Is this practice widely accepted in the
19	pediatric immunology community?
20	A Yes, it is. It's considered standard of
21	care.
22	Q Now although Dr. Byers made this assertion,
23	she also used normal values from several of your filed
24	papers in her Power Point presentation. Did you
25	review these slides in her testimony about them?
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2212A McCUSKER - DIRECT 1 Yes, I did. Α 2 Q Do you agree with the ranges that she 3 proposed? 4 She put the adult ranges on her slides. Α 5 Q She put the adult ranges? 6 Α Yes. 7 Okay. Now she seemed to make a point in her 0 8 slides about stating that the values you used were 9 from foreign laboratories? А 10 Yes. 11 0 Is this correct? This is Slide 3. 12 Α Could you move on to Slide 3? What you can 13 see in Slide 3, and what the blue arrows are 14 highlighting, are the values that are reported in my 15 report for the T and B cell enumerations and their 16 normal ranges. This report comes from the study of 17 Shearer, et al, which is also filed. I'm not sure 18 what exhibit. 19 It's Respondent's Exhibit C at Tab 4. 0 20 Α Okay. And Shearer is a large study in the 21 United States which looked at 807 children to define 22 the normal ranges based on age. In this particular 23 study, three of the participating centers were from 24 California, including UCSF. Now would a pediatric immunologist at the 25 0 Heritage Reporting Corporation

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1 University of California Irvine use different normal 2 pediatric values than a pediatric immunologist in 3 Montreal, Quebec? 4 А No. 5 0 Does it matter that Michelle's testing was 6 done in 1997, and you have the benefit of more recent 7 normal values? 8 It really does not. This is Slide 4. Α What 9 Slide 4 shows you is the normal pediatric ranges that 10 were available as of 1992. They are the ranges that 11 actually we still use as our initial screen today, 12 although we tend to move on to the Shearer paper for 13 the final finite testing. The reason is that in this 14 particular study of Hanan, et al, they had a 15 relatively small number of patients per group, and the 16 Shearer paper had a much larger patient population 17 from which to draw. So they were able to achieve more 18 accurate ranges. 19 Again, this moves back to the concept of the 20 pediatric immune system. Because it can vary from day 21 to day, the more patients that you have of that age 22 group, the more you are able to capture what is a 23 normal range on a normal every day variance. So the 24 Shearer paper is probably more accurate, based on numbers. But this is what was available in 1992, and 25

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1	it really isn't significantly different from Shearer.
2	I just chose the more accurate numbers.
3	When you're talking about this particular
4	analysis, what you're using, as I mentioned before, is
5	a flow cytometer. So the question is, was flow
6	cytometry in 1997 significantly different from flow
7	cytometry in 2007 or 2003, when Shearer did the work?
8	There have been changes and upgrades to the
9	machine. Certainly, they work much faster than they
10	did in 1997.
11	But the principles are identical. Because
12	most of these studies, or all of the studies and all
13	of the work, is done in accredited labs, they have to
14	maintain a certain consistency in their results,
15	particularly for these kinds of studies, because they
16	have vast reaching effects; not just for the diagnosis
17	of immunodeficiency.
18	But these results are used for the diagnosis
19	of cancers. They are used for the diagnosis of
20	problems post-transplant. They are used for many
21	different reasons in medicine. So it isn't just to
22	evaluate immune systems, because immunologists just
23	like to do that. It really has broad-reaching
24	effects. Nephrologists use it. Hematologists use it.
25	Oncologists use it.

1 So I thought I'd take a second and just kind of explain what flow cytometry is, so that you can 2 3 understand where the consistency is. Basically, a 4 flow cytometer is a machine. You take a patient's sample, and you drop it, drop by drop, through the 5 6 machine. The drops have been treated in such a way 7 that you ensure that only a single cell from the 8 patient passes through the reader at any given time. 9 So it's a very thin drop that drops down from the retainer. It drops through past the reader. 10 11 What is the reader? It's actually a laser. 12 So when the cell drops into the reader, the 13 laser hits it. That laser hitting it causes the laser 14 to scatter. That scattering gives us a lot of 15 information. It tells us the size of the cell, and it 16 tells us how much stuff is inside the cell; the 17 granularity of the cell. 18 Using that information alone, we can 19 differentiate the different populations of white blood 20 cells. We can say that granular cells tend to be 21 things like neutrophils, macrophages, those kind of 22 cells. 23 The less granular cells, they are smaller 24 and less granular. Those are the lymphocytes. So you can then gate. It's called gating, where you circle 25 Heritage Reporting Corporation (202) 628-4888

2215

1 that lymphocyte population, and you can study it 2 further. 3 So how do I say how many lymphocytes are T 4 cells? Well, T cells are defined. They are differentiated from one another on the basis of 5 certain surface markers. Flow cytometry takes 6 advantage of that, and there have antibodies that have 7 8 been made in the laboratory to these specific surface 9 markers. 10 So there's an anti-CD3 antibody. There's 11 anti-CD4 antibody. There's an anti-CD8 antibody, 12 Anti-CD-19, CD-20, antibody. There's lots and lots of 13 different ones. 14 In fact, one of the things that has improved 15 in the last 10 years is the capability to type cells 16 has expanded dramatically by flow cytometry. But 17 using the antibodies that were available in 1997, 18 these antibodies are then coated with a tag. 19 The cells are put in the presence of these 20 antibodies, and any cells, for example, that are CD-21 4 -- if this is an anti-CD4 antibody, we'll bind it. 22 So that when it passes through the reader and it gets 23 hit by the light, it will glow a different color. So 24 it will change color, and it will glow for example. Depending on the tag you use, it will glow green. 25

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1	The receiver actually can detect oh,
2	that's a cell that scattered this way, so is a
3	lymphocyte and glowed green and, as such, is a CD4
4	positive T cell. So it's very simple in that sense.
5	The concept of a flow cytometer it's beautiful
б	technology, but it hasn't significantly changed.
7	They've gotten faster. The anti-bodies may have
8	gotten a little bit easier to use. But the reality
9	is, if there's a tag on the antibody, that antibody
10	has that receptor and, therefore, is counted as a CD-
11	4.
12	Q So is it fair to say that it's still your
13	opinion that the values you used in your report are
14	the values that should have been applied to Michelle
15	Cedillo's immunological evaluation?
16	A Yes.
17	Q Now let's move on to Dr. Gupta's actual
18	testing. What were serum immunoglobulin and antibody
19	response results?
20	A Can we have the next slide?
21	Q No, it's not on a slide.
22	A Oh, okay. So in terms of her immune work-
23	up, the serum immunoglobulin levels; that is, the IgM,
24	IgG, IgA, and IgE total levels were all within normal
25	limits. In addition, she made antibodies to the
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1	components of the vaccine she had received, including
2	diphtheria, tetanus. Rubella, polio, pneumococcus.
3	Finally, with respect to the measles virus,
4	she had detectable measles IgG antibodies, but no
5	detectable IgM antibody, which would be interpreted as
б	being a child who has seen and cleared the measles
7	virus.
8	Q Now there's the next slide.
9	A Okay. Sorry.
10	Q I wanted to talk about testing for T and B
11	cell enumerations. What were the findings?
12	A Well, I think it's clear from my slide here,
13	that essentially, if you apply the normal ranges that
14	are appropriate for a three year old child, her T and
15	B enumerations all fall within the normal range.
16	Q Now this chart has something called percents
17	and absolute numbers. What's the distinction?
18	A When you're looking at the flow cytometer,
19	basically what the flow cytometer captures is a
20	certain number of cells that run past the reader; run
21	past the laser. If you call the total number of cells
22	that are read 100 percent; and then you calculate, or
23	the machine calculate how many of those glowed green,
24	then you know that her CD4 count was 38 percent.
25	But that gives you a percentage. It doesn't
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1 actually tell you what an absolute number is. In 2 order to convert that to an absolute number, you need 3 to know how many cells were in the pool to start with. 4 So you need to have an evaluation of your 5 total lymphocyte count. Then once you have an 6 evaluation of the total lymphocyte count, what you do 7 is, you can calculate, while 38 percent and there were 8 150,000 cells in, the lymphocyte pool. Therefore, 9 this is the total lymphocyte count that is represented by the CD 4 count. 10 11 Now why is that important? Well, to use 12 only percentages can sometimes run you into trouble. 13 Because if a patient has an extremely low level of 14 lymphocytes, as lymphopenic, which happens under 15 certain conditions, then your relative percentages 16 become less valid, and you really need the absolute 17 number in order to determine where the decrease in the 18 T cell or the B cell population is occurring. 19 In particular, can I draw you attention to 0 20 CD4/CD8. 21 Yes. Α 22 Is that value normal? 0 23 А It is for me. 24 Now what are proliferation studies? That's 0 25 next, Slide 6.

2219B

McCUSKER - DIRECT

A Dr. McCusker's testimony begins at
 "Proliferation"... Proliferation studies are a little
 bit

1 more variable from laboratory to laboratory. These 2 can be much more difficult to interpret. In fact, you 3 really have to be very careful when you're interpreting proliferation studies. The reason is, 4 unlike the flow cytometry, where basically, if the 5 6 cell is present, it's going to glow and you're going 7 to see it. 8 So your error range is fairly narrow. These 9 are called in vitro studies. Basically, you're doing something to the sample, and asking for a response. 10 11 The problem with biological assay systems 12 such as this, is that there are many places in the 13 assay to introduce error. So, for example, what is a 14 proliferation? We take the patients lymphocytes. We 15 put them in a petri dish, and in that petri dish with 16 some growth factors and media to keep the cells happy, 17 we put a factor that will stimulate the cells. 18 What you see in this slide are the factors, 19 the mitogens, which are phytohemagglutinin, and 20 Concvalin A and Poke weed mitogen. Why do we use those? Because they are known to activate T cells and 21 B cells, in some instances, to divide. 22 23 If you have an extremely sick B cell or T 24 cell, even under this aggressive stimulus, it will not divide. So an absence of T cell proliferation is 25

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1 important diagnostically for when you're diagnosing 2 SCID. When you're looking at post-bone marrow 3 transplant, and you want to see if any of those cells 4 are healthy, in a patient population SCID being severe conjoined immunodeficiency, sorry. But basically, how 5 6 do you know that the cells are dividing? Well, what you do is, you put into the culture media a marker, 7 8 and we use Tritium (Tritiated thymidine) which is 9 incorporated into the cell when it divides. Then the cell will glow in a reader, which seems relatively 10 11 simple. But lot to lot differences in the thymidine 12 can make a big difference in your absolute values that 13 you see when you're looking at the results. 14 So for example, in our laboratory, and it's

15 standard for the pediatric laboratories that I have 16 encountered, we always controlled patients on the same 17 day with a known normal control. Why; because that 18 means if the tech sneezed into the dish, it doesn't 19 happen.

But let's say it could. Or if the temperature of the room was too high, or the carbon dioxide content of the incubator was too low, the cells are not going to be as happy. These are very fragile cells in culture. So if they're not happy, they're not going to proliferate as efficiently.

2221B

McCUSKER - DIRECT

1 Well, if your test case doesn't proliferate

- 2 efficiently, they you're left with a question.
- 3 3:32.12 is it

1	because it just didn't proliferate efficiently and
2	there's a primary problem; or is it because the
3	tritium wasn't as robust as the last lot? The only
4	way that you're going to know that is if you control
5	it with a normal control.
б	Now unfortunately for the case of Michelle
7	Cedillo, I was not provided with a control value that
8	was run on the same date.
9	It's not available in the transcripts?
10	Q I'm sorry, by transcripts, you mean not
11	available in the medical records?
12	A Medical records, sorry.
13	Q Okay.
14	A It is any interpretation that you want to
15	make on the proliferation studies, you really have to
16	put into that interpretation in the codicil that
17	you're not sure what the sensitivity of the assay on
18	that day required,
19	Having said that, I did find in the Stern
20	paper, which is the Stern 2005 paper. Do you know
21	which one that I did find normal ranges, or at least
22	ranges? Because on that paper, they compared autistic
23	children, proliferation assays to normal controls run
24	on the same day.
25	So if you look at that paper, you can see
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1 that's what is presented here as the autistic 2 children's range, and the normal children's range. 3 Dr. McCusker: But, really, if you look at those ranges, then the results of Michelle Cedillo 4 fall within the normal range. 5 6 BY MS. BABCOCK:. 7 0 Just to be clear, it's Exhibit C, Tab 7. 8 Α Dr. McCusker: So essentially, given the 9 results that I have available to me, it would appear to me that her T cells were able to be stimulated. 10 11 T & B cells were able to be stimulated up to a reasonable level, in this assay. Again, it's 12 13 qualified by several different methodological issues. 14 0 Okay. Now you alluded to this earlier. 15 When would proliferation studies cause you concern in 16 a child? 17 We were particularly worried about Α 18 proliferation assays when they are severely depressed. 19 When you do not get proliferation much above the 20 background levels. 21 And these tests for Michelle Cedillo, they are considerable or robust. I mean, I suppose I could 22 23 imagine that if her unstimulated is not given, it 24 might be somewhere in the higher range. But even if you put it in the higher range, you would say that 25 Heritage Reporting Corporation (202) 628-4888

McCUSKER - DIRECT 1 those were perfectly acceptable responses to the 2 mitigens, because they proliferated well? 3 Q Now moving on to the immunoglobulin subclasses, what were Michelle's test results? 4 5 Α Her subclasses. 6 0 Yes. 7 Α She had a normal for range IgG1, IgG3, and 8 IgG4. Her IgG2 was mildly elevated compared to normal 9 ranges. Now what is the clinical significance of a 10 0 11 mild IqG2 elevation? 12 Α There has not been any defined clinical 13 significance in the extant literature for humans of an 14 elevated IgG2. There are some case reports or case 15 series that suggest that specific IgG2 antibodies can 16 be elevated in periodontal disease. 17 Now are you aware of any literature where 0 18 they looked at autistic children in IgG2 levels? 19 Yes, there was the paper by Trajkovski, et Α 20 al, 2004 --21 It's Exhibit C, Tab 11, at Tab 11. Q 22 -- where they looked at immunoglobulin Α 23 subclass levels in patients with autism, compared with 24 their neurologically normal siblings, and found that there were changes in IgG-1 and IgG-4 levels, and no 25 Heritage Reporting Corporation (202) 628-4888

2224B

1 changes

1 in IqG-2 levels. 2 So it's really difficult to know what the 3 significance of that is. In fact, these kind of 4 studies haven't been replicated, so it's also very hard to know what they mean in general. 5 б 0 Overall, what conclusions can you reach based on the immune evaluation of Michelle Cedillo? 7 8 Well, as I said in my report, my opinion, I А would evaluate this child, if this was the lab reports 9 10 that I was to sign out as an entirely immune response. 11 And even though he may have used adult 0 12 values, did Dr. Gupta come to a similar conclusion? 13 А Yes, he did. 14 So would you agree or disagree with Dr. 0 15 Byers's conclusion regarding Michelle Cedillo's immune 16 evaluation? 17 А I disagree with it. 18 Now moving on to the subject of TH1/TH2 0 19 skewing. It's obviously been discussed by several 20 experts in reports and testimony. I wanted to start 21 by talking about the background of this principle. 22 When was the theory developed? This is slide seven. 23 Α The first report of cloning of TH1 and TH2 24 cells was in 1986 Mosmenek, et al. In that study, 25 11

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1 what they were able to do was, they were able to 2 stimulate T cells in culture and clone out, meaning 3 finding a piece of the population that they were able 4 to isolate away from the other T cells, that would produce either the cytokine interferon Gamma, or the 5 6 cytokine on IL4. 7 Because they were able to clone these two cytokines away from these other and find these 8 9 populations of T cells that would only secrete one or the other of their cytokines. They called one, TH1; 10 11 and the other, TH2. Since they had known, up until that time, 12 13 that interferon gamma was important for activation of 14 macrophages, and was important for the driving of cell mediated immune response, the TH1 side of the immune 15 16 response was considered to be cell mediated. 17 Because IL4 was important in the activation 18 of B cells and, therefore, the formulation of 19 antibodies, they separated the two into TH2 being the 20 humoral arm of the immune system. 21 Now although this paradigm has been very 22 useful in helping us try to understand 23 immunoregulation, it had subsequently been found to 24 have several flaws. The first of the main flaws in this particular paradigm is that when these things 25 Heritage Reporting Corporation (202) 628-4888

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# McCUSKER - DIRECT

1	were first defined, they were defined in mice and they
2	were defined in inbred mice.
3	The inbred mouse has a much "simpler" type
4	of immune system. You can study it under several
5	different immune threats, and look to see what
6	happens. It seems to separate much more directly into
7	TH1 or TH2, than what the human studies were showing.
8	So probably about five or six years ago, or
9	maybe a little longer, about 1999, people started to
10	think, well, that paradigm where it's TH1 or TH2, and
11	the two don't crosstalk, and if you have TH1, TH2 goes
12	down. If you have TH2, TH1 goes down. seemed to be
13	too fascile for at least in the human population.
14	There were studies that began to look at
15	what the immune system did in fact. And believe it or
16	not, rather than simplifying things, things just got
17	more complicated because like everything in
18	immunology, if you find an effect, you define a cell.
19	So they defined a new cell type and they
20	called it the T regulatory cell type. Since that
21	time, there has been an extensive amount of active
22	research on T regulatory cells and dendritic cells, T
23	regulatory cell interactions. In fact, that's one of
24	the things that my lab does at the Meakins-Christie.
25	So I have a lot to say about it, but I won't.
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1	The T helper cell subsets have now been
2	this is probably a little bit out of order now,
3	sorry have now been defined as TH1, TH2, T
4	regulatory cells. There's a TH3 cell that has been
5	defined, and there is now a TH-17 cell that has been
б	defined.
7	TH-17, not because it would have been easier
8	to call it TH4, but because the cytokine that defines
9	it, is called IL 17. So they decided to just follow
10	the Interleukin, instead of calling TH4, to bring it
11	down the pathway. I know it just adds confusion, but
12	immunologists are crazy nice, but crazy.
13	Q And is this the illustration of what you
14	just said?
15	A Yes, so this is the illustration of what we
16	currently understand is the choices that a naive T
17	cell has to make, once it sees its antigen.
18	SPECIAL MASTER HASTINGS: Now we have slide
19	10.
20	MS. BABCOCK: Yes, we're going to skip.
21	We're going to go back to eight and nine in a moment.
22	THE WITNESS: I jumped ahead. I got
23	excited.
24	BY MS. BABCOCK:
25	//

2229A

#### McCUSKER - DIRECT

1 0 So it's safe to say, are TH1 and TH2 are 2 mutually exclusive, based on our current 3 understanding. In fact, there have been many studies now 4 Α that suggest that once antigen T cell interactions --5 6 yes, an individual naive T cell makes a decision, and 7 it will go towards one of these pathways. But there 8 are many different clones that are being activated at 9 any different time. 10 Those T cell antigen in presenting cell 11 interactions are unique to the T cell, and they don't 12 pay attention to what their neighbors are doing. So 13 it is clear that both TH1, TH2, and T-regulatory 14 responses occur in concert. 15 Now as the immune response progresses, one 16 tends to predominate; the one that is probably 17 considered to be most necessary for removing the 18 threat. But all of them occur, and as the immune 19 response begins to wane, as the body begins to combat 20 the infection, and the antigen drops -- in fact low 21 antigen levels promote the formation of T regulatory 22 cells. 23 So basically, when you have a high threat, 24 you're going to go for your effector cells, which are your TH1 or your TH2. Because they're the ones that 25 Heritage Reporting Corporation (202) 628-4888

2229B

McCUSKER - DIRECT

1 are going to be able to activate the set of toxic

2230A

## McCUSKER - DIRECT

cells. Toxic -- that's a good cell to get when you're infected with a virus.

They are the ones that are going to be able to activate your B cells in the TH-2 arm, to produce antibodies so that you can combat the bacteria and the extra cellular pathogens.

7 But as that threat is coming down, you 8 really want to be able to turn that response down. So 9 as antigen level drops, the regulatory cells start to 10 increase; and those cells are responsible for just 11 calming down the response and shutting everything off. 12 Q We can go back to Slide 8 here. How is TH2 13 cytokine-induced antibody induced in humans?

A Well, TH2 is characterized by the initial production of IL 4 and subsequent production of IL 13; IL 5 is among other cytokines that have been shown important.

18 But what the importance of this slide is, it 19 is to show you that, in fact, if you are driving 20 towards TH2 with a significant amount of cytokines, so 21 that you would consider this to be a TH-2 predominant 22 response, then the type of immunoglobulins that you 23 are going to see are IgG1, IgG3, IgG4, and IgE 24 production. This is taken from a study in humans. The animals with data; the subclass is very slight in 25

1 mice. But this is what is found in humans in a TH-2 2 response. 3 Q And were these values measured in Michelle 4 Cedillo? 5 Α Yes, they were. 6 0 And what were the results? 7 0 They were all normal. 8 Α And I believe Slide 9 is just the summary 9 there. Now what happens with respect to TH1 and TH2 10 when the vaccine enters the immune system, and now 11 we're skipping to slide 11? 12 Α Sorry; I realize that this is a bit of a 13 complicated slide. But it sort of talks about the 14 things that I've already mentioned. In the center, 15 the orange cell there, that's the naive T cell. So 16 that's the cell, that once it sees it's antigen, it 17 has to make a decision. It sees its antigen and the 18 decision that it has made is dependent upon the 19 antigen presenting cells. 20 So these guys here are depicted in green, 21 and the T cell itself, and what's happening in the 22 environment. So if there is a dendritic cells, for 23 example, who has seen an antigen that it considers to 24 be a threat -- and how does the dendritic cells know that? Because there are these innate receptors found 25

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1 on the antigen-presenting cells that have been called 2 PAMP's or "toll like receptors"; and these receptors, 3 certain repeating structures that are found on viruses 4 and bacteria that make them viruses and bacteria; not mammalian, not human. 5 6 So the immune system says, well, wait a 7 minute, this didn't come from me. They can bind into 8 these receptors, and they can tell the dendritic cell. 9 You have picked up something that is dangerous. It's 10 sort of the danger theory of immunity. That dendritic 11 cell will process that antigen and present it to the T 12 cell. 13 But at the same time it presents it to the T 14 cell, it expresses other receptors. But basically, 15 these receptors talk to the T cell at the same time 16 the T cell sees the antigen; and they say, you know, 17 when I've seen this danger signal before, or 18 evolutionarily, when this danger signal came, this one 19 really needs a TH1 response. So why don't you start 20 producing a lot of interferon gamma, and activate the 21 TH-1 cells? 22 On the other side, let's say it's a bacterial cell wall product, the lipopolysaccharide 23 24 being a classic example of that, that will bind into its toll like receptor TLR4, and the dendritic cell 25

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1	will be induced or the macrophage will be induced by
2	the TLR4 engagement of the receptor, to tell the T
3	cell, you know what, this is an extra cellular
4	pathogen.
5	It's okay if some T cells want to make cell
6	mediated responses. But our focus should really be
7	making of antibodies, because that's what is going to
8	protect us. I mean, that's how we now think; that the
9	innate system is able to help mould and craft an
10	immune response that is appropriate for the antigen
11	for the invading organism to protect us from it. Does
12	that answer your question?
13	Q More or less. Is it clear up top? Now Dr.
14	Byers asserts that Michelle had evidence of a
15	dysregulated immune system at the time of her MMR $$
16	vaccine. Do you agree?
17	A No.
18	Q Does she also state that the presence of a
19	fever was the sign of an immune dysregulation in
20	Michelle Cedillo?
21	A Yes, she does state that.
22	Q Did Michelle have any evidence of immune
23	suppression at the time of her testing in 1997?
24	A No, she did not.
25	Q Now Dr. Byers cited to a paper by Agrawal
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1 to postulate that Thimerosal was affecting the 2 dendritic cells. 3 Δ That's correct. 4 0 And did you read that paper? 5 Α Yes, I have. 6 What was the immunologic effect on dendritic Q 7 cell that Agrawall observed? 8 When he treated the human dendritic cells Α 9 with Thimerosal, in the presence of the stimulus LPS, he found that there was down regulation, decreased 10 11 production of the cytokines TNF alpha, IL-6, and IL-12 12 subcomponent P-70. He also found and up regulation of 13 IL- 13 and IL- 5. Okay. [So a down regulation of IL-14 6?] 15 Α That's correct. 16 And just a small point of clarification. I 0 17 think Dr. Byers said that dendritic cells secrete LPS? 18 Yes, she did. I'm thinking she may have Α 19 made a mistaken; because LPS is found in bacterial 20 cell walls, and so dendritic cells do not secrete it. 21 Now what is one of the major effects of IL-0 22 6? 23 Α Well, aisle six was first defined, along 24 with IL-1, as a component of a substance that way back in the early days of immunology was found as 25 Heritage Reporting Corporation (202) 628-4888

2235A McCUSKER - DIRECT 1 endogenous pyrogen, because it is one of the major 2 cytokines involved in promotion of fever. 3 0 So if IL-6 is down regulated, would someone 4 be able to produce a fever? 5 А If IL-6 is down regulated, one would 6 anticipate a blunted fever response. 7 SPECIAL MASTER HASTINGS: Blunted? THE WITNESS: Blunted -- I can't tell you 8 9 that it would be absolutely abrogated, because there is IL-1 still available. Although IL-6 and IL-1 are 10 11 intimately associated in their regulation. So you 12 might also postulate that IL-1 would be down 13 regulated. But based on what he showed, you would 14 anticipate a blunted fever response. 15 SPECIAL MASTER HASTINGS: And what do you 16 mean by a blunted fever response; less fever? 17 MS. MCCUSKER: Less fever. 18 BY MS. BABCOCK: 19 Now there's also been a lot of discussion of 0 20 cytokines, and this is probably a topic that we could 21 be here for hours on, and we will not. But could you 22 just briefly describe the role of cytokines in the 23 immune system? 24 Sure, cytokines are small proteins that are Α released by different cells. The interleukins were 25 Heritage Reporting Corporation (202) 628-4888

1	originally defined as cytokines that were released by
2	leukocytes, and they were primarily thought to be used
3	to allow for communication from one leucocyte to
4	another.
5	They can be divided into several different
б	ways. One of the divisions that is commonly used is
7	that they're divided into pro-inflammatory and anti-
8	inflammatory cytokines. Another division is that they
9	are divided into short-acting, or those cytokines that
10	act over very short distances, and those cytokines
11	that can act over longer distances.
12	So they can be divided into several
13	different categories, although there is a current move
14	afoot to try and categorize them much better, based on
15	their structure and function. But that's still a few
16	years away.
17	Q Another small point of clarification, is
18	nitric oxide a cytokine?
19	A No, it is not.
20	Q Now which immune responses are cytokines
21	involved in?
22	A Cytokines are involved in all immune
23	responses.
24	Q Do they play a role in any other systems?
25	A Sure, cytokines are used in the CNS system,
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1 to allow for communication between leukocytes at the 2 CNS and the glial cells, astrocytes, other cells of 3 the CNS. 4 They're great tools for communication. They are secreted by other cells; not just cells of the 5 6 immune system. They are secreted by astrocytes. They are secreted by smooth muscle cells of the airways. 7 8 They are secreted by epithelial cells of the airways. 9 So we now know that they are used as 10 communication tools by more than just the immune 11 system. Although there are some that are very 12 specific for the immune system. 13 And do cytokines act locally or 0 14 systemically? 15 Α Well, probably we would classify the vast 16 majority of cytokines as acting over a short distance, 17 very much locally. Those are the ones that are 18 primarily responsible for activation of one cell type 19 by another cell type. 20 Because essentially, you want to regulate 21 that activation very tightly. You want that T cell 22 that has already recognized its antigen. I'm a cell 23 for polio virus. I've seen polio virus, and now I 24 want to activate that B cell that recognizes polio virus. I don't want to activate this B cell over 25 Heritage Reporting Corporation

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1 here, that recognizes cat, because that's not going to 2 help me. 3 So those cytokines act over very short distances. 4 Some cytokines, the more pro-inflammatory, the cytokines that are responsible for turning up 5 6 inflammation, those ones tend to act over a slightly 7 longer distance, and why is that? 8 Well because if I get a cut on my arm, I 9 have to call in cells from everywhere to fight that 10 infection. I don't want to be relying on just the 11 local area cells. I want to be calling them in from 12 everywhere. 13 So the only way I can do that is to create a 14 gradient to release my cytokine, and it can shoot out 15 its signal over a longer distance, so the cells can be 16 called into the area that is at risk. 17 The other cytokines that will act over 18 longer distances are things like IL-1 and IL-6, 19 because you want those to be acting on the 20 hypothalamus, which is your fever center, because you 21 want to turn up temperature. Why do you want to turn 22 up temperature? Because microbes, bacteria and 23 viruses really don't like high temperatures. They 24 don't replicate well at high temperatures. 25 And so it will slow down their replication

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1	if you have a fever. It slows down the replication	
2	and allows the immune system a little bit more time to	
3	rally the troops, get everybody to the right place and	
4	eliminate the infection.	
5	Q Now Dr. Byers discussed some of the black	
б	box warnings for some of the cytokines. If you're	
7	administering cytokines therapeutically, what type of	
8	doses are we talking about?	
9	A You're talking about what would be	
10	considered supernormal doses. You're talking about	
11	high doses administered systemically. You're not	
12	talking about what would happen in the lymph node when	
13	IL2 is released, for example, which would be small	
14	doses of IL2 in a confined space.	
15	Q So these are not levels that would be	
16	naturally produced by the body?	
17	A No.	
18	Q I would like to also clarify some of the	
19	terminology that's been used. Does the pediatric	
20	immunology community recognize the term selected	
21	immune dysfunction?	
22	A I have never heard that term.	
23	Q It also seems that TH2 is being used	
24	interchangeably with immuno suppression. Does that	
25	make sense?	
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1 No, it does not. Α 2 0 What is a clinical example of someone with 3 TH2 skewing? 4 A classic example of TH2 skewing is: 30% of А 5 our population, and those people would have allergies. б So when you see a patient who sneezes in the 7 middle of rag-weed season, or in the middle of tree 8 season, that's a person who's immune system is skewed 9 a little bit too far to the TH2 side, and produces the anti-body known IgE, which is the only available bio-10 11 marker that's easily assessed in patients for this 12 "TH2 skewing." 13 Is there any clinical evidence that Michelle 0 14 Cedillo had TH2 skewing? 15 А No, there is not. Her IgE levels were 16 normal. 17 If someone were significantly immuno 0 18 suppressed what would you expect to see? 19 I would expect to see a significant А 20 increased frequency of recurrent infections. 21 Is there any evidence that Michelle Cedillo Q 22 had an abnormal, or an increased, frequency of 23 recurrent infections -- in the time before her MMR 24 vaccine? А 25 No.

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1 in the time before her MMR vaccine? 0 2 А I'm sorry. 3 Q That's okay. Now, if the theory is that 4 thimerosal had a sufficient immuno suppressant effect on an immune system as to allow the persistence of the 5 6 measles virus, what would you expect to see 7 clinically? 8 If the thimerosal were persistent, and if Α 9 that effect was clinically relevant, then it should not just affect the ability of the body to fight 10 11 measles, and it should affect the ability of the body 12 to fight infection. 13 So if you can't fight infection, your 14 infections are going to be more frequent. There is 15 going to be more clinically apparent, and they are 16 going to last longer. 17 Now, is there any evidence that Michelle had 0 18 an abnormal number of infections after her MMR 19 immunization? 20 Α No. 21 Can you think of an example where the immune 0 22 system has been altered in some way physiologically, 23 or otherwise, where you could see the effects of T-24 cell depression? 25 Α Well, there are several examples. But one Heritage Reporting Corporation (202) 628-4888

1	of the ones that comes to my mind, and that we see
2	frequently in the immune-deficiency clinic, is the
3	disease known as the DiGeorge Syndrome.
4	What the DiGeorge Syndrome is: It is a
5	genetic disease. Because of a deletion on Chromosome
6	22, the way the body forms one of the major organs of
7	the immune system, the thymus, is aberrant. Because
8	of when this gene deletion, gene mutation takes effect
9	during the development of the fetus, you can have wide
10	spectrum of clinical disease.
11	So you have these children who were born,
12	and they are born with what's known as congenitally
13	athymic. They do not have a thymus at all. Those
14	children are unable to mature T-cells. So they have
15	zero, no T-cells, and they present very early in life
16	as severe combined immune-deficiency.
17	Without intervention, either bone marrow or
18	thymic transplant, they will die very early. But then
19	the vast majority that's actually relatively rare.
20	But the vast majority of children with DiGeorge
21	Syndrome actually have a spectrum of immune-
22	deficiency. Because although the thymus doesn't form
23	completely normally, it does form.
24	What we have found from studying patients
25	with DiGeorge is that the T-cells do not form as
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1 quickly, or as robustly, as in a child who has a 2 perfectly normal thymus. 3 So their T-cell numbers when you look at 4 them, when you do those T- and B-cell enumerations, they tend to have low T-cell numbers because their 5 6 thymus cannot handle the processing of the T-cells 7 appropriately. 8 In addition, when you do your proliferation, 9 they tend to have a slightly decreased prolifs 10 compared to normal. I would look at those prolifs and 11 I would say: slightly depressed T-cell proliferations 12 to mitogens, consider congenital thymic dysplasia, 13 meaning considered DiGeorge in your diagnosis. 14 When they have looked at studies -- now, 15 DiGeorge, genetically, has been elucidated relatively 16 recently, from a medical point-of-view, in the last 17 ten years. So there have been many, many patients who 18 have had DiGeorge Syndrome who were not defined; and 19 there are other congenital effects associated with 20 DiGeorge. It is not just the thymus that can be 21 problematic. 22 There are lots of children, who because of 23 the other problems we've identified, or suspected, as 24 having DiGeorge Syndrome that never came to our clinic, and these children received their full 25 Heritage Reporting Corporation

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1 vaccinations. 2 Interestingly, there has not been a reported 3 case of persistent viral infection, as a result of a 4 live viral vaccination, in a patient with DiGeorge. Now our recommendations are: If we know a 5 6 patient has DiGeorge, that we wait until we're sure 7 that their immune system can handle the vaccine before 8 we give it. But there have been many, many, many 9 children, and there is actually a large international 10 study going on right now trying to collect the numbers 11 of these patients who have received their 12 vaccinations, and have had no untoward effect as a 13 result of it because it gives us a lot of information. 14 It tells us that, even with depressed T-cell 15 numbers and decreased proliferations, these children 16 are able to cope with the vaccine strain and clear it. 17 And they can do it with measles, mumps and rubella, 18 and they can do it with the varicella vaccine. 19 So, overall, based on your medical 0 20 experience, and your review of the medical records and 21 testimony, what is your opinion as to Michelle 22 Cedillo's immune functioning? 23 Α In my opinion, Michelle Cedillo had a normal 24 immune system at the age of three. And you hold this opinion to a reasonable 25 0 Heritage Reporting Corporation

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2245 McCUSKER - DIRECT 1 degree of medical certainty? 2 А Yes, I do. 3 MS. BABCOCK: No further questions. SPECIAL MASTER HASTINGS: Let me follow-up 4 and ask a question before we have cross. You said: A 5 б normal immune function at the age of three. 7 THE WITNESS: That's correct. 8 SPECIAL MASTER HASTINGS: What about an 9 earlier age? 10 THE WITNESS: There was no evidence of 11 immune dysregulation in my opinion before the age of 12 three. But if you're asking me to evaluate her immune 13 system, the only objective evaluation that I have was 14 at age three, which was normal. 15 Clinically, in my opinion, she did not have 16 any evidence of an immune abnormality prior to that. 17 SPECIAL MASTER HASTINGS: You said at the 18 age of three because that's when Dr. Gupta did his 19 work-up. 20 THE WITNESS: That's correct. 21 SPECIAL MASTER HASTINGS: But you're also 22 saying that: throughout the medical records you looked 23 at, you didn't see any clinical evidence of immune 24 dysfunction at any other time? 25 THE WITNESS: No, I did not. Heritage Reporting Corporation (202) 628-4888

McCUSKER - CROSS 1 SPECIAL MASTER HASTINGS: All right. Any 2 cross for this witness? Ms. Chin-Caplan? 3 CROSS-EXAMINATION BY MS. CHIN-CAPLAN: 4 5 0 Good afternoon, Doctor. 6 Α Good afternoon. 7 0 You're from McGill? Yes, I am. 8 Α 9 Q Do you know Dr. Ward and Dr. Fombonne? Yes, I do. 10 А 11 Have you worked with them? 0 12 Α I've worked with -- well, no, truthfully, I 13 know who they are. Dr. Ward is an adult 14 microbiologist, so I don't interact with him 15 clinically. I know him professionally; and Dr. 16 Fombonne, I've had some interaction with when he did 17 his study of the immune responses in autistic children 18 because it was done in my lab. 19 It was done as a research study, but using 20 the services of our lab, so I had some interaction in 21 that sense, but, other than that, no. 22 Q Was your name on that study? 23 Α Nope. No, it was not, sorry. 24 But it was done in your lab? 0 It was done in my clinical lab. It was --25 А Heritage Reporting Corporation (202) 628-4888

McCUSKER - CROSS 1 it was incepted and run by Dr. Fombonne and Dr. Bruce 2 Maser, who is my colleague. but it was not my 3 inception, so my name was not on the paper. 4 0 Okay. Do you know what Dr. Fombonne's role 5 in this study was? 6 Α I think Dr. Fombonne will be testifying. 7 You can ask him. 8 Okay, I shall do that. Doctor, if you take Q 9 a look at Respondent's Exhibit Z, which contains your opinion, under Tab 7, is this the study that you're 10 11 referring to, Stern's study? 12 Α Can I have that? Yes. 13 Dr. Fombonne is listed on this, correct? 0 14 Α That's correct. 15 And this study was done in 2005? 0 16 It was published in 2005. It was actually А 17 done in patients who were accrued in the 18 immunodeficiency clinic between 1996 and 1998. It 19 says it in the abstract. 20 0 Do you notice any conflict-of-interest 21 declarations on this article? 22 I will look at the back. Okay, except for А 23 the fellowship. I don't see any, no. 24 Okay, thank you. Doctor, you were speaking 0 of Michelle's immune status, and we we're looking 25 Heritage Reporting Corporation (202) 628-4888

2248 McCUSKER - CROSS 1 primarily at Dr. Gupta's records, is that true? 2 А That's correct. 3 0 And that would be Petitioners' Exhibit 3, 4 correct? 5 Α Yes. 6 Q I would ask you to take a look at page 12. 7 А Yes. 8 This is the lymphocyte subsets, is that Q 9 true? That's correct. 10 Α And there is an indication that the normal 11 0 12 range is that for an adult, is that correct? 13 Α That's correct. 14 Okay. Doctor, does it indicate that the 0 15 ratio of CD4/CD8 which is the helper-suppressor ratio, is 2.24? 16 17 Α That's what it says, yes. 18 And the normal range for this laboratory, 0 19 for the adults in laboratory? 20 Α Right. 21 Q Was .82 to 2.02? 22 А That's correct. 23 0 Okay. Doctor, for the CD20s, which is the 24 total B-cell count, Michelle's was 21%, correct? 25 А That's correct. Heritage Reporting Corporation

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2249 McCUSKER - CROSS 1 An absolute number 670? 0 2 А Yes. 3 Q Yes. And the normal range for this 4 laboratory was a high of 16.8% cells. 5 The normal range for the adults in this А 6 laboratory was 16.8 cells. 7 0 And the high range for this laboratory, for 8 the absolute numbers, was 4.11? 9 А For the adults, yes? Yes. And the last one would be for the 10 0 11 CD3/CD6 genes. Was that the normal range for this 12 laboratory? 13 Α For the adults, yes, you were correct. 14 0 If you go to page 13, Doctor. 15 А Yes. 16 0 These lymphocytes transformation mitigens, 17 do you see any abnormalities here for this laboratory? 18 Well, again, there is no standardization for Α 19 normal ranges, or lymphocyte proliferations, nothing 20 is accepted either by the council that accredits 21 laboratories, or by the WHO, and it is laboratory-22 specific. 23 You must always control it with a controlled 24 sample, so it's difficult to know the validity of that 25 normal range.

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2250A McCUSKER - CROSS 1 0 Okay. 2 In addition, it appears, although again it's Α 3 difficult to evaluate appropriately, that there are 4 differences between pediatrics and adults. I realize that a lot of adult doctors see children as little 5 6 adults, but they're really not. 7 0 I tried to say that today. 8 Α They're very different. 9 0 According to this laboratory, though, do they have a normal range listed? 10 11 They do, but it's for their adults, so you Α 12 can't really use it to evaluate. And I think, 13 although I am not Dr. Gupta, and I can't tell you what 14 he was thinking, I would think that, given that his 15 opinion was that her immune system was and I quote 16 "essentially normal," if he felt that her ranges were 17 outside the norm for pediatrics, he would have 18 commented on it. 19 Certainly that's what I would do in my 20 laboratory, and I assume he is as creditable a 21 physician. 22 Doctor, the question before you was: Are 0 23 there normal ranges listed for this laboratory? 24 Α Yes, there are. For Con A and poke weed mitogens, are they 25 0 Heritage Reporting Corporation (202) 628-4888

2251A McCUSKER - CROSS 1 within the normal range? 2 Α Not for the adult normal range, no, they are 3 not. 4 Okay. Doctor, let's go to page 14. These 0 5 are the lymphocyte transformation antigens. Am I б correct? 7 Α Uh-huh. 8 For the mumps virus, is Michelle's range 0 9 within the normal range for this laboratory? 10 А For the adults, no. 11 And for C.albicans, is it within the normal 0 12 range for this laboratory? 13 Again, it is not in the normal range for the Α 14 adults of this laboratory. 15 0 Okay. For the PPD, is it within the normal 16 range for this laboratory? 17 Α No, not for the adults. 18 But for the tetanus toxoid is it? 0 19 The tetanus toxoid is within the normal Α 20 range for the adults. I think, you should, though, 21 make a small note: The PPD, to my knowledge, Michelle 22 Cedillo never received a BCG vaccination, neither did 23 she have tuberculosis. 24 So one would not expect her to proliferate to PPD, regardless of what the normal range is. And 25 Heritage Reporting Corporation (202) 628-4888

1 it is quite normal, in patients who have never been 2 exposed to TB, to have no proliferation under those 3 circumstances, or not above baseline. 4 So, again, that's part of the problem with trying to evaluate these patients based on "normal 5 6 ranges" because it does depend on what they have seen 7 in their lives. 8 Q Doctor, I'm going to put up a slide. This 9 is the one that was in Dr. Byers' presentation. SPECIAL MASTER HASTINGS: Just for the 10 11 record, it was Slide 6 of Dr. Byers, it looks like. 12 Go ahead. 13 MS. CHIN-CAPLAN: Okay. 14 BY MS. CHIN-CAPLAN: 15 Doctor, as you can see, the UCI, which would 0 16 be the UC Irvine Laboratory, is all in blue, am I 17 correct? 18 Α That's correct. 19 Okay. You have indicated that it's not 0 20 proper to use adult values for pediatric patients. Is 21 that true? 22 А That's correct. 23 0 You actually cited in your reports several 24 authors, correct? 25 That's correct. Α Heritage Reporting Corporation

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1 Okay. And, Doctor --0 2 It's interesting to know that she calls the Α 3 Shearer Report a foreign laboratory, since it was not 4 only American but three of the labs were Californian. I think she used that to mean that it's not 5 А б UCI, that's to distinguish it from the laboratory that 7 treated her. 8 Α Okay. 9 So, Doctor, if you would like to look at the Q 10 articles that you submitted that's more than fine. 11 For Hannet, the CD4s/CD8s and the ratio CD4s/CD8s is 12 in green? 13 That's correct. Α 14 And that would be what you consider to be 0 15 the normal range, correct? 16 Α That is what was available in 1992 for a 17 normal range. 18 Okay. But for the CD/20 --Q 19 Could you wait one second? Α 20 0 Sure. 21 Do we have that article? Α 22 SPECIAL MASTER HASTINGS: What article are 23 you looking for, Doctor? 24 THE WITNESS: I have it here. It's the Hannet article. I just want to check what the numbers 25 Heritage Reporting Corporation (202) 628-4888

2254 McCUSKER - CROSS 1 are here. Go ahead, I'm on the same page. 2 BY MS. CHIN-CAPLAN: 3 Q So, have we cited this correctly? 4 Α Yes. 5 0 So, for the CD20 count, though, you went to б Shearer, is that it? 7 Α Yes. 8 And for Shearer, you used the CD4/CD8, the 0 9 CD4s and CD8s ratio, correct? 10 А That's correct. 11 0 Along with the CD20? 12 Α Uh-huh. 13 But then for Gasperronni, you had different Q 14 values for CD4 and CD 8, didn't you? 15 Α I didn't quote Gasperroni in the values. 16 0 Did you quote it in your --17 I'm sorry, I misunderstand your question. I Α 18 used, for my evaluation as a T-B cell numeration, the 19 Shearer report for CD4/CD8, and the CD19 that was 20 available in the Shearer reports. 21 Okay. So you're saying that the basis for Q 22 the normal values is based in the Shearer report? 23 Α That's correct. 24 Okay. Q And if you look at my slide, that comes from 25 А Heritage Reporting Corporation (202) 628-4888

2255 McCUSKER - CROSS 1 Shearer. 2 0 Okay. 3 Α I've highlighted it. 4 Okay. If we just go to the T-cell function Q 5 test, Doctor. б А Sure, where's that. 7 Slide 7 from Dr. Byers' presentation. For 0 8 the T-cell function test, you used Stern for the 9 normal, is that it? That's the only one that provided a normal 10 А 11 range. So, as I've explained, there's a significant 12 problem with trying to find normal ranges; and most studies will not provide a normal range. For 13 proliferation assays, they will always compare to 14 15 control. So you are limited by what is available in -16 17 0 In the literature. 18 Α -- in the literature. I'm a little 19 surprised that mumps was 1.3, when it's listed here as 20 112.97, though. So there are some errors here, or is 21 she --22 Mumps was 1.2, 1,097 --Q 23 Α Oh, she's just changed the units? 24 0 Yes. 25 А Sorry. Heritage Reporting Corporation

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1 0 Okay. 2 But she didn't change the units for PPD. Α 3 It's inconsistently changed, so that's how I guess how my confusion comes, you're right. 4 Doctor, just go to the next slide, which was 5 Q 6 Slide 8. You had to go to Trajkovski to find the 7 normal ranges for the immunoglobulin subclasses, 8 correct? 9 Α Well, there are actually several different publications for normal ranges of immunoglobulin 10 11 subclasses. 12 In fact, what I'm trying to look for was the most relevant articles. And because this article 13 14 actually spoke about normals versus children with 15 autism, I chose those normal ranges because it seemed 16 to correlate with the population that we were trying 17 to look at here. 18 But it doesn't -- those normal ranges are not 19 outside norms for age-matched controls. I used 20 Trajkovski's study because it did provide normal 21 ranges; and because if seemed to be reasonable to use 22 that as a look to see whether or not it fit with even 23 the autistic ranges. 24 0 Uh-huh. SPECIAL MASTER HASTINGS: I will note that 25 Heritage Reporting Corporation (202) 628-4888

2257A McCUSKER - CROSS 1 Ms. Chin-Caplan referred to this as Slide 8. It's 2 Slide 8 of Dr. Byers's presentation. 3 BY MS. CHIN-CAPLAN: Now, Doctor, if you go to page 15 of 4 0 Petitioners' Exhibit 3, which was Dr. Gupta's record. 5 6 Α I have that, hang on one sec. 7 0 If you look at this. 8 Α Which page, I'm sorry? 9 Q Fifteen. 10 А Fifteen, yes. 11 If you look at this lab result, is there an 0 12 indication that for this lab, IgG2 and IgG4 were 13 elevated? 14 Α Yes, there is an indication on this page. 15 Q Okay. 16 But these are not age-specific ranges. Α 17 Q Okay. 18 Again, particularly with immunoglobulin Α 19 subclasses, their formation is developmental. So you 20 see changes in the development -- the formation of 21 subclasses based on age. 22 So, when I choose the Trajkovski range, I 23 was choosing based on age because it is given for age 24 in that paper. Okay. If you assumed that these elevations 25 0 Heritage Reporting Corporation (202) 628-4888

are proper, are they of any significance to you at 1 2 all? 3 Α Not really, in truth. It's really -- I 4 looked in the literature for a clinically relevant disease associated with an elevation in IqG2 5 6 subclasses, and was largely unable to find anything. 7 I found an increase in IqG2 subclass 8 specific antibodies associated with certain 9 infections, particularly, as I mentioned before, the periodontal diseases. But I was unable to find a 10 11 significant clinical relevance to IgG2 elevations. 12 And with respect to IgG4, isolated IgG4, I 13 haven't heard of anything that is associated 14 clinically, although it is elevated when -- in 15 allergic individuals when the IgG is elevated. 16 0 What about the combination of the two being 17 elevated, the IqG2 and --18 I looked for that in the literature. I Δ 19 didn't really find anything in humans. Were you able 20 to find something? 21 Well, have you seen anything that indicates 0 22 that this would mean a skewing of TH2? 23 Α No, not in humans. IqG2 is not associated 24 in humans. It is in mice, but not in humans. 25 Okay. Doctor, when we go to your report, 0 Heritage Reporting Corporation

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2259A McCUSKER - CROSS 1 Exhibit Z, Tab 11, page 748, that very last paragraph. 2 Α Sorry, I'm looking in the wrong place. 3 SPECIAL MASTER HASTINGS: What page? MS. CHIN-CAPLAN: Page 748. 4 5 SPECIAL MASTER HASTINGS: Okay, now which б tab again? 7 MS. CHIN-CAPLAN: Tab 11. 8 SPECIAL MASTER HASTINGS: Okay, thank you. 9 MS. CHIN-CAPLAN: You're welcome. SPECIAL MASTER HASTINGS: Go ahead. 10 11 BY MS. CHIN-CAPLAN: 12 0 It says: increased serum concentration of 13 IqGs in autism, may point towards an underlying auto-14 immune disorder, and/or enhanced susceptibility to infections, resulting in chronic viral infections; 15 16 whereas, the IgG subclass skewing may reflect 17 different cytokine- dependent influences on 18 autoimmune B-cells and their products. 19 Have I read that correctly? 20 Α You have. 21 Do you agree with that? Q 22 Α No. 23 Q No? 24 I haven't found any evidence in the Α literature that would support that subclass changes 25 Heritage Reporting Corporation (202) 628-4888

2260A McCUSKER - CROSS 1 are related to autoimmunity. 2 Okay. But this article says it? 0 3 Α This article postulates it. The one that you cited in support of what 4 0 5 your opinion. 6 Α The one that I cited to give you, yes, to 7 give you normal ranges for age. Okay. Now, Doctor, you said that you based 8 0 9 your opinion primarily on the Shearer article that listed the different normative values for pediatrics? 10 11 А Yes. 12 Q And Doctor Shearer is contained at 13 Respondent's Exhibit Z, Tab 4. 14 If we go to page 978, which is the 15 discussion, if you go to the right-hand column for the 16 sentence that begins: In addition, the range of co-17 efficients for laboratory variables could be larger 18 than the range of co-efficients for age groups, 19 indicating that the difference between the results of 20 two different laboratories, analyzing the same blood, 21 could be larger than the biggest difference between 22 the age groups. Have I read that correctly? 23 24 Α That's correct, yes. So, Doctor, does that sentence indicate that 25 0 Heritage Reporting Corporation (202) 628-4888

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### McCUSKER - CROSS

1 you shouldn't compare one lab's values to another 2 lab's values? 3 А It's the recommendation that you stick to your own validated lab values. 4 What generally happens is in accredited 5 6 laboratories, you're given reference samples. And the 7 reference samples are given a certain value, and you 8 ensure what your variance is over that reference 9 sample. But the reference samples are based on the 10 published ranges. 11 But they are recommending that you not use 0 12 one lab value and compare it to another person's lab 13 value? 14 А [They are recommending that you try and keep 15 repeated assays within the same laboratory. That's 16 what it says.] 17 0 Okay. 18 [Therefore, a pediatric study should use the Α 19 same laboratory for following a patient's results.] 20 MS. CHIN-CAPLAN: Okay. I don't have any 21 further questions, Special Master. 22 SPECIAL MASTER HASTINGS: All right. 23 SPECIAL MASTER CAMPBELL-SMITH: Doctor 24 McCusker, I just wanted it to be clear because we 25 heard a couple of terms that have been used. Heritage Reporting Corporation

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2261B

McCUSKER - CROSS

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Immune dysfunction, immune abnormality,

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1 immune deficient are all synonymous, but 2 distinguishable from immune suppression? 3 THE WITNESS: I wold not use all three of 4 those as synonymous. 5 SPECIAL MASTER CAMPBELL-SMITH: Okay, why б don't you --7 THE WITNESS: So immune --8 SPECIAL MASTER CAMPBELL-SMITH: Dysfunction. 9 THE WITNESS: Immune dysfunction is one of 10 those very nebulous terms that is used when you cannot 11 make a definition of anything. 12 You kind of say: Well, there's a 13 dysfunction. And sometimes that's used when you have a 14 patient, for example, when we have a patient who's had 15 multiple infections. Clearly, there is something that 16 is not completely right with this child, but all of 17 our immune parameters are normal. 18 Essentially, what we're finding now, as our 19 technology gets better and better, that we're able, in 20 these kids, to go back when a new immunodeficiency is 21 defined and say: Ah, that's where the child's problem 22 is. 23 So, because we don't fully understand the 24 way an immune -- all of the defects that are possible in children, in terms of the functioning of their 25 Heritage Reporting Corporation (202) 628-4888

2263

1 immune systems, sometimes that word is used as kind 2 of: I think there's something going on here, but I 3 can't put my finger on it. 4 Immune -- what was the second one you used? 5 SPECIAL MASTER CAMPBELL-SMITH: Abnormality. 6 THE WITNESS: Immune abnormality would be 7 used to define a objective laboratory abnormality. 8 SPECIAL MASTER CAMPBELL-SMITH: The same 9 with deficient, immune deficient. THE WITNESS: Immune deficient would be used 10 11 to bring together the objective laboratory abnormality 12 with the clinical abnormality. 13 So, for example, a patient with the DiGeorge 14 Syndrome might be immune deficient because his T-cell 15 numbers are low, and clinically, he may have more 16 susceptibility to getting a couple more colds every 17 year. 18 He's not truly in danger; he's not 19 worrisome. But there is something there in his immune 20 system that is a little bit more profound than his 21 friend down the block with DiGeorge Syndrome, whose 22 immune system functions perfectly normally. 23 They are used in slightly different ways to 24 convey, I suppose, in a sense, the association with the clinical and the laboratory findings. 25 Heritage Reporting Corporation

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1 SPECIAL MASTER CAMPBELL-SMITH: And each of 2 those references is distinct from immuno suppression? 3 THE WITNESS: Classically, immuno suppression has been used when we use medications to 4 suppress the immune system. That's often how it is 5 6 used at least clinically. 7 So, if I give a patient corticosteroids, I 8 know I'm going to be immuno suppressing them because I know I will be interfering with the ability of their 9 10 immune system to function normally. 11 If I give one of the humanized monoclonal 12 antibodies, those specifically knock out or are 13 designed to knock out or interfere with the function 14 of a specific area of the immune system. Those 15 patients for that area will be immunodeficient or 16 immuno suppressed. 17 SPECIAL MASTER CAMPBELL-SMITH: Thank you. 18 I did have one more question. When you talked about 19 the DiGeorge kids, you've indicated that if you know 20 that you've got a DiGeorge kid, before you would 21 administer an attenuated vaccine, you would wait to 22 see how they handled colds, infection? THE WITNESS: Well, DiGeorge is a very 23 24 interesting disease. But basically children that do have thymuses as opposed to the truly athymic 25 Heritage Reporting Corporation

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1 DiGeorges, they're called complete DiGeorge, and they 2 have no thymus and they will never have T-cells that 3 function properly. 4 Those that have either a vestigial thymus or 5 a partially formed thymus or an immature thymus, they 6 will be able to form T-cells, but their ability to 7 form T-cells is delayed relative to their peers. 8 In truth, they never truly reach, the vast 9 majority, not all, some do, reach normal levels of Tcell numbers. So, their T and B-cell numerations, 10 11 will always be slightly below normal. 12 Because we don't know where on the spectrum 13 an individual child is, are they sort of pretty close 14 to complete DiGeorge, but not quite there; or are they 15 really -- they have a normal, fully functioning 16 thymus. Because we don't know that, and because 17 vaccines are things that you use to prevent disease, 18 but because herd immunity will protect a given 19 individual child, the risk-versus-benefits under those 20 circumstances, don't fall on vaccinating these kids 21 because we just don't know where on the spectrum they 22 are. 23 But, things being what they are, many, many 24 children with DiGeorge have been vaccinated; and we have immune parameters that tell us what their immune 25 Heritage Reporting Corporation (202) 628-4888

1	systems look like, and their immune systems are still
2	depressed. Yet, they are able to functionally combat
3	and clear the live viral vaccines.
4	So, you know, it's one of those things
5	where, if I know something, to actively give a virus
б	is not, I think, in the child's best interest. But if
7	it's already been done, we can study it. We can look
8	at it, and we'd say: Wow, look, even with these low T-
9	cells, and this depressed function, this child was
10	able to clear this. Don't let it happen again.
11	SPECIAL MASTER HASTINGS: All right. I have
12	a question for you, Dr. McCusker.
13	Dr. Byers, in the slides that you just went
14	over a few minutes ago with Ms. Chin-Caplan, her
15	testimony was that: Because of great variances in
16	laboratories, and I'm summarizing her testimony to
17	mean better to use the normal ranges from the UC
18	Irvine Laboratory, even though they included adults,
19	than to use a pediatric range from some other
20	laboratory.
21	How do you respond to that?
22	THE WITNESS: Well, in truth, that would
23	definitely not be considered, in my opinion, standard-
24	of-care.
25	It's not that they included adults, they're
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1	adult ranges. Adults' immune systems are very
2	different from children. If you took a neonate, a
3	newborn child, and you applied adult ranges, all
4	neonates would have an abnormal immune system. That
5	is clearly not the case.
б	In that situation, yes, there are some
7	variations that can occur between laboratories. There
8	are always ranges of error. But when you have, for
9	example, as in the Shearer report, 807 children, you
10	are able to get a decent range that is at least better
11	than an adult range for a two-year old. Because they
12	do not reflect the child's immune system at all.
13	SPECIAL MASTER HASTINGS: So, in your
14	opinion, the best would be a normal range for children
15	in the laboratory in question, that would be the best,
16	if you had such a thing.
17	THE WITNESS: In truth: Ideally, the best is
18	an accredited laboratory that performs regular Q&A.
19	And if their ranges do not match the ranges that are
20	supplied by the accreditation service, whether in
21	the U. S. it's the FDA; or, in Canada, we have our own
22	laboratory accreditation services.
23	If they don't match, you figure out what's
24	wrong with your lab. But, ideally, you have a lab
25	where you can check it. You can take a blind sample,
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you can check it, and make sure that you're right. That's ideal. The best thing, I guess, would be ranges for age from that laboratory. Although, in truth, when you look at 807 patients and you know what the ranges are, that's a very good indication of what is within normal. Because, again, we're talking about small variations. We're not talking about this child having sky-high CD4s, or unbelievably depressed CD8s. We're talking about a small variation, which, in my mind, even at the best, would not be considered clinically relevant. However, the ideal world, make your lab run properly, accredit it properly, and do the proper quality assurance to ensure that your range is fit with what is published and what is acceptable. If that doesn't work, then, I guess, you have to go about making your own ranges. but that would be more difficult because it's got to be population based. SPECIAL MASTER HASTINGS: All right. SPECIAL MASTER VOWELL: That was the question for me. Let's assume for a moment that the normal values in Michelle's work-ups were children. Let's assume that.

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THE WITNESS: Uh-huh. 1 2 SPECIAL MASTER VOWELL: And Dr. Gupta is 3 obviously an immunologist, or he's the director of the 4 immunology laboratory at UC Irvine. Would he have said, given then, that many of her laboratory values 5 6 are out of range, would he have said what he did. 7 Let me rephrase this: Would a competent 8 immunologist have said: Oh, this is nothing to worry 9 about? You quoted him directly, what essentially he 10 11 said. 12 THE WITNESS: Let me just take one quick 13 look at the ranges before I answer that question. 14 SPECIAL MASTER CAMPBELL-SMITH: Okay. 15 THE WITNESS: Because I don't want to give you the wrong information. That would be Tab 3. 16 17 SPECIAL MASTER CAMPBELL-SMITH: I'm sorry, I 18 don't have his statement here. 19 THE WITNESS: No, I have it here. The only 20 reason -- I just want to look. 21 I mean, truthfully, when I looked at those 22 values, given that I sign these things out all the 23 time, I looked and said: Oh, that's normal, and I 24 didn't -- and then I went and started reading the reports; and then had to figure out where the 25 Heritage Reporting Corporation (202) 628-4888

1 "abnormalities" were coming from. 2 So my feeling is that: If I saw these 3 numbers, I would say this is a normal child's immune system, even given the ranges. And I would expect 4 that anyone who has had any experience in quality 5 6 assurance for flow cytometry would do the same. 7 I don't know if that helps you. 8 SPECIAL MASTER CAMPBELL-SMITH: So it 9 doesn't matter whether they apply the adult ranges to 10 the child ranges in --11 THE WITNESS: It's always a bad thing to 12 apply the adult ranges. 13 SPECIAL MASTER CAMPBELL-SMITH: Yes. 14 THE WITNESS: And I realize that there are 15 variances between labs, but all the labs use the 16 published ranges. We all do quality assurance. 17 SPECIAL MASTER HASTINGS: If I understand 18 what you just said: You're presuming that Dr. Gupta 19 did what you did. Just look at the numbers, and say 20 that looks normal, that looks normal, that looks 21 normal without ever looking over to the right to the 22 adult range because he already knew what the pediatric 23 range was? 24 THE WITNESS: I can't speak for Dr. Gupta, 25 but that's what I did.

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2271A McCUSKER - FURTHER CROSS 1 SPECIAL MASTER HASTINGS: All right. 2 THE WITNESS: I know that he has a robust 3 clinical lab, so he probably signs these things out as 4 regularly as I do, probably more regularly, a bigger 5 catchment area. I was not surprised by his 6 evaluation of her immune system. His conclusion, 7 sorry. 8 SPECIAL MASTER HASTINGS: Okay. Any 9 redirect for this witness? MS. BABCOCK: No. 10 11 SPECIAL MASTER HASTINGS: Anything further 12 based on our questions? 13 MS. CHIN-CAPLAN: Just a few questions. 14 FURTHER CROSS-EXAMINATION 15 BY MS. CHIN-CAPLAN: 16 Dr. McCusker, are you aware that Dr. Gupta 0 17 has actually published an article about TH1 and TH2 18 cytokines in CD4/CD8 T-cells in autism? 19 I have a memory of that article, but I don't Α 20 have it here at my fingertips. 21 Let me refer you to Fujinami, Respondent's 0 22 Exhibit R, Attachment 22. 23 А Yes? 24 Doctor, in this article, does he indicate 0 that there is a skewing of TH1, TH2 cytokines in 25 Heritage Reporting Corporation (202) 628-4888

2272A McCUSKER - FURTHER CROSS autistic children? 1 2 Α You will have to give me a minute to read 3 it. All right, go ahead. 4 Q What he concludes -- I mean you have to 5 Α 6 realize this was done in 1998. 7 So what they looked at in this study was --8 they looked at the percentage of cells that were 9 positive for IL4, CD4 positive, IL4 cell. And the percentage of interferon gamma-10 11 producing cells and showed that there was a -- I'm 12 sorry. Let me just -- if I could just scan a research 13 article. I'm sorry. 14 What they found was that there was more IL4-15 producing cells compared with interferon gamma-16 producing cells. 17 Sylvia Chin-Caplan: And IL4 is a TH2? 18 THE WITNESS: IL4 is a TH-2 cytokine. 19 Although they had a small population of 20 patients, 20 and their P value only just reached statistical 21 significance. 22 So you would actually, probably suggest that 23 this is more of a trend because it barely reached 24 significance in this population. 25 11

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2273A McCUSKER - FURTHER CROSS 1 Sylvia Chin-Caplan: Okay. 2 THE WITNESS: I just need to see one thing. 3 Sylvia Chin-Caplan: Okay. 4 (Pause.) THE WITNESS: Yes, they didn't look at any 5 б of the other cytokines associated with TH2. And they 7 didn't look at any of the intercellular cytokines that 8 you'd find with TH2. 9 So, a preponderance of IL4 is there, but 10 it's not huge. 11 Sylvia Chin-Caplan: Okay. And Michelle was seen in 1997, was that it? 12 13 THE WITNESS: Uh-huh. 14 Sylvia Chin-Caplan: And this article was 15 written in 1998? THE WITNESS: No, it was published in 1998. 16 17 If you look at when -- oh, they don't do it. Oh, 18 here. It was received November 1997. 19 Sylvia Chin-Caplan: Okay. Thank you, 20 Doctor. 21 THE WITNESS: So, in fact, I guess one would 22 hypothesize that he would have been able to assess the 23 IL4 for capacity for Michelle at that time, but did 24 not. Sylvia Chin-Caplan: Thank you, 25 Heritage Reporting Corporation (202) 628-4888

2274A McCUSKER - REDIRECT 1 Doctor. 2 SPECIAL MASTER HASTINGS: Nothing further? 3 Sylvia Chin-Caplan: Nothing further. SPECIAL MASTER HASTINGS: Nothing further 4 for this witness? 5 6 MS. BABCOCK: Just briefly. 7 SPECIAL MASTER HASTINGS: Okay. 8 REDIRECT EXAMINATION 9 BY MS. BABCOCK: 10 So, as Ms. Chin-Caplan just asked you, Dr. 0 11 Gupta published on the topic of TH2 skewing? 12 А Yes. 13 So we can assume this is something that he 0 14 would have recognized in evaluating an immunological 15 evaluation on a child? 16 I would have expected so if he felt it was А 17 important. 18 0 And Dr. Gupta's conclusions about Michelle 19 was that she was normal? 20 А That's correct. 21 MS. BABCOCK: I have no further questions. MS. CHIN-CAPLAN: Just one briefly. 22 SPECIAL MASTER HASTINGS: All right. 23 24 11 11 25

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2275 McCUSKER - RECROSS 1 **RECROSS-EXAMINATION** 2 BY MS. CHIN-CAPLAN: 3 Q Doctor, the terminology that Dr. Gupta used was almost normal, didn't he? 4 5 А Yes. 6 MS. CHIN-CAPLAN: Thank you. 7 (Witness excused.) 8 SPECIAL MASTER HASTINGS: All right. So 9 does that conclude the witnesses for today? MR. MATANOSKI: Yes, sir, it does. 10 11 SPECIAL MASTER HASTINGS: All right. We are 12 going to conclude for the day now. 13 Just, especially for those listening in, let 14 me remind you, as I said earlier today, that we are 15 going to be starting with the phone conference a bit 16 late tomorrow. We are going to be taking one witness 17 who will not be available via phone conferencing, 18 although the testimony of that witness will be 19 available on the transcript. 20 So tomorrow morning, we will be starting the 21 conference call at 9:30 a.m., or some time shortly 22 thereafter. 23 So we are adjourned for today. 24 MR. MATANOSKI: Thank you, sir. 25 11

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1	(Whereupon, at 2:48 p.m., the hearing in the
2	above-entitled matter was adjourned, to reconvene
3	Friday, June 22, 2007, at 9:30 a.m.)
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# REPORTER'S CERTIFICATE

DOCKET NO.:	98-916V
CASE TITLE:	Theresa Cedillo v. HHS
HEARING DATE:	June 21, 2007
LOCATION:	Washington, D.C.

I hereby certify that the proceedings and evidence are contained fully and accurately on the tapes and notes reported by me at the hearing in the above case before the Office of Special Masters.

Date: June 21, 2007

Christina Chesley Official Reporter Heritage Reporting Corporation Suite 600 1220 L Street, N.W. Washington, D.C. 20005-4018